



PROFESSIONAL SERVICES AGREEMENT

This Professional Services Agreement ("**Agreement**") is effective as of the date of the last signature below and is between the City of Everett, a Washington municipal corporation (*the "City"*), and the Service Provider identified in the Basic Provisions below ("**Service Provider**"). This Agreement is for the purpose of the Service Provider providing services to the City as set forth in this Agreement. This Agreement includes and incorporates the Basic Provisions, the attached General Provisions, and the documents listed as Exhibits in the Basic Provisions.

BASIC PROVISIONS	
Service Provider	True North Consulting Group, LLC
	3408 Hillcrest Drive Waco, TX 76708
	emily.whicker@tncg.com
City Project Manager	James Sauls
	City of Everett -- Transit 3225 Cedar Street Everett, WA 98201
	jsauls@everettawa.gov
Brief Summary of Scope of Work	Everett Transit Security Threat Assessment
Completion Date	August 31, 2026
Extension Provision	Two (2)- two-year extension options for potential follow-on services

BASIC PROVISIONS	
Maximum Compensation Amount	\$108,075.00
Exhibits	<p>Exhibit A: Form 4.02 Price Sheet</p> <p>Exhibit B: True North Consulting Group's proposal response dated 6/11/2024.</p> <p>Exhibit C: Request for Proposal 2024-075 Everett Transit Security Threat Assessment</p> <p>Exhibit D: FTA Clauses dated 5/2/2024</p>
Service Provider Insurance Contact Information	Angie Jenkins
	(715) 425-0159
	angie@leitchinsurance.com
Additional Provision(s)	N/A

<p>State Retirement Systems (must answer both questions)</p>	<p>Does Service Provider have 25 or more employees?</p> <p>Answer: Yes</p> <p>If Service Provider has less than 25 employees, did any Service Provider Personnel who will work under this Professional Services Agreement retire under a DRS retirement system?</p> <p>Answer: No</p> <p>“DRS retirement system” refers to any of the following Public Employers’ Retirement System (PERS), School Employees’ Retirement System (SERS), Teachers’ Retirement System (TRS), and Law Enforcement Officers and Fire Fighters plan (LEOFF).</p> <p>“Service Provider Personnel” includes Service Provider employees and owners (such as shareholders, partners or members). If Service Provider is a sole proprietor, then “Service Provider Personnel” refers to the sole proprietor.</p>
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END OF BASIC PROVISIONS

IN WITNESS WHEREOF, the City and Service Provider have executed this Agreement, which includes and incorporates the above Basic Provisions, the attached General Provisions, and the documents listed as Exhibits in the Basic Provisions.

**CITY OF EVERETT
WASHINGTON**

TRUE NORTH CONSULTING GROUP, LLC



Cassie Franklin, Mayor

08/26/2024

Date

ATTEST



Office of the City Clerk

Signature: Tony Chojnowski

Name of Signer: Tony Chojnowski

Signer's Email Address: tony.chojnowski@tncg.com

Title of Signer: COO



STANDARD DOCUMENT
APPROVED AS TO FORM
OFFICE OF THE CITY ATTORNEY
JULY 28, 2023

ATTACHMENT
PROFESSIONAL SERVICES AGREEMENT
(GENERAL PROVISIONS v.071423.P1)

1. **Engagement of Service Provider.** The City hereby agrees to engage Service Provider, and Service Provider hereby agrees, to perform the work in a competent and professional manner and provide the services described in the exhibit(s) to this Agreement. The work so described is hereafter referred to as "Work".
 - A. Without a written directive of an authorized representative of the City, Service Provider shall not perform any services that are in addition to, or beyond the scope of, the Work. If, and to the extent, the Work includes the design of a public work or improvement, in whole or in part, Service Provider's design shall be reasonably accurate, adequate and suitable for its intended purpose.
 - B. If Service Provider's proposal or other document generated by Service Provider is incorporated or attached as an exhibit or part of any exhibit to this Agreement or in any amendment or task or work order pursuant to this Agreement, then such proposal or document is part of this Agreement solely to the extent that it describes the Work, the Work schedule, and the amounts or rates to be paid for such Work. Service Provider expressly agrees that no terms or conditions from such proposal or document are incorporated or included into this Agreement, unless the to-be-included term or condition is specifically referenced in the "Additional Provision(s)" portion of the Basic Provisions.
 - C. Work or requirements described in a scope of work document attached as an exhibit to this Agreement in aspirational or preferential terms (such as "it is desired that Supplier will," "it is preferred that Supplier will" or similar language) is deemed to be mandatory, unless otherwise provided in the "Additional Provision(s)" portion of the Basic Provisions.
 - D. In the event of difference or conflict between parts of this Agreement, Service Provider shall be bound by whichever is more stringent on Service Provider, except that the following provisions in the Basic Provisions shall always govern: the Completion Date, the Maximum Compensation Amount, the Extension Provision, and the Additional Provisions.
2. **Intellectual Property Rights.** Reports, drawings, plans, specifications and any other intangible property created in furtherance of the Work are property of the City for all purposes, whether the project for which they are made is executed or not, and may be used by the City for any purpose. To the extent the Work includes material subject to copyright, Service Provider agrees that the Work is done as a "Work For Hire" as that term is defined under U.S. copyright law, and that as a result, the City shall own all copyrights in the Work. To the extent that the Work includes material subject to proprietary right protection but does not qualify as a "Work For Hire" under applicable law, Service Provider hereby assigns to the City all right, title and interest in and to the Work, including all copyrights, patents, trade secrets, and other proprietary rights therein (including renewals thereof). To the maximum extent permitted by law, Service Provider waives all moral rights in the Work. Notwithstanding the foregoing, Service Provider retains any intellectual property rights in documents and intangible property created by Service Provider prior to engagement, or not created by Service Provider for its performance of this Agreement.
3. **Time of Beginning and Completion of Performance.** This Agreement shall commence as of the date of mutual execution of this Agreement and the Work shall be completed by Completion Date stated in the Basic Provisions. The Completion Date may be extended as set forth in the Basic Provisions.
4. **Compensation.**

- A. The City shall pay Service Provider only for completed Work and for services actually rendered which are described herein. Such payment shall be full compensation for Work performed or services rendered, including, but not limited to, all labor, materials, supplies, equipment and incidentals necessary to complete the Work.
 - B. Service Provider shall be paid such amounts and in such manner as described in the exhibit(s) to this Agreement.
 - C. Service Provider may receive payment as reimbursement for Eligible Expenses actually incurred. "Eligible Expenses" means those expenses as set forth in an exhibit to this Agreement or such expenses as are approved for reimbursement by the City in writing prior to the expense being incurred. An expense shall not be reimbursed if: (1) the expense is not identified as an Eligible Expense; (2) the expense exceeds the per item or cumulative limits for such expense if it is identified as an Eligible Expense; or (3) the expense was not approved in writing by an authorized City representative prior to Service Provider incurring the expense. If, and to the extent, overnight lodging in western Washington is authorized, Service Provider is strongly encouraged to lodge within the corporate limits of City. When authorized, Service Provider will be reimbursed 100% of lodging expense, if lodged within the corporate limits of the City, but Service Provider will be reimbursed 50% of lodging expense when lodged outside the corporate limits of the City. If authorized, the City may (at its sole option) obtain or arrange air travel for Service Provider.
 - D. Total compensation, including all services and expenses, shall not exceed the Maximum Compensation Amount in the Basic Provisions.
 - E. If Service Provider fails or refuses to correct its work when so directed by the City, the City may withhold from any payment otherwise due an amount that the City in good faith believes is equal to the cost to the City of correcting, re-procuring, or remedying any damage caused by Service Provider's conduct.
5. **Method of Payment.**
- A. To obtain payment, Service Provider shall (a) file its request for payment, accompanied by evidence satisfactory to the City justifying the request for payment; (b) submit a report of Work accomplished and hours of all tasks completed; (c) to the extent reimbursement of Eligible Expenses is sought, submit itemization of such expenses and, if requested by the City, copies of receipts and invoices; and (d) comply with all applicable provisions of this Agreement. Service Provider shall be paid no more often than once every thirty days.
 - B. All requests for payment should be sent to the City Project Manager Address in the Basic Provisions or to an address designated by the City Project Manager in writing.
6. **Submission of Reports and Other Documents.** Service Provider shall submit all reports and other documents as and when specified in the Scope of Work. This information shall be subject to review by the City, and if found to be unacceptable, Service Provider shall correct and deliver to the City any deficient Work at Service Provider's expense with all practical dispatch. Service Provider shall abide by the City's determinations concerning acceptability of Work.
7. **Termination of Contract.** City reserves the right to terminate this Agreement at any time by sending written notice of termination to Service Provider ("Notice"). The Notice shall specify a termination date ("Termination Date"). The Notice shall be effective ("Notice Date") upon the earlier of either actual receipt by Service Provider (whether by email, mail, delivery or other method reasonably calculated to be received by Service Provider in a reasonably prompt manner) or three calendar days after issuance of the Notice. Upon the Notice Date, Service Provider shall immediately commence to end the Work in a reasonable and orderly manner. Unless terminated for Service Provider's material breach, Service Provider shall be paid or reimbursed for: (a) all hours worked and Eligible Expenses incurred up to the Notice Date, less all payments previously

made; and (b) those hours worked and Eligible Expenses incurred after the Notice Date, but prior to the Termination Date, that were reasonably necessary to terminate the Work in an orderly manner. The City does not by this Section waive, release or forego any legal remedy for any violation, breach or non-performance of any of the provision of this Agreement. At its sole option, and without limitation of or prejudice to any other available remedy or recourse, the City may deduct from the final payment due Service Provider (a) any damages, expenses or costs arising out of any such violations, breaches, or non-performance and (b) any other backcharges or credits.

8. **Changes.** The City may, from time to time, unilaterally change the scope of the services of Service Provider to be performed hereunder. Such changes, including any increase or decrease in the scope of work (and resulting increase or decrease in compensation), shall: (a) be made only in writing and signed by an authorized City representative, (b) be explicitly identified as an amendment to this Agreement and (c) become a part of this Agreement.
9. **Subletting/Assignment of Contracts.** Service Provider shall not sublet or assign any of the Work without the express, prior written consent of the City.
10. **Indemnification.** Except as otherwise provided in this Section, Service Provider hereby agrees to defend and indemnify and save harmless the City from any and all Claims arising out of, in connection with, or incident to any negligent or intentional acts, errors, omissions, or conduct by Service Provider (or its employees, agents, representatives or subcontractors/subconsultants) relating to this Agreement, whether such Claims sound in contract, tort, or any other legal theory. Service Provider is obligated to defend and indemnify and save harmless the City pursuant to this Section whether a Claim is asserted directly against the City, or whether it is asserted indirectly against the City, e.g., a Claim is asserted against someone else who then seeks contribution or indemnity from the City. Service Provider's duty to defend and indemnify and save harmless pursuant to this Section is not in any way limited to, or by the extent of, insurance obtained by, obtainable by, or required of Service Provider. Service Provider's obligations under this Section shall not apply to Claims caused by the sole negligence of the City. If (1) RCW 4.24.115 applies to a particular Claim, and (2) such Claim is caused by or results from the concurrent negligence of (a) Service Provider, its employees, subcontractors/subconsultants or agents and (b) the City, then Service Provider's obligations under this Section shall be only to the extent of Service Provider's negligence. Solely and expressly for the purpose of its duties to indemnify and defend and save harmless the City, Service Provider specifically waives any immunity it may have under the State Industrial Insurance Law, Title 51 RCW. Service Provider recognizes that this waiver of immunity under Title 51 RCW was specifically entered into pursuant to the provisions of RCW 4.24.115 and was the subject of mutual negotiation. As used in this Section: (1) "City" includes the City, the City's officers, employees, agents, and representatives and (2) "Claims" include, but is not limited to, any and all losses, penalties, fines, claims, demands, expenses (including, but not limited to, attorney's fees and litigation expenses), suits, judgments, or damages, irrespective of the type of relief sought or demanded, such as money or injunctive relief, and irrespective of whether the damage alleged is bodily injury, damage to property, economic loss, general damages, special damages, or punitive damages or infringement or misappropriation of any patent, copyright, trade secret, or other proprietary right. If, and to the extent, Service Provider employs or engages subconsultants or subcontractors, then Service Provider shall ensure that each such subconsultant and subcontractor (and subsequent tiers of subconsultants and subcontractors) shall expressly agree to defend and indemnify and save harmless the City to the extent and on the same terms and conditions as Service Provider pursuant to this Section. The provisions of this Section shall survive termination of this Agreement.

11. **Insurance.**

- A. Service Provider shall comply with the following conditions and procure and keep in force during the term of this Agreement, at Service Provider's own cost and expense, the policies of insurance as set forth in this Section with companies authorized to do business in the State of Washington, which are rated at least "A-" or better and with a numerical rating of no less than seven (7), by A.M. Best Company and which are acceptable to the City.
 - 1. Workers' Compensation Insurance as required by Washington law and Employer's Liability Insurance with limits not less than \$1,000,000 per occurrence. If the City authorizes sublet work, Service Provider shall require each subcontractor to provide Workers' Compensation Insurance for its employees, unless Service Provider covers such employees.
 - 2. Commercial General Liability (CGL) Insurance on an occurrence basis in an amount not less than \$1,000,000 per occurrence and at least \$2,000,000 in the annual aggregate, including but not limited to: premises/operations (including off-site operations), blanket contractual liability and broad form property damage.
 - 3. Business Automobile Liability Insurance in an amount not less than \$1,000,000 per occurrence, extending to any automobile. A statement certifying that no vehicle will be used in accomplishing this Agreement may be substituted for this insurance requirement.
 - 4. Professional Errors and Omissions Insurance in an amount not less than \$2,000,000 per occurrence and \$2,000,000 in the annual aggregate. Such coverage may be written on a claims made basis.
- B. The above CGL and auto liability policies shall be primary as to the City and shall contain a provision that the policy shall not be canceled or materially changed without 30 days prior written notice to the City. No cancellation provision in any insurance policy shall be construed in derogation of the continuous duty of Service Provider to furnish the required insurance during the term of this Agreement.
- C. Upon written request by the City, the insurer or its agent will furnish, prior to or during any Work being performed, a copy of any policy cited above, certified to be a true and complete copy of the original.
- D. The Description of Operations on the Certificate of Insurance must substantially read as follows: "The above commercial general and auto liability policies are primary as to the City of Everett; have the City of Everett, its officers, employees, agents, and volunteers as additional insureds; and contain a provision that the policy shall not be canceled or materially changed without 30 days prior written notice to the City of Everett."
- E. Prior to Service Provider performing any Work, Service Provider shall provide the City or the City's designee with a Certificate of Insurance acceptable to the City Attorney evidencing the required insurance. Service Provider shall provide the City or the City's designee with either (1) a true copy of an endorsement naming the City of Everett, its officers, employees, agents and volunteers as Additional Insureds on the Commercial General Liability Insurance policy and the Business Automobile Liability Insurance policy with respect to the operations performed and services provided under this Agreement and that such insurance shall apply as primary insurance on behalf of such Additional Insureds or (2) a true copy of the blanket additional insured clause from the policies. Receipt by the City or the City's designee of any certificate showing less coverage than required is not a waiver of Service Provider's obligations to fulfill the requirements.
- F. If the Professional Errors and Omissions Insurance is on a claims made policy form, the retroactive date on the policy shall be the effective date of this Agreement or prior. The

retroactive date of any subsequent renewal of such policy shall be the same as the original policy provided. The extended reporting or discovery period on a claims made policy form shall not be less than 36 months following expiration of the policy.

- G. Service Provider certifies that it is aware of the provisions of Title 51 of the Revised Code of Washington that requires every employer to be insured against liability of Workers' Compensation, or to undertake self-insurance in accordance with the provisions of that Title. Service Provider shall comply with the provisions of Title 51 of the Revised Code of Washington before commencing the performance of the Work. Service Provider shall provide the City with evidence of Workers' Compensation Insurance (or evidence of qualified self-insurance) before any Work is commenced.
 - H. In case of the breach of any provision of this Section, the City may, at its option and with no obligation to do so, provide and maintain at the expense of Service Provider, such types of insurance in the name of Service Provider, and with such insurers, as the City may deem proper, and may deduct the cost of providing and maintaining such insurance from any sums which may be found or become due to Service Provider under this Agreement or may demand Service Provider to promptly reimburse the City for such cost.
12. **Risk of Loss.** Service Provider shall be solely responsible for the safety of its employees, agents and subcontractors in the performance of the work hereunder and shall take all protections reasonably necessary for that purpose. All work shall be done at Service Provider's own risk, and Service Provider shall be solely responsible for any loss of or damage to Service Provider's materials, tools, or other articles used or held for use in connection with the work.
13. **Independent Contractor.**
- A. This Agreement neither constitutes nor creates an employer-employee relationship. Service Provider must provide services under this Agreement as an independent contractor. Service Provider must comply with all federal and state laws and regulations applicable to independent contractors including, but not limited to, the requirements listed in this Section. Service Provider agrees to indemnify and defend the City from and against any claims, valid or otherwise, made against the City because of these obligations.
 - B. In addition to the other requirements of this Section, if Service Provider is a sole proprietor, Service Provider agrees that Service Provider is not an employee or worker of the City under Chapter 51 of the Revised Code of Washington, Industrial Insurance for the service performed in accordance with this Agreement, by certifying to the following:
 - (1) Service Provider is free from control or direction over the performance of the service; and
 - (2) The service performed is outside the usual course of business for the City, or will not be performed at any place of business of the City, or Service Provider is responsible for the costs of the principal place of business from which the service is performed; and
 - (3) Service Provider is customarily engaged in an independently established business of the same nature as the service performed, or has a principal place of business for the service performed that is eligible for a business deduction for federal income tax purposes; and
 - (4) On the effective date of this Agreement, Service Provider is responsible for filing a schedule of expenses, for the next applicable filing period, with the internal revenue service for the type of service performed; and
 - (5) By the effective date of this Agreement or within a reasonable time thereafter, Service Provider has established an account with the department of revenue and other state agencies, where required, for the service performed for the payment

of all state taxes normally paid by employers and businesses and has registered for and received a unified business identifier number from the state of Washington; and

- (6) By the effective date of this Agreement, Service Provider is maintaining a separate set of records that reflect all items of income and expenses of the services performed.
 - C. Any and all employees of Service Provider, while engaged in the performance of any Work, shall be considered employees of only Service Provider and not employees of the City. Service Provider shall be solely liable for any and all claims that may or might arise under the Worker's Compensation Act on behalf of such employees or Service Provider, while so engaged and for any and all claims made by a third party as a consequence of any negligent act or omission on the part of Service Provider's employees, while so engaged on any of the Work.
 - D. Service Provider shall comply with all applicable provisions of the Fair Labor Standards Act and other legislation affecting its employees and the rules and regulations issued thereunder insofar as applicable to its employees and shall at all times save the City free, clear and harmless from all actions, claims, demands and expenses arising out of such act, and rules and regulations that are or may be promulgated in connection therewith.
 - E. Service Provider assumes full responsibility for the payment of all payroll taxes, use, sales, income, or other form of taxes (such as state and, city business and occupation taxes), fees, licenses, excises or payments required by any city, federal or state legislation which are now or may during the term of the Agreement be enacted as to all persons employed by Service Provider and as to all duties, activities and requirements by Service Provider in performance of the Work and Service Provider shall assume exclusive liability therefor, and meet all requirements thereunder pursuant to any rules or regulations that are now or may be promulgated in connection therewith.
14. **Employment/Conflict of Interest.** Service Provider warrants that it has not employed or retained any company or person, other than a bona fide employee working solely for Service Provider, to solicit or secure this Agreement and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for Service Provider, any fee, commission, percentage, brokerage fee, gifts, or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, the City shall have the right to annul this Agreement without liability or, in its discretion, to deduct from the Agreement price or consideration or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee. Further, it is recognized that Service Provider may or will be performing professional services during the term of this Agreement for other parties; however, such performance of other services shall not conflict with or interfere with Service Provider's ability to perform the Work. Service Provider agrees to resolve any such conflicts of interest in favor of the City.
15. **Audits and Inspections.** At any time during normal business hours and as often as the City may deem necessary, Service Provider shall make available to the City for the City's examination all of Service Provider's records and documents with respect to all matters covered by this Agreement and, furthermore, Service Provider will permit the City to audit, examine and make copies, excerpts or transcripts from such records, and to make audits of all contracts, invoices, materials, payrolls, records of personnel, conditions of employment and other data relating to all matters covered by this Agreement.
16. **City of Everett Business License.** Service Provider agrees to obtain a City of Everett business license prior to performing any work pursuant to this Agreement.

17. **State of Washington Requirements.** Service Provider agrees to register and obtain any State of Washington business licenses, Department of Revenue account and/or unified business identifier number as required by RCW 50.04.140 and 51.08.195 prior to performing any work pursuant to this Agreement.
18. **Compliance with Federal, State and Local Laws.** Service Provider shall comply with and obey all federal, state and local laws, regulations, and ordinances applicable to the operation of its business and to its performance of work hereunder.
19. **Compliance with the Washington State Public Records Act.** Service Provider acknowledges that the City is subject to the Public Records Act, chapter 42.56 RCW (the "Act"). All records owned, used or retained by the City are public records subject to disclosure unless exempt under the Act, whether or not such records are in the possession or control of the City or Service Provider. Service Provider shall cooperate with the City so that the City may comply with all of its obligations under the Act. Within ten (10) days after receipt of notice from the City, Service Provider shall deliver to the City copies of all records relating to this Agreement or relating to the Work that the City determines qualify as the City's public records under the Act. If the City receives a public records request relating to this Agreement or relating to the Work, the City shall seek to provide notice to Service Provider at least ten (10) days before the City releases records pursuant to such public records request, but in no event will the City have any liability to Service Provider for any failure of the City to provide such notice. In addition to its other indemnification and defense obligations under this Agreement, Service Provider shall indemnify and defend the City from and against any and all losses, penalties, fines, claims, demands, expenses (including, but not limited to, attorney's fees and litigation expenses), suits, judgments, or damage arising from or relating to any failure of Service Provider to comply with this Section.
20. **Compliance with Grant/Loan Terms and Conditions.** Service Provider shall comply with any and all terms, conditions, terms and requirements of any federal, state or other agency grant or loan that wholly or partially funds Service Provider's work hereunder. If the grant or loan requires that the agency be a third party beneficiary to this Agreement, then the agency is a third party beneficiary to this Agreement.
21. **Equal Employment Opportunity.** Service Provider shall not discriminate against any employee, applicant for employment, or other person on the basis of race, color, religion, sex, age, disability, marital state, or national origin or other circumstance prohibited by applicable federal, state, or local law or ordinance. Service Provider shall comply with and shall not violate any applicable provisions of Chapter 49.60 RCW, Title VI of the Civil Rights Act of 1964, and all applicable federal, state, or local law or ordinance regarding non-discrimination.
22. **Waiver.** Any waiver by Service Provider or the City or the breach of any provision of this Agreement by the other party will not operate, or be construed, as a waiver of any subsequent breach by either party or prevent either party from thereafter enforcing any such provisions.
23. **Complete Agreement.** This Agreement contains the complete and integrated understanding and agreement between the parties and supersedes any understanding, agreement or negotiation whether oral or written not set forth herein.
24. **Modification of Agreement.** This Agreement may only be modified as provided in Section 8, or by a writing explicitly identified as a modification or amendment of this Agreement that is signed by authorized representatives of the City and Service Provider.
25. **Severability.** If any part of this Agreement is found to be in conflict with applicable laws, such part shall be inoperative, null and void, insofar as it is in conflict with said laws, and the remainder of the Agreement shall remain in full force and effect.
26. **Notices.**
 - A. Notices to the City shall be sent to the City Project Manager address in the Basic Provisions.

- B. Notices to Service Provider shall be sent to its address in the Basic Provisions.
27. **Venue**. Venue for any lawsuit arising out of this Agreement shall be in the Superior Court of Snohomish County, Washington.
28. **Governing Law**. The laws of the State of Washington, without giving effect to principles of conflict of laws, govern all matters arising out of or relating to this Agreement.
29. **City Marks**. Service Provider will not use any trade name, trademark, service mark, or logo of the City (or any name, mark, or logo confusingly similar thereto) in any advertising, promotions, or otherwise, without the City's express prior written consent.
30. **No Personal Liability**. No officer, agent or employee of the City shall be personally responsible for any liability arising under this Agreement, whether expressed or implied, nor for any statement or representation made or in any connection with this Agreement.
31. **Federal Debarment**. Service Provider shall immediately notify the City of any suspension or debarment or other action that excludes Service Provider or any Service Provider subcontractor from participation in Federal contracting. Service Provider shall verify all subcontractors that are intended and/or used by Service Provider for performance of Work are in good standing and are not debarred, suspended or otherwise ineligible by the Federal Government. Debarment shall be verified at <https://www.epls.gov/eplsearch.do>. Service Provider shall keep proof of such verification within Service Provider records.
32. **Signature/Counterparts**. This Agreement and any amendment thereto may be signed in counterparts, each of which shall be deemed an original, and all of which, taken together, shall be deemed one and the same document. AdobeSign signatures are fully binding. Any ink, electronic, faxed, scanned, photocopied, or similarly reproduced signature on this Agreement or any amendment hereto will be deemed an original signature and will be fully enforceable as an original signature.
33. **Standard Document**. This General Provisions document is a standard City form document. No changes by Service Provider are authorized to the General Provisions. Notwithstanding anything to the contrary in this Agreement, in the event that Service Provider makes unauthorized changes to the General Provisions, such changes are deemed to have never been made and the contract between the City and Service Provider is deemed to be the unchanged standard City form General Provisions in version stated below, regardless of whether the City signs this Agreement in a form that may contain the unauthorized changes.

END OF GENERAL PROVISIONS
(v.071423.P1)

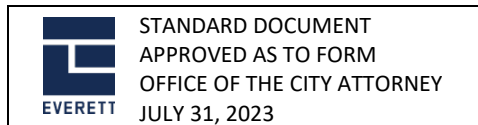


EXHIBIT A
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)

SECTION 2: PRICE SHEET (FORM 4.02)

FORM 4.02 PRICE SHEET

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT

Supplier Name: True North Consulting Group, LLC

Prices must include providing all services as detailed in the Scope of Work.

1. Complete the price sheet.
2. Provide a firm fixed, not to exceed, lump sum amount for each Section A that includes all services listed in Section 2.
3. Provide firm fixed hourly rates for Section B.
4. Clearly identify any services mentioned in your response that are not included in your proposed fee, such as services that would be an additional expense.

A.	Threat and Vulnerability Assessment	Firm Fixed, Not to Exceed	\$ 108,075.00
	Task Breakdown	# of hours for task	Cost
	Deliverable: A Comprehensive Threat and Vulnerability Assessment for Everett Transit's system and assets, including a master plan for prioritized implementation of the assessment's findings.	300	\$ 49,500.00
	Deliverable: A comprehensive security report that addresses each site's specific threat vulnerabilities and countermeasure recommendations.	150	\$ 24,750.00
	Deliverable: Policy recommendations	115	\$ 18,975.00
	Deliverable: Detailed estimate of costs to implement the findings	90	\$ 14,850.00

B.	Additional Services- provide hourly rates for follow-on services that the consultant may provide which supplement the initial scope of work.	
	Service Description	Hourly Rate
	Policy Development	\$ 175.00
		\$
		\$
		\$

EXHIBIT B
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)



PROPOSAL FOR CONSULTING SERVICES

FOR

**EVERETT TRANSIT SECURITY THREAT
ASSESSMENT**

RFP #2024-075

June 11, 2024

PREPARED FOR:
City of Everett, Washington

Prepared by:
Emily Whicker
Tony Chojnowski
Sandi Parr

TRUENORTH 

A decorative graphic in the bottom right corner consisting of several thin, overlapping, wavy lines that create a sense of motion and depth.

Cover Letter

3408 Hillcrest Drive, Waco, TX 76708
888.650.4580 • 512.451.5445 • www.tncg.com

June 11, 2024

Ms. Theresa Bauccio-Teschlog, MBA, NIGP-CPP, CPPB, Procurement Manager
City of Everett (bids@everettwa.gov)
2930 Wetmore Ave., Suite 9E
Everett, WA 98201

Dear Ms. Bauccio-Teschlog:

True North Consulting Group, LLC (True North or TNCG) is pleased to have the opportunity to respond to the City of Everett's Request for Proposal #2024-075 for Everett Transit Security Threat Assessment. True North acknowledges Addendum #1 dated May 28, 2024; Addendum #2 dated May 29, 2024; and Addendum #3 dated May 31, 2024. TNCG acknowledges and agrees to the City's Professional Services Agreement (General Provisions v.071423.P1) included in the RFP.

TNCG is uniquely qualified to perform all aspects of the services requested from our ASIS-certified and internationally trained security personnel, technology integration specialists, Crime Prevention Through Environmental Design (CPTED) experts, and ASIS-certified Professional PSP personnel. Our highly motivated staff of 55+ consultants is dedicated to security and technology consulting.

True North's authorized representative to contractually obligate the organization and negotiate the contract on behalf of the organization is Tony Chojnowski, Chief Operating Officer, 254.229.0099. True North's contact persons for clarifications are Emily Whicker, Senior Sales Consultant, 254.716.0423, emily.whicker@tncg.com and Tony Chojnowski, COO, 254.229.0099, tony.chojnowski@tncg.com.

We look forward to the opportunity to work with the City of Everett. If you have any questions or if we can be of assistance, please feel free to give me a call at 254.229.0099 or email me at tony.chojnowski@tncg.com.

Sincerely,



Tony Chojnowski, Chief Operating Officer - True North Consulting Group, LLC

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SECTION 1: SUPPLIER COMMITMENT AND INFORMATION (FORM 4.01)

FORM 4.01 SUPPLIER COMMITMENT AND INFORMATION

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT


Company Name: True North Consulting Group, LLC		
Company Address: 3408 Hillcrest Drive		
City: Waco	State: Texas	ZIP: 76708
Tax ID #: 46-5651592	UBI #:	
Legal status of supplier organization, i.e., corporation, partnership, sole proprietorship. Limited Liability Company		
Diversity Certification (if applicable): <input type="checkbox"/> Disadvantaged Business Enterprise (DBE) <input type="checkbox"/> Minority Business Enterprise (MBE) <input type="checkbox"/> Women Business Enterprise (WBE) <input type="checkbox"/> Minority Women Business Enterprise (MWBE) Certification number:		
Website: www.tncg.com	City of Everett Business License # True North will obtain upon notice of award.	
Supplier Contact Name (if different from Authorizing Official): Emily Whicker	Supplier Contact Title: Senior Sales Consultant	
Supplier Contact Email: emily.whicker@tncg.com	Supplier Contact Direct Phone: 254.266.6424	
Supplier Contact Address (if different from above): same		
City:	State:	ZIP:

By responding to this solicitation, the Supplier understands and agrees to be bound by all requirements and contract terms and conditions contained in this solicitation. By signing this form, the Supplier acknowledges receipt and understanding of any and all addenda issued for this solicitation. This form, signed by an individual authorized to legally commit the Supplier, must be submitted as the cover page.

The Supplier also certifies that:

- I am authorized to commit my firm to this Proposal and that the information herein is valid for 120 days from this date.
- That all information presented herein is accurate and complete and that the scope of work can be performed as presented in this proposal upon the City's request.
- That I have had an opportunity to ask questions regarding this Proposal and that those questions have been answered.
- That this Proposal response is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting an offer for this Proposal and is in all respects fair and without collusion or fraud.

This form may be signed by ink signature, copy of ink signature, copy of signature, e-signature or any other form of signature. By submitting this bid, the bidder agrees that its signature will have the same legal effect as an original ink signature.

Authorizing Official Name: Tony Chojnowski	Authorizing Official Title: COO
Authorizing Official Email: tony.chojnowski@tncg.com	Authorizing Official Phone: 254.229.0099
Authorizing Official Signature and Date:  06/05/2024	

SECTION 2: PRICE SHEET (FORM 4.02)

FORM 4.02 PRICE SHEET

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT

Supplier Name: True North Consulting Group, LLC

Prices must include providing all services as detailed in the Scope of Work.

1. Complete the price sheet.
2. Provide a firm fixed, not to exceed, lump sum amount for each Section A that includes all services listed in Section 2.
3. Provide firm fixed hourly rates for Section B.
4. Clearly identify any services mentioned in your response that are not included in your proposed fee, such as services that would be an additional expense.

A.	Threat and Vulnerability Assessment	Firm Fixed, Not to Exceed	\$ 108,075.00
	Task Breakdown	# of hours for task	Cost
	Deliverable: A Comprehensive Threat and Vulnerability Assessment for Everett Transit's system and assets, including a master plan for prioritized implementation of the assessment's findings.	300	\$ 49,500.00
	Deliverable: A comprehensive security report that addresses each site's specific threat vulnerabilities and countermeasure recommendations.	150	\$ 24,750.00
	Deliverable: Policy recommendations	115	\$ 18,975.00
	Deliverable: Detailed estimate of costs to implement the findings	90	\$ 14,850.00

B.	Additional Services- provide hourly rates for follow-on services that the consultant may provide which supplement the initial scope of work.	
	Service Description	Hourly Rate
	Policy Development	\$ 175.00
		\$
		\$
		\$

SECTION 3: NARRATIVE RESPONSES (FORM 4.03 QUESTIONNAIRE)

Suppliers must complete this “Questionnaire,” providing the information in the same order requested below. In their narrative, suppliers may emphasize any areas of their proposal that they believe exceed our requirements.

1. QUALIFICATIONS AND RELEVANT EXPERIENCE

- A.** Briefly describe your company. Include how long the company has been in business.

Response: True North Consulting Group has over 40 years of experience in security consulting. As a national firm, TNCG operates with offices and field consultants in cities such as Austin, Dallas, Houston, San Antonio (TX), Stillwater (MN), and various other locations throughout the United States.

True North Consulting Group (True North/TNCG) is a Limited Liability Company founded from the Texas Division of Elert & Associates (E&A), a 40-year-old independent technology consulting firm headquartered in Stillwater, MN. In 2018, True North Consulting Group and Elert & Associates merged and became one company – True North Consulting Group. True North now includes a consulting staff of 55+ specialists. True North is based in Texas and has seamlessly continued to maintain E&A client accounts and serve states throughout the country.

TNCG has assisted over 1,500 public- and private-sector clients by meeting their assessment needs for the past 40 years. Our services include vulnerability assessments, cybersecurity, penetration testing, IT information technology plans, PCI, SCADA compliance, and security program development and planning. Executives are IT industry veterans with technical expertise and extensive experience managing large-scale projects.

OUR PHILOSOPHY:

- Disciplined Professional Service
- Sincere Client Interest
- Security & Technical Excellence
- Proven Concepts
- Holistic Approach to Security

Since 1984, TNCG has provided physical security, building intrusion, managed services, assessments of security controls, cybersecurity, technical threat vulnerability assessments, and on-site consulting services. Our findings are meticulously documented in our Assessment Reports.

The primary purpose of True North Consulting Group, LLC is to provide the benefits of experienced, unbiased security and technology consulting. TNCG's philosophy starts with a disciplined professional service process and sincere client interest blended with listening to our client's needs and goals. Combining this philosophy with security and technical excellence, proven concepts, on-time delivery, adherence to budgets, and team communication dedication makes for a quality result. From security and technology assessments to design, bid, and implementation, TNCG works with you to develop and implement a comprehensive security program and supporting systems.

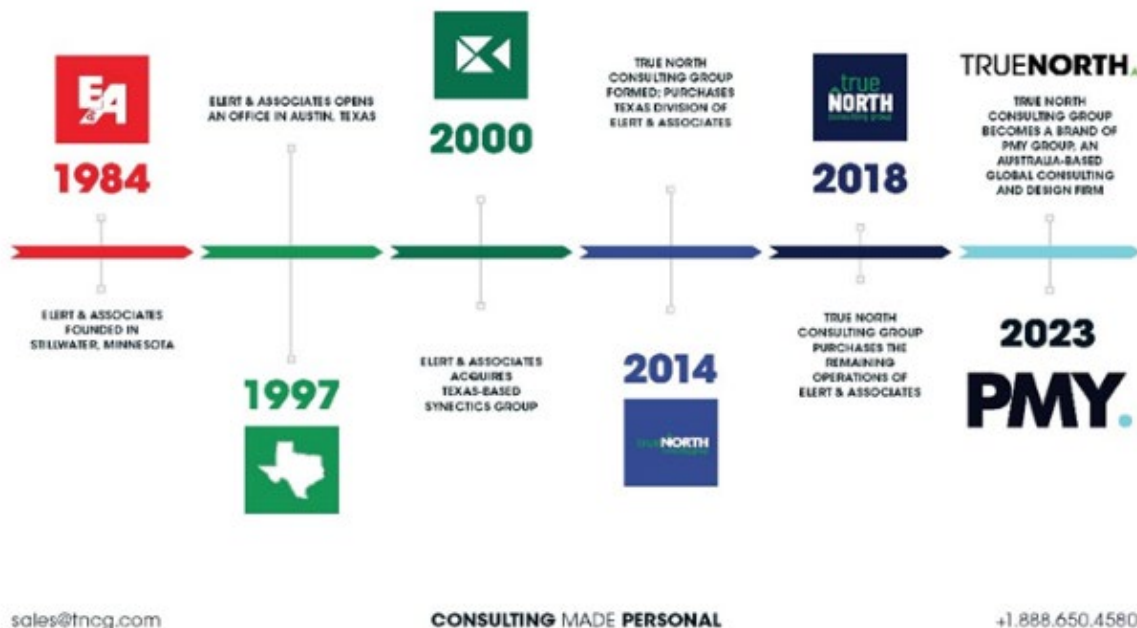
True North is dedicated to advancing proactive homeland security methods to organizations in the public and private sectors. TNCG specializes in detailed, threat-oriented **Vulnerability and Risk Assessments, Emergency Management Planning and Training**, and the establishment of **Suspicion Indicators Recognition & Assessment (SIRA™)** programs. True North Consulting Group is not just another safety, security, and emergency management consulting firm. TNCG's consultants have the training and field experience from the Israeli government security agencies, US mass-transit systems, and US Federal and state law enforcement agencies. Most importantly, TNCG has **demonstrated unparalleled expertise in safety and security assessments of critical infrastructure**, including assessments meant to ensure compliance with federal mandates, laws, rules, and regulations. TNCG has managed and assessed programs and plans geared toward the prevention of and response to all-hazards in a wide array of critical infrastructure settings for both the public and private sectors.

True North Consulting Group conducted various assessments on large critical infrastructure organizations, including city, county, and state facilities, metro-wide transit systems, utilities, and water treatment systems. TNCG is proud to work with critical infrastructure security and operations subject matter experts to assess and secure these systems.

We are confident that the combination of our vast experience in conducting technical security vulnerability assessments, our executives' direct and practical approach, our staff's solid technical qualifications, our focus on security services, and our meticulous project management and operations expertise will help the City of Everett achieve a stronger security posture based on the performance of the activities described in this proposal.

TRUENORTH[▲]

40 YEARS OF EXPERIENCE



B. Describe the qualifications of your company, its business experience, and achievements.

Response: True North adheres to and supplements our extensive security experience with industry best practices and adherence to DHS, FEMA, and other industry standards, including ASIS Publication (which we are published in), National Crime Prevention Council, National Institute of Justice, CCTV Application Guideline Standard EN50132 7, CENELEC Standards, and FM3-19-30 Physical Security Standards. We employ individuals with Certified Protection Professional (CPP), Emergency Number Professional (ENP), Certified Business Continuity Professional (CBCP), Project Management Professional (PMP), and Registered Communications Distribution Designer (RCDD) as well as being members of ASIS.

- ◆ AICP American Institute of Certified Planners
- ◆ APCO Association of Public-Safety Communications Officials
- ◆ ASIS American Society for Industrial Security
- ◆ BICSI Building Industry Construction Services International
- ◆ CBCP Certified Business Continuity Planner
- ◆ CHS-III Certified Homeland Security Professional
- ◆ CISM Certified Information Security Manager
- ◆ CISSP Certified Information System Security Professional
- ◆ CPO Crime Prevention Officer
- ◆ CPP ASIS Certified Protection Professional
- ◆ CPTED Crime Prevention Through Environmental Design Certification
- ◆ CRISC Certification in Risk and Information Systems Controls
- ◆ CSS Certified Security Supervisor
- ◆ CTM™ Certified Threat Manager
- ◆ Ed.M. Master of Education
- ◆ E.E. Electrical Engineer
- ◆ ENP-911 Emergency Number Professional
- ◆ FCC General Class Radiotelephone License
- ◆ HSEEP Homeland Security Exercise and Evaluation Program
- ◆ ICIA International Communications Industries Association, Inc.
- ◆ ICT Institute for Counterterrorism
- ◆ IEEE Institute of Electrical & Electronic Engineers
- ◆ ISA Israeli Security Academy

- ◆ ITIL V3 Foundations
- ◆ LEED AP Leadership in Energy and Environmental Design, Accredited Professional
- ◆ MEP Master Exercise Practitioner
- ◆ PE Professional Engineer (selected states)
- ◆ PMP Project Management Professional
- ◆ PSP Physical Security Professional
- ◆ RCDD Registered Communications Distribution Designer
- ◆ RTBAV Certified Instructor in "Refuse To Be A Victim" Training Program
- ◆ USGBC US Green Building Council Membership
- ◆ Sandia Labs Vulnerability Assessment Methodology

Services Overview

DESCRIPTION OF SECURITY CONSULTING SERVICES PROVIDED	
Security Risk Assessments	Comprehensive, risk-based assessments of facilities and security programs utilizing DHS, FBI, and Israeli Shin Bet methodology. Assessments include risk analysis, threat assessment, vulnerability assessments, and mitigation recommendations. The assessment aims to increase our client's ability to prevent, detect, deter, and respond to manmade threats to staff and visitors on facilities.
Threat Assessments	Comprehensive, community-specific threat assessments utilizing DHS and FBI methodology and incorporating up-to-date threat information from local and regional law enforcement, including regional FBI Fusion Center data and information.
CPTED Assessment and Mitigation	Security, vulnerability assessments, and mitigation recommendations include and address Crime Prevention Through Environmental Design (CPTED) principles.
Lighting Assessments	As part of our security assessments, our Team will assess outside lighting levels for facilities and sites to ensure adequate lighting levels for both prevention of threats and effective response to potential situations.

DESCRIPTION OF SECURITY CONSULTING SERVICES PROVIDED	
All-Hazards Mitigation	Our Team provides best-practice all-hazard mitigation recommendations addressing based on security and vulnerability assessments. Mitigation recommendations are prioritized and address all three areas of security programs: physical, technology, and human factor security. Cost estimates are part of the mitigation recommendations if desired. Mitigation strategies are designed to address identified risks and threats.
Physical Security & Supporting Technology Infrastructure Design and Engineering, Acquisition & Project Management	Our Team provides these services in the following technologies and associated network infrastructure: <ul style="list-style-type: none"> ○ Video Surveillance/CCTV ○ Identity Management ○ LAN (including Wireless LAN) ○ Access/Entry Control ○ Video Management Systems (VMS) ○ Video Analytics and Biometric Technologies ○ Alarm Systems/Intrusion Detection/Notification Systems ○ Communications/Dispatch Systems/Command Center
Dispatch/SOC/Command Center Feasibility Assessment Design & Project Management	True North provides assessment and feasibility studies for security dispatch, security operations centers and command centers, as well as design and implementation planning and contract administration.
Security Master Planning	Our Team consultants provide security master planning services, providing our clients with a comprehensive, long-term strategy that covers all aspects of a security operation and provides sequential planning over a period of years to provide a safe, secure environment.
All-Hazards Emergency Management Planning	Our Team reviews emergency management plans, policies, and procedures; assists in updating plans and policies; and writes all-hazards emergency management plans for our

DESCRIPTION OF SECURITY CONSULTING SERVICES PROVIDED	
	clients. We follow DHS and FEMA guidelines for emergency management planning, ensuring emergency management plans meet local and state requirements.
Business Impact Analysis	Our Team helps clients determine and evaluate the potential effects of an interruption to critical business operations due to a disaster, accident, or emergency, including IT functions.
Business Continuity Planning	With knowledge of the threats and risks faced by our clients, our consultants work with our clients to create strategies and a plan to ensure that personnel and assets are protected and able to function in the event of an emergency, both in the short-term and long-term.
Continuity of Operations Plan	Our consultants work with our clients to develop a robust Continuity of Operations Plan (COOP) that delineates how a division or department will perform essential operations during an emergency or long-term disruption. It identifies mission-critical functions, communication methods, and alternate personnel, systems, and locations. Each department/division needs a COOP to ensure they can respond effectively in emergency situations.
Training and Exercises	We assist our clients with training in emergency response, suspicious behavior indicators, and effective policies and procedures for security personnel. Training ranges from two-hour to all-day workshops. Formats for training include tabletop exercises, drills, and full-scale emergency response exercises.
Program Implementation	Our consultants will work with our clients on an ongoing basis to implement recommended mitigation strategies and enhance security programs.

Project Experience

NAME	LOCATION	SIZE	SCOPE
Texas Facilities Commission	Austin, TX	150 Locations	Security Assessment, Security Technology Design Standards, and Master Implementation Plan
City of Dallas	Dallas, TX	180 Locations	City-wide Security Assessment, Security Assessment Tool, Security Standards, Security Training Plan
San Antonio Water Systems	San Antonio, TX	6 Facilities	Security Assessment
South Platte Renewal Partners – Wastewater Treatment Plant	Englewood, CO	1 Location	Security Assessment, Security Standards, and Security Master Plan
City of Garland	Garland, TX	166 Facilities	City-wide Security Assessment
Chicago Office of Emergency Management and Communications	Chicago, IL	1 Location	Security Assessment
Richard J. Daley Center	Chicago, IL	1 Location	Security Assessment, Specifications & Project Management
City of Ames	Ames, IA	6 Locations	Security Assessment, Specifications & Project Management
Washington Metropolitan Area Transit Authority	Washington, DC	96 Facilities	System-wide Security Threat, Risk, Vulnerability Assessment

NAME	LOCATION	SIZE	SCOPE
Northern Tier Energy	St. Paul Park, MN	1 Location	Security Assessment
City of Santa Cruz	Santa Cruz, CA	14 Locations	Security Assessment
City of Ceres	Ceres, CA	14 Locations	Security Assessment & Design
City of Tamarac	Tamarac, FL	29 Facilities	Security Assessment & Master Plan
US Bank Stadium	Minneapolis, MN	1 Facility	Security Consulting & Design
Minnesota State Capitol Complex	St. Paul, MN	13 Facilities	Security Assessment
Routt County	Routt County, CO	3 Facilities	Security Assessment
City of Saint Paul	St. Paul, MN	N/A	City-wide Security Camera Design
Nueces County Courthouse	Nueces County, TX	1 Facility	Security Assessment
Orange County Community Centers	Orange County, FL	7 Facilities	Security Assessment & Design
Hennepin County	Hennepin County, MN	22 Facilities	Security Assessment
Hennepin County	Hennepin County, MN	N/A	County-wide All Hazards Threat Assessment
Anoka County	Anoka, MN	53 Facilities	County-wide Security Surveillance Assessment & Design

- C.** If awarded this contract, who are you proposing to be the project manager? What is their experience with this work and other aspects pertinent to this project? What are their years of experience, years in the industry, years with the firm, years of applicable licenses, etc.? Provide a list of three major projects that the person has led.

Response: True North proposes Michael Rozin as the Project Manager. For nearly 25 years, Michael has focused on protection against perpetrators of targeted violence with a focus on transportation. He utilizes his skills and extensive experience to deliver highly effective security risk assessments, training, protection, and intelligence offerings to help its customers and partners prevent targeted violence and other threats.

Michael's initial foray into protection was serving in the Israel Defense Forces as a Sergeant in the specialized combat unit Barkan. He then completed an advanced security and anti-terrorism training program under the Israel Security Agency's oversight at the Israel Security Academy. This led him to join the Israel Airport Authority as a field protective agent for Ben-Gurion International Airport in Tel Aviv. In 2005, he received an award for excellence for his performance at the agency.

In 2005, Michael transitioned to the private sector, leading the special operations for the largest shopping mall in the United States, the Mall of America (MOA). As special operations security captain, he developed, implemented, and managed a behavioral threat detection and assessment program and various other programs focused on targeted mass violence prevention. These initiatives proved so effective that they were presented to the U.S. House of Representatives Committee on Homeland Security as a security model in the private sector in 2008.

Michael has led numerous transportation security projects to successful fruition, such as the system-wide Threat and Vulnerability Assessment of the Washington Metropolitan Area Transit Authority (WMATA), Security Risk Assessment, and implementation of security solutions to include contingency planning for the Minnesota Metro Transit system, security awareness and emergency preparedness program development and training of the Pinellas Suncoast Transit Authority and numerous others.

Michael is certified to conduct Threat and Vulnerability and Transportation Security Risk Assessments through the United States Department of Homeland Security.

Michael is a regular guest speaker at law enforcement conferences on counterterrorism, transportation security, and other proactive security methods. He is a faculty member at the University of Minnesota's Master of Science in Security Technologies program, where he teaches security risk assessment principles and integrates psychology, behavior threat assessment, and technology in a security operation in this role. Michael is also a member of the University of Tampa Criminology and Criminal Justice Department Advisory Board.

Michael is a Certified Threat Manager (CTM™) through the Association of Threat Assessment Professionals (ATAP), a graduate of the Institute of Counterterrorism in the Interdisciplinary Center in Herzliya, Israel, a certified Krav Maga instructor, and fluent in Bulgarian, English, Hebrew, and Russian. In his free time, he enjoys spending time with family, engaging in the community, and working on fluency in Arabic, French, Greek, and Spanish.

- D.** Provide names, tenure, roles, and responsibilities for each team member engaged in providing the related services. Include any sub-consultants.

Response: True North is uniquely qualified to perform all aspects of the services requested from our ASIS-certified and internationally trained security personnel, technology integration specialists, Crime Prevention Through Environmental Design (CPTED) experts, and ASIS-certified Professional PSP personnel. Our highly motivated staff of over 55+ consultants is dedicated to security and technology consulting. Each member of the project team has worked together on multiple projects.

Please see True North's list of project team members on the following page.

True North's project team members include:

Team Member	Role	Tenure	Responsibilities
Tony Chojnowski	Project Executive	24 years	Escalations Resource Allocation Strategic Oversight
Michael Rozin	Project Lead	22 years	Project Coordination Reporting Data Collection Quality Assurance
Ross Greves	Sr. Security Consultant	33 years	Data Collection Analysis Reporting
Rob Rawson	Sr. Security Consultant	19 years	Data Collection Analysis Reporting

Organization Chart

WE DIDN'T INVENT CONSULTING; WE JUST MADE IT **PERSONAL**.

TRUENORTH
A PMY COMPANY



sales@tncg.com

CONSULTING MADE **PERSONAL**

+1.888.650.4580

Resumes

Please see team member resumes on the following pages.



MICHAEL ROZIN, cTM™

SENIOR SECURITY CONSULTANT

Michael is a founding partner and the president of Rozin Security. For nearly 25 years, he has focused on terrorism prevention and security, and is highly skilled in proactive protection against terrorists and other high-risk perpetrators of violence. He served in the Israel Defense Forces as a sergeant in the specialized combat unit Barkan. After his military service, Michael completed an advanced security and anti-terrorism training program at the Israel Security Academy under the oversight of the Israel Security Agency. He then joined the Israel Airport

Authority where he worked as a security agent for Ben-Gurion International Airport in Tel Aviv. In 2005, he received an award of excellence for his performance at the agency. In 2010, Michael was hired as the special operations security captain at the Mall of America in Minnesota. In this role, he developed, implemented, and managed a behavioral detection unit and various programs focused on counter-terrorism. These initiatives proved to be so effective that Michael presented them to the U.S. House of Representatives' Committee on Homeland Security as a model for security in the private sector. Michael is a regular guest speaker at law enforcement conferences on counter-terrorism and other proactive security methods. He has trained a number of law enforcement and security agencies on counter-terrorism and tactical response techniques.

EDUCATION

International Institute of Counter-Terrorism

- Counter-Terrorism Studies

Ma'agan Michael

- Hebrew Studies

Israeli Defense Forces

- Combat Sergeants School

Israeli Security Academy

- Specialized Security Agent

*Fluent in Russian, Bulgarian, Hebrew, English, and basic level in Arabic*Degree

MEMBERSHIPS | AFFILIATIONS

- American Society for Industrial Security (ASIS)
- Twin Cities Security Partnership (TCSP)
- International Association of Bomb Technicians and Investigators (IABTI)
- Institute for Counter-Terrorism (ICT) - Young Professionals

EXPERIENCE

TRUE NORTH CONSULTING GROUP (2009 - PRESENT)

SENIOR SECURITY CONSULTANT

ROZIN SECURITY CONSULTING (2009 - PRESENT)

SENIOR SECURITY CONSULTANT

BEN-GURION INTERNATIONAL AIRPORT (2004-2005)

SPECIALIZED SECURITY AGENT / FIELD SUPERVISOR
ISRAELI AIRPORT AUTHORITY

TEL AVIV (2003-2004)

SECURITY OFFICER, MINISTRY OF FINANCE/MODIYIN EZRAHI LTD

ISRAELI DEFENSE FORCES (IDF) (2001-2003)

SERGEANT

STRATEGIC SKILLS

- National Improvised Explosives Familiarization, Federal Bureau of Investigation (FBI)
- Understanding Islamist Terrorism, Counter Terrorism Operations Center (CTOC)
- Terrorism Awareness: Protecting Soft Targets, Department of Homeland Security
- Terrorism as it relates to Critical Infrastructure, Joint Analysis Center (MNJAC) with Department of Justice (DOJ)
- Explosives Detection Dog Team - Supervisor and Instructor, Global Training Academy
- Krav Maga (Israeli Defensive Tactics), Law Enforcement Instructor, Krav Maga Worldwide
- ASP Baton & Handcuffing - Law Enforcement Instructor, Armament Systems and Procedures

SIGNIFICANT PROJECTS AND ACHIEVEMENTS

- Minneapolis/St. Paul Metro Transit Bus, Light Rail & Critical Infrastructure Facilities | Safety and Security, Threat, and Vulnerability Assessment
- Washington Metropolitan Area Transit Authority (WMATA) | Threat and Vulnerability Assessment
- North County Transit District (NCTD) | Threat and Vulnerability Assessment
- Wichita Transit | Security Risk Threat and Vulnerability Assessment
- Pinellas Suncoast Transit Authority (PSTA) | Threat and Vulnerability Assessment
- City of Dallas, Texas | Security Risk Assessment
- City of Garland, Texas | Security Risk Assessment
- City of Saint Paul, Minnesota | Security Risk Assessment
- Housing Authority of New Haven | Security Risk Assessment
- Carrollton-Farmers Branch Independent School District | Security Risk Assessment
- Royse City Independent School District | Security Risk Assessment
- Minnesota State Capitol | Security Risk Assessment
- Mesquite Independent School District | Security Risk Assessment



TONY CHOJNOWSKI, RCDD/OSP, RTPM

CHIEF OPERATING OFFICER

Tony Chojnowski has been with True North Consulting Group (formerly Elert & Associates – Texas) since 2001. Tony achieved the highest industry design certification (BICSI RCDD) in 1998 and has since added the Outside Plant (OSP) specialty and Registered Telecommunications Project Manager (RTPM) certifications. Tony is also an active member of BICSI (Building Industry Consulting Service International) and ASIS International. His areas of expertise include video surveillance systems, access control systems, security Risk Assessments, cabling infrastructure and distribution systems, active threat notification, paging systems,

customer-owned outside plant infrastructure systems, and fiber optic distribution systems. Tony also holds numerous manufacturer certifications.

EDUCATION:

North Central University, Minneapolis, MN

TRAINING AND CERTIFICATIONS:

- American Clearinghouse for Educational Facilities – Developed a 2-part Webinar for Security on Educational Facilities
- BICSI Registered Communications Distribution Designer (RCDD)
- BICSI Certified Outside Plant (OSP) Designer Certification
- BICSI Registered Telecommunications Project Manager Certification (RTPM)
- Genetec A&E Security Design Summit
- BICSI PM125 Telecommunications Project Management
- BICSI OSP200 Outside Plant Design
- BICSI Grounding & Bonding
- BICSI Outside Plan Design
- ASIS STAM – Video Surveillance Design Certification
- Systimax Design Specialist
- Corning Fiber Optic Design, Installation/Inspection Certification
- Annual ASIS Security Conference Participant

MEMBERSHIPS | AFFILIATIONS:

- BICSI (Building Industry Consulting Service International)
- ASIS International (American Society for Industrial Security)
- IPVM (IP Video Management & Access Control)

EXPERIENCE:

ELERT & ASSOCIATES | TRUE NORTH CONSULTING GROUP

(2001 – PRESENT)

2014 – PRESENT CHIEF OPERATING OFFICER
2011 – 2014 EXECUTIVE VICE PRESIDENT
2001 – 2011 SENIOR CONSULTANT

METRO COMMUNICATION SERVICES, INC. (1993 – 2001)

SENIOR ACCOUNT MANAGER, LEAD DESIGNER

AREAS OF EXPERTISE:

- Program Management
- Security Risk Assessments
- Premise Physical Security Systems
 - Electronic Access Control
 - Intrusion Detection
 - Video Surveillance Systems
- Technology Infrastructure
- Fiber Optic Systems
- Data Center Planning and Design

SIGNIFICANT PROJECTS

DALLAS AREA RAPID TRANSIT (DART)

- Network Upgrade

GREATER DAYTON REGIONAL TRANSIT AUTHORITY

- Security Camera Assessment

NORTH COUNTY TRANSIT DISTRICT

- Threat and Vulnerability Assessment

STATE OF MN – DEPARTMENT OF HUMAN SERVICES

- Technology Assessment

City of Dallas, TX

- Security Assessment of City Facilities

CITY OF AUGUSTA, GA MARSHAL'S OFFICE

- Designed and managed the implementation of new video surveillance and access control security systems and a new central command center for a city-wide system.

CITY OF GARLAND, TX

- Conducted a city-wide risk and vulnerability assessment for 166 city-owned properties. The assessment was based on the CARVER+S methodology, considering three risk factors: asset value, vulnerability, and threat.

ST. CLOUD, MN VETERANS AFFAIRS

- VA Building 2 Renovation
- Early Childhood Development – Cabling, AV, Security

ORANGE COUNTY, FL

- County Clerk Security



ROSS I. GREVES, CPP

SENIOR SECURITY CONSULTANT

Ross brings over 33 years of extensive experience to the technology industry, establishing himself as a seasoned professional with a wealth of knowledge in security management. A proud graduate of Bellevue University in Bellevue, Nebraska, Ross holds a Bachelor of Science in Security Management. Dedicated to professional development is evident in his certifications, notably as an ASIS International Certified Protection Professional (CPP). This prestigious accreditation reflects his expertise and proficiency in security management principles. Ross

continues to be a respected figure in the technology and security industry, bringing a blend of experience, knowledge, and a commitment to safeguarding communities.

EDUCATION

- Bellevue University, Bellevue, Nebraska
- Bachelor of Science in Security Management

AREAS OF EXPERTISE

- Security Risk Assessment
- Public School Safety Audits
- Public Safety
- 2-Way Radio Systems
- Physical Security Systems, Video Management
 - Electronic Access Control
 - Intrusion Detection
- Emergency Mass Notification
 - Public Marquee Display
 - Workstation, Social Media, Text, Email notification
 - Fire Alarm Integration
- Technology Consulting

TRAINING AND CERTIFICATIONS

- ASIS International Certified Protection Professional (CPP)
- Registered Consultant with Texas School Safety Center
- Software House C-Cure – Enterprise Access Control
- Salient CompleteView – Enterprise Video Surveillance
- Morsewatchman Keywatcher
- Homeland Security Threat Liaison Officer
- Annual ASIS Security Conference

EXPERIENCE

TRUE NORTH CONSULTING GROUP (2018 – PRESENT)
SENIOR TECHNOLOGY CONSULTANT

AUSTIN COMMUNITY COLLEGE – AUSTIN, TX (2009 – 2018)
DIRECTOR OF SECURITY

VARIOUS CONSULTING (2001 – 2009)
SENIOR SECURITY CONSULTANT

SECURITY SOLUTIONS (1999 – 2001)
PROJECT MANAGER

UNITED STATES NAVY (1991 – 1999)
ELECTRONICS TECHNICIAN, 2ND CLASS,
SURFACE WARFARE

AREAS OF EXPERTISE

- Master Key Systems – Facility Keying
- Emergency Management
- Emergency Response
- Business Continuity
- Risk Assessment
- Central Station Design
- Security Control Room Design

SIGNIFICANT PROJECTS

NORTH COUNTY TRANSIT DISTRICT

- Threat and Vulnerability Assessment

CITY OF DALLAS

- Security Assessment of City Facilities

CITY OF GARLAND

- Security & Vulnerability Assessment

SAN ANTONIO WATER SYSTEMS (SAWS)

- Security Risk Assessment and consulting for DSP facilities

RALEIGH – DURHAM INTERNATIONAL AIRPORT – CARY, NC

- Provided consulting services for comprehensive hot-redundant premise access control system upgrade and initial deployment of an enterprise video surveillance system.
 - Terminal A
 - Terminal C
 - General Aviation

USAA

- One Riverwalk – Security
- 300 Convent Security

FEDERAL RESERVE BANK

- District 11
Provided consulting services to upgrade FRB Dallas cash vault solution. Subsequent projects included an upgrade to District 11's premise access control, video surveillance, and intercom systems.
- District 12
Provided security consulting, design, and implementation oversight services to expand and enhance a multi-phase security program.



ROB RAWSON

SECURITY CONSULTANT

Rob is a dedicated security specialist with more than 13 years of experience in investigations, law enforcement, protective surveillance, and trauma management and emergency incident response. Extensive training in EVOC (emergency vehicle operations), firearms, defensive tactics, drug and bulk cash interdiction, money laundering, firearms, and behavior detection.

EDUCATION

Metropolitan State University, St. Paul, MN

- BS Degree in Law Enforcement
- Century College, White Bear Lake, MN
- AAS Liberal Arts and Sciences Century College (2014)
- AS Degree in Law Enforcement Century College

TRAINING AND CERTIFICATIONS

- SIRA – Suspicion Indicators Recognition & Assessment System
- SIRA – Advanced interviewing and deception detection
- Certified Minnesota (TLO) Threat Liaison Officer – MN Bureau of Criminal Apprehension
- PPCT/Lock-Up Combatives instructor
- Aviation Survival Training US Army
- Crisis Intervention Training (CIT)
- Basic Life Support – BLS instructor
- Advanced Life Support – ALS Instructor
- Nationally registered EMT – NREMT-B
- Criminal interdiction instructor
- Tactical narcotics interrogation and debriefing
- Taser certified
- Drugs that impair – NHTSA
- DWI SFST – NHTSA
- Active shooter training
- Ramsey County SWAT
- National Incident Management System (NIMS)
- Military Operations in Urban Terrain instructor

EXPERIENCE

TRUE NORTH CONSULTING GROUP (2018 – PRESENT)

SENIOR THREAT MITIGATION SPECIALIST

RAWSON GROUP, LLC (2018 – 2019)

SECURITY CONSULTANT

EPG SECURITY GROUP (2017 – 2018)

OPERATIONS MANAGER

STATE OF MINNESOTA (2011 – 2017)

STATE TROOPER

CHISAGO COUNTY SHERIFF'S OFFICE (2009 – 2011)

CORRECTIONS OFFICER

US ARMY (2006 – 2009)

COMBAT MEDIC

AREAS OF EXPERTISE

- Narcotics and criminal investigation
- Interview and interrogation
- Report writing
- Open-source intelligence
- Cell phone and digital forensics
- Executive protection
- Surveillance
- Defensive tactics
- LEOSA permit to carry all 50 states
- Suspicious Indicator Recognition

SIGNIFICANT PROJECTS

CARROLLTON-FARMERS BRANCH INDEPENDENT SCHOOL DISTRICT

- Assessment Team Leader
- District-wide security risk assessment
 - All district facilities
 - District leadership interviews
 - Operations, policies and procedures
 - Emergency response plans
 - Building physical measures
 - Security Technologies
- Long-term security planning
- Emergency Planning:
- Incident Command Structure creation with each campus emergency response team.
- Emergency response training via tabletop exercises with real-life emergency scenarios to review roles and responsibilities for each campus team.

CYPRESS FAIRBANKS INDEPENDENT SCHOOL DISTRICT

- Assessment Team Leader
- District-wide security risk assessment
- Long-term security planning

Palm Springs Unified School District

- Project Assessment Team Leader
- District-wide security risk assessment

New Hanover County Schools

- Project Assessment Team Leader
- District-wide safety & security risk assessment

- E.** Describe how you recruit and maintain personnel possessing the qualifications and capabilities called for in this RFP.

Response: To recruit and maintain qualified personnel, we target specialized job boards, leverage employee referrals, and partner with educational institutions and professional organizations. We offer continuous training and clear career growth opportunities to ensure our team stays current and motivated. Competitive compensation and flexible work arrangements help attract and retain top talent. Additionally, we foster an inclusive and supportive work environment that values diversity and teamwork.

- F.** What is your annual turnover rate for the past three years?

Response:

- 2021 11.8%
- 2022 19.6%
- 2023 7.7%

- G.** What characteristics most distinguish your organization from your competition?

Response: Our decades of experience conducting comprehensive security assessments, backed by relevant certifications and a proven track record supporting similar organizations, distinguishes our organization from our competition. Our team holds advanced certifications in security assessment methodologies, risk management, and compliance standards, ensuring top-tier expertise. We have successfully performed detailed security assessments for numerous clients across various sectors, demonstrating our ability to identify vulnerabilities and recommend effective solutions. Our commitment to continuous improvement and a client-focused approach ensures we deliver tailored, thorough, and actionable security assessments that set us apart in the industry.

2. TECHNICAL CAPABILITY, APPROACH, AND CAPACITY

- A.** What is your approach to this project? Include how you will analyze policies and procedures and the operational environment.

Response:

Project Understanding and Approach

True North understands that the City of Everett is seeking to secure the services of a qualified security consulting firm to complete a comprehensive Threat and Vulnerability Assessment (TVA) to evaluate Everett Transit's vulnerability and susceptibility to an array of security threats and form the basis for all preventative plans and mitigating countermeasures.

TNCG understands that the TVA will include all of Everett Transit's locations shown below:

- Everett Station at 3201 Smith Ave., Everett, WA 98201, which is a 10-acre campus and 60,000-square-foot facility with multiple tenants as well as Everett Transit Administration.
- Everett Transit Operations Center and Parking (Bldg. A) – 3225 Cedar Street
- Everett Transit Maintenance Facility and Parking (Bldg. B) – 3225 Cedar Street
- Mall Station – 1330 SE Everett Mall Way (Significant Origin / Destination)
- College Station – 2200 Tower Street (Significant Origin / Destination)

TNCG understands that this assessment is to provide the city with a comprehensive security risk assessment and clear security goals, objectives, and performance measures responsive to the current threat and vulnerability environment and assessment of current state security measures.

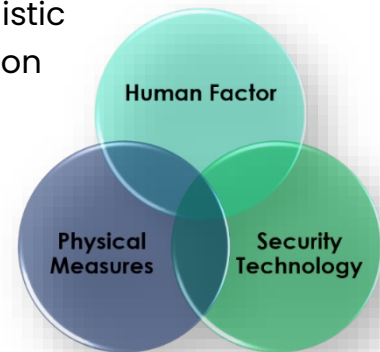
In addition to the Human Factor (People, organizational structure, policies/procedures, emergency preparedness, etc.), the assessment will include the current state assessment of physical security measures and electronic security systems, monitoring, and video surveillance. TNCG will review these current state systems and capacities related to industry standards, best practices, and the threat environment.

The Consultant's proposed services include a pre-assessment, stakeholder interviews, identification of overall security goals and objectives, on-site field assessment for the facilities identified in the RFP, threat assessment, and final deliverable, a comprehensive security assessment and feasibility report with findings, recommendations, priorities (based on risk reduction), probable cost estimates and cost-benefit analysis based on risk reduction in response to threats.

These professional services will be performed for a stipulated sum fee based on the project scope and the technology systems and services outlined below.

Process and Project Organization

TNCG believes we have created a unique, holistic approach to security and risk mitigation professional services. We believe three key areas have a direct impact on the quality and success of a security program. The three areas are Technology, Human Factor, and Physical Security. Each of these areas is interrelated, and addressing only one of these areas does not create a complete solution. We continually stay updated with the evolving security needs for critical infrastructure, mass transit, technology, and current Department of Homeland Security (DHS) standards.



Security Technology has improved dramatically to help security and law enforcement professionals observe and secure more areas and spaces efficiently and effectively. The ability to leverage existing technology infrastructure has dramatically improved security at more effective costs.

Physical Measures are most commonly referred to as a means to accomplish security goals. We believe this is a very important part of the security process. Using a layered approach to security and accomplishing the client's goals through this approach is very important. Access control, gates, fencing, landscaping, and cameras are many different physical items that we see used to help accomplish these goals.

The final and most often overlooked layer of security is the **Human Factor**. We believe that the best asset is people. They are not only the most valuable asset to protect but also a key in recognizing or understanding potential problem areas and behavioral threats.

We assess security risk based on **threat, consequences of loss/negative impact to most critical assets, and identified vulnerabilities** in all three domains of security (technology, physical measures/design/layout, and human factor/operations/protocol/training and procedures). Our recommendations are prioritized by their ability to reduce the most critical risk across the entire organization. We have developed our proprietary, multi-phased methodology for information gathering, risk analysis, and reporting to provide clients with the best possible end product.

Scope of Work

True North understands the critical importance of conducting a comprehensive security assessment for the City of Everett's Transit facilities. Our team recognizes that such an assessment involves not only evaluating physical elements like keyless entry systems, cameras, and alarms but also delving into operational aspects such as staffing protocols, emergency preparedness measures, and threat notification procedures.

We are committed to thoroughly researching and analyzing the City's existing security measures to identify strengths, weaknesses, and areas for improvement.

Furthermore, True North is dedicated to providing actionable recommendations that prioritize the safety and security of City facilities. Our approach encompasses a holistic view, considering factors such as parking infrastructure, lighting arrangements, and public access policies alongside traditional security measures. We understand the complex interplay between various elements within the built environment and their implications for overall security. By conducting a detailed examination of interior and exterior spaces and operational procedures, we aim to equip the City of Everett with a robust security framework tailored to its unique needs and challenges.

- B.** List the primary features or work tasks. Describe your execution, management, and control of the project.

Response: TNCG understands the scope of work, which includes five key phases and special services, which may include the need for the design and construction of high-risk or high-priority items within the City of Everett facilities. Our project approach for each phase is outlined below.

Phase I – Investigation and Research – Project Initiation

TASK 1 - Our work would begin with a Project Initiation meeting with the following tasks:

- Introduce TNCG team members and reiterate their roles.
- Introduction of the City of Everett Transit project staff.
- Review the scope of work with the City of Everett and clarify overall project objectives and project objectives for each phase.
- Identify the key contact(s) with whom TNCG would work and ongoing communication protocols.
- Review timeline and project tasks.
- Establish a schedule for monthly meetings.

TASK 2 - Formulate checklists of needed information and provide those to the City of Everett for completion. Checklists may include requests such as:

- a. Organizational chart(s) for the City of Everett
- b. List of facilities to include type, size, and location
- c. Building floor/Open Area Site plans and maps
- d. Description of existing security infrastructure by location/area
- e. Information concerning service areas, customers, and any City of Everett service partnerships, both current and planned or anticipated future state
- f. Current City of Everett initiatives and improvements, as well as planned initiatives and improvements
- g. Previous risk assessments or technology assessments conducted by the City

TASK 3 - Review the pertinent City of Everett documents outlined in the RFP, including but not limited to:

- a. Security standards
- b. Previous security audits and previous cybersecurity testing results

TASK 4 - Develop format and organization for divisional interviews and workshops. In the workshops, the TNCG team will work with key personnel to review current systems, related operational policies, procedures, and processes and identify security objectives for the City of Everett.

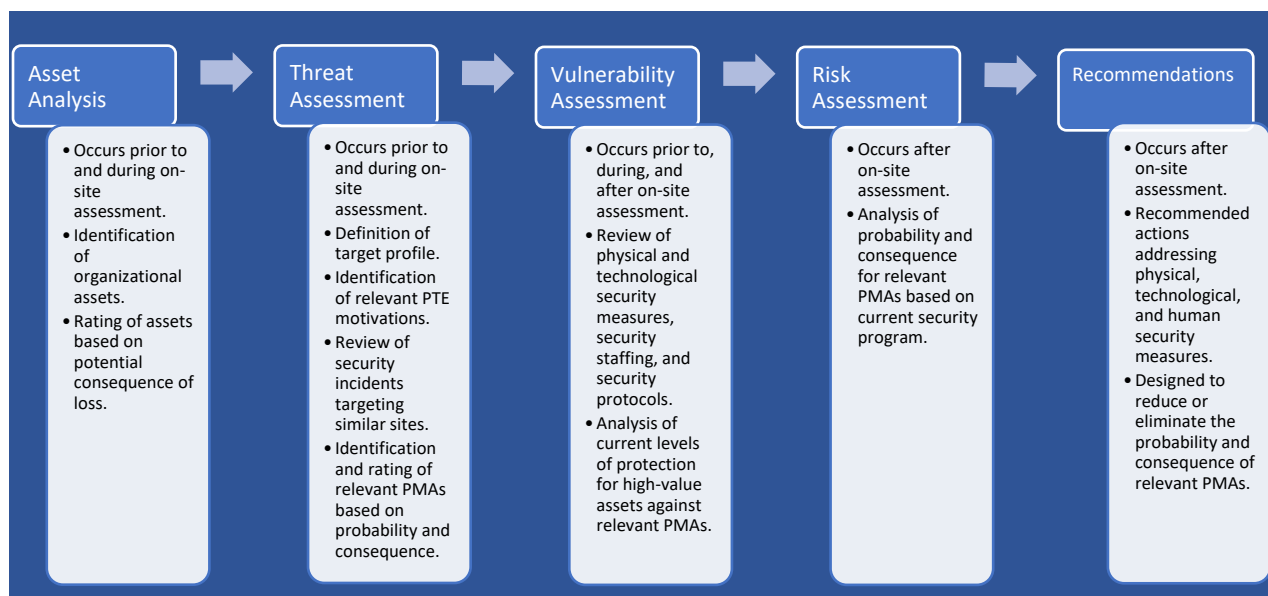
- a. Assess current readiness/awareness levels and staff training.
- b. Identify and classify risks.
- c. Identify strengths and weaknesses.
- d. Define triggers.
- e. Analyze gaps.

TASK 5 - Conduct divisional interviews and workshops. Collect data.

TASK 6 - Meet with the City of Everett Transit IT departments concerning IT and network infrastructure, cybersecurity history, concerns, present and future initiatives, and goals for the City of Everett IT network and security systems.

Phase II – Security Systems Analysis and Physical On-Site Threat and Vulnerability Assessment

We assess security risk based on **threat, consequences of loss/negative impact to most critical assets, and identified vulnerability** in all three domains of security (technology, physical measures/design/layout, and human factor/operations/protocol/training and procedures). Our recommendations are prioritized by their ability to reduce the most critical risk across the entire organization. We have developed our proprietary, multi-phased methodology for information gathering, risk analysis, and reporting to provide clients with the best possible end product.



TASK 1 - Determine the level of implementation of recommendations for each item within the project scope and develop a gap analysis between recommendations and implementation of mitigation efforts. Identify equipment and operational changes to increase efficiency and provide necessary improvements. Make preliminary recommendations based on findings, risk, and best practices for all areas of the City of Everett.

Preliminary gap analysis and recommendations will include the following areas:

- a. physical and electronic security systems,
- b. monitoring, and video surveillance, including current camera quality and locations for proper coverage, current video storage capacity,
- c. access controls and key controls, and
- d. emergency communications
- e. interoperability
- f. data and analytics

TASK 2 - Upon completion of Phase I, TNCG will proceed to conduct on-site security assessments for all City of Everett locations. The assessment will include observation, data collection, and interviews with the necessary site staff.

TASK 3 - Work with key site personnel to review current policies, procedures, and processes to identify security objectives for each facility.

- a. Assess current readiness/awareness levels and staff training.
- b. Identify and classify risks.
- c. Identify strengths and weaknesses.
- d. Define triggers.
- e. Analyze gaps.

TASK 4 - Conduct interviews and/or focus groups with key stakeholders where applicable as necessary to clarify, identify, and validate issues and challenges.

TASK 5 - Discuss the status/development of policies, procedures, processes, and the effectiveness of implementation.

- a. Review existing emergency/crisis management plans.
- b. Review and discuss effectiveness and use of the Notification and Warning System.
- c. Meet with local law enforcement personnel to discuss security.
- d. Review response alternatives.

TASK 6 - Conduct on-site security assessment for identified areas that will include observation, data collection, and interviews with necessary staff for physical security for:

- a. Internal & External
- b. Visit sites to examine and document existing conditions, equipment, and infrastructure.
- c. Conduct a review of physical security for facilities and open areas, including supporting infrastructure and equipment, video surveillance, access control, lighting, building entry/exit areas, and other security equipment or systems as applicable.
- d. Discuss recent security incidents and identify threats, risks, and the likelihood of future security incidents based on input from the City of Everett and local law enforcement personnel.

TASK 7 - Present results to staff for comments and feedback on the overall process.

TASK 8 - Conduct assessment of existing network connectivity for security systems.

- a. Review communications and security systems and configuration.
- b. Assess capability to handle additional security equipment and integration with the existing network.
- c. Provide draft findings and recommendations.

TASK 9 - Review and discuss:

- Overall findings from on-site security assessment
- How existing physical and security technologies and location placement(s) meet/do not meet the City of Everett requirements, industry best practices, and regulatory requirements
- Current security systems and/or equipment functionality
- Standards for future systems expansion and implementation based on building(s) and locations
- Building modification needs

- a. Develop prioritized recommendations based on the information collected, stakeholder interviews, and industry best practices, including:
 - i. ASIS Protection of Assets Manual
 - ii. FEMA
 - iii. National Crimes Prevention Council
 - iv. National Institute of Justice
 - v. NIST 800-53 and NIST 800-171 Standards
 - vi. Department of Homeland Security
- b. As part of the security systems assessment, provide recommendations to replace, re-purpose, or enhance existing systems.
- c. Develop budgetary estimates for recommendations for areas of improvement and implementation strategies. Estimates to include costs to repair/replace equipment and ongoing costs such as licensing or storage and capital costs to install enhanced security equipment.
- d. Provide a cost-benefit analysis of the establishment of a Security Operations Center for the City of Everett.

Phase III – Investigation and Research – Security Operations Hub

TASK 1 - Develop and deliver a draft Technical Memorandum and Policy/Procedures Recommendations Report.

- a. The report will include, at a minimum:
 - i. Executive Summary
 - ii. Introduction
 - iii. Review of Existing Conditions
 - iv. Evaluation of Options to include:
 - Advantages
 - Disadvantages
 - Environmental and other considerations
 - Summary of capital, operation, and life-cycle maintenance costs
 - Detailed recommendations

- b. Technical Memoranda included in the report will include the following areas:
 - i. Visitor Management
 - ii. CCTV System
 - iii. Access and key controls
 - iv. Emergency Communications
 - v. Data, Monitoring, and Analytics
 - vi. Security Operations Center

TASK 2 - Review report with the City of Everett project staff, then make any modifications necessary before submitting the final report with cost estimates and recommended next steps.

Phase IV – Physical Security Implementation Plan Report and Recommendations

TASK 1 - TNCG will provide a physical security implementation plan report for the City of Everett that incorporates all findings and recommendations from Phases I-III. The security master plan report will provide full and clear descriptions of recommendations, including size and number of units, layout of facilities, types of materials, and design criteria. Cost estimates will include construction costs and annual operating and maintenance costs. The physical security implementation plan will also include the identification of any cost savings through value engineering or reduction of existing facilities and equipment. The report shall follow the structure for the report and appendices outlined in the RFP.

TASK 2 - As part of the comprehensive plan, TNCG will provide the City of Everett with a ten-year plan to sustain and improve the City of Everett security systems with annual budgets and a comprehensive implementation plan that includes costs, priorities, and schedules.

TASK 3 - Submit draft security master plan to the City of Everett project staff for review and comment.

TASK 4 - Work with the City of Everett project staff to develop an internal review process for the physical security implementation plan. Revise plan based on internal review.

TASK 5 - Submit a final comprehensive physical security implementation plan report that will include recommended upgrades, gap analysis, migration strategies, timetables, and cost estimates for security and program.

TASK 6 - Work with the City of Everett project staff to determine the process for presenting the physical security implementation plan and overview of findings, considerations, and recommendations to the executive leadership as appropriate and necessary.

TASK 7 - Security Specifications/Standards - TNCG will discuss and coordinate the requirements for specification/standard documents with the City of Everett. These specifications/standards will be used for future remodeling projects or new construction. The specifications/standards will include (but may not be limited to):

- Security Protocols
- Entry/Exit Areas
- Lighting
- Video Surveillance
- Video Management
- Access Control
- Alarm Systems

C. Provide a timeline plan for this project.

Response: Please see True North's Sample Project Timeline below.

Sample Project Timeline

Tasks/Phases (M=Months)	M1	M2	M3	M4	M5	M6
Phase I – Project Kick-off/Data Collection/Scheduling/Interviews						
Phase II – Data Review/Threat Assessment						
Phase III – On-Site Assessments and Interviews						
Phase IV – Analysis, Evaluation, and Reporting						
Phase V – Leadership Presentation						

The schedule is typical, assuming the Owner can provide access to facilities and personnel. On-site interviews and operational reviews (Phase III) must be performed during normal working hours.

D. Provide any City of Everett staff time requirements and resources, including types of information, data, access, or other data that will be needed to conduct the assessment.

Response: True North will ideally work with a point of contact designated by the City of Everett, who will help coordinate the collection of information, scheduling of interviews, and site visits. True North will send a comprehensive pre-assessment questionnaire and a punch list of items to collect, including a list of recent security incidents and threats to note and a list of utilized physical, technological, and operational measures. Based on the pre-assessment review, True North will determine which key decision makers and members of the city of Everett transit team will need to be interviewed as part of the threat and vulnerability assessment.

- E.** Describe the assessment that the City will receive. Highlight the key features and framework. If possible, include a sample assessment.

Response: To fully analyze the security recommendations, True North has implemented the following analytical process by which security threats, vulnerabilities, and potential mitigation measures are evaluated primarily based on the severity of the threat and the potential probability of occurrence, as expressed in the risk assessment index matrix.

- Table 1 will be used to determine security threat severity categories (1, 2, 3, or 4).
- Table 2 will be used to determine the probability or likelihood of occurrence (A, B, C, D, E, or F) of a threat.
- Table 3 shows the associated risk index matrix combining the scenario severity and probability.

Category	Severity	Description
1	Catastrophic	Many deaths/severe injuries, severe system loss and/or environmental damage, or crippling/significant financial loss
2	Critical	Low number of deaths, may cause severe injuries, severe illness, major transit system and/or environmental damage, or major financial loss
3	Marginal	Moderate injuries, occupation illness, transit system and/or environmental damage, or financial loss
4	Negligible	Minor/negligible injuries, system damage, or financial loss

Table 1: Security Threat Severity Categories

Category	Frequency	Probability Categories
A	Frequent	Likely to occur frequently or to be continuously experienced
B	Probable	Can be expected to occur more than once in the life of a system or a particular location or piece of equipment
C	Occasional	Likely to occur sometime in the life of a system of a particular location or piece of equipment
D	Remote	Unlikely but possible to occur sometime in the life of a system of a particular location or piece of equipment
E	Improbable	Highly unlikely, but not impossible, to occur sometime in the life of a system of a particular location or piece of equipment
F	Eliminated	

Table 2: Security Threat Probability Categories

RISK ASSESSMENT MATRIX				
SEVERITY PROBABILITY	Catastrophic (1)	Critical (2)	Marginal (3)	Negligible (4)
Frequent (A)	High	High	Serious	Medium
Probable (B)	High	High	Serious	Medium
Occasional (C)	High	Serious	Medium	Low
Remote (D)	Serious	Medium	Medium	Low
Improbable (E)	Medium	Medium	Medium	Low
Eliminated (F)	Eliminated			

Table 3: Risk Assessment Matrix

The Threat and Vulnerability Risk Assessment Matrix will allow stakeholders the opportunity to assess consequences in terms of severity of impact and probability of occurrence for a given threat. The matrix is further color-coded, indicating and organizing the resulting consequences into High, Serious, Medium, and Low categories. The matrix will enable the decision-makers, namely WMATA senior management, to prioritize the most serious threats/vulnerabilities requiring resolution.

Sample Assessment

Please see True North's redacted sample Security Risk Assessment provided in Section 9: Appendix.

- F.** How does your approach meet or exceed our needs as described in the scope of work?

Response: True North understands the scope of work required for this project and is committed to delivering comprehensive security consulting services that meet the city's requirements. Our project approach, which integrates security technologies, physical measures, and a focus on the human factor, aligns perfectly with the city's needs. We are confident that our methodology will ensure secure and efficient implementation, providing the highest level of safety and compliance.

Please refer to the sample redacted report in the Appendix for detailed insights into our approach and capabilities.

- G.** What is your availability for this project? Please include a statement of other work currently underway or anticipated to be in progress during the project's time frame and show how you intend to schedule projects so that this project is accomplished as well.

Response: The True North team has roughly 75 people around the country, so we can mobilize as needed and tackle large projects quickly. We are available to begin a project kick-off meeting within one week of a Notice-to-Proceed, followed immediately by the pre-assessment information gathering/analysis phase. We can mobilize on-site within three weeks of the kick-off meeting. Refer to the proposed project timeline in *"Phase IV – Physical Security Implementation Plan Report and Recommendations"* for a more detailed proposed timeline.

- H.** Describe your process to ensure compliance with applicable state and federal laws and regulations.

Response: Ensuring compliance with state and federal laws and regulations is a critical part of our responsibilities. This process involves multiple steps, ranging from understanding the applicable laws to implementing practical measures:

- **Research and Understand Applicable Laws:**
Identify Relevant Laws: Determine the state and federal laws that apply to the specific type of contracting work.
- **Develop and Implement Compliance Policies and Procedures**
Documentation and Record-Keeping: Maintain accurate and comprehensive records of all compliance activities, including training sessions, permit applications, and inspections.
- **Ensure Safety and Environmental Compliance**
OSHA Compliance: Follow Occupational Safety and Health Administration (OSHA) guidelines to ensure workplace safety. This includes conducting regular safety training and inspections.

- **Labor and Employment Law Compliance**

Fair Labor Standards: Comply with the Fair Labor Standards Act (FLSA) regarding wages, overtime, and child labor.

Non-Discrimination: Ensure equal employment opportunity and adhere to anti-discrimination laws such as the Civil Rights Act, Americans with Disabilities Act (ADA), and Equal Pay Act.

Payroll and Benefits: Follow state and federal guidelines on payroll, including timely payment of wages, withholding taxes, and providing benefits as required by law.

- **Financial and Tax Compliance**

Accounting Standards: Implement proper accounting practices to track project costs and revenues accurately.

Tax Regulations: Ensure compliance with federal and state tax laws, including income tax, sales tax, and any industry-specific taxes.

Insurance: Maintain adequate insurance coverage, including workers' compensation, liability insurance, and bonding as required by law.

- **Subcontractor and Supplier Management**

Due Diligence: Vet subcontractors and suppliers to ensure they are compliant with relevant laws and have proper licenses and insurance.

- I. Does your firm intend to subcontract any portion of this contract? If so, please provide the following: name of the firm(s), any WMDBE certifications, the percentage of work to be performed by each sub-consultant, and a description of the nature of work performed by each.

Response: True North Consulting Group has a strong partnership with Rozin Security Consulting. This partnership is nearing 15 years and over 1,500 facility assessments. Michael Rozin and Rob Rawson are officially full-time employees of Rozin Security Consulting. The production work will include both RSC and TNCG staff working as a single team. Roughly 50% of the work will be done by each firm with unified deliverables.

- J.** Provide information on value-added services or functionalities that may be of benefit to the city.

Response: True North Consulting Group offers optional value-added services (not included in the base proposal).

Security Program & Management

TNCG will develop a security program and ongoing management plan for the City of Everett facilities based on the outcomes of the site assessment. This plan will include the following elements:

- **Concept of Operations (CONOPS)**

TNCG will develop a CONOPS that will serve as the backbone of the planned security program. This includes defining security objectives, structure, necessary roles, and responsibilities to fulfill the defined objectives.

- **Development of Security Protocols**

Security Protocols are a set of security standard operating procedures (SOP) and general instructions for performing various security-related activities. The security Protocol will be based on the established CONOPS to ensure the effective execution of the planned security operation. The protocol will address routine and emergency security operations. The SOPs are meant for both security and non-security personnel.

- **Security Awareness Training**

Security Awareness Training is aimed at increasing the organization's security awareness overall. This is done by explaining the various threats to the organization and providing practical tools to help with early detection (thus possible prevention) of evolving threats. In addition, this training covers emergency response best practices, which enable the organization to improve its response to emergencies and reduce the negative impact of such events. This training is meant for both security and non-security personnel.

Security Protocols

Any complete protocol covers general guidelines and instructions, standard operating procedures, and location-specific, customized instructions that connect the security operations with the day-to-day functionality of the business or facility. The set of security protocols is organized into two main categories:

1. Procedures for ongoing (routine) operations
2. Procedures for emergency situations

The protocols listed below are typically included in each of these two categories:

1. On-going Security Procedures may include the following (if applicable):
 - Location opening
 - Location closing
 - Access control
 - Guests and visitors
 - Interior/exterior review of the facility
 - Search procedures
 - Handling mail and deliveries
 - Arrival and departure of congregants/students/staff
 - Entering the facility after hours
 - Hiring of new employees
 - Security roles and responsibilities
 - Other relevant protocols
2. Emergency Security Procedures may include the following (Site Level):
 - Alarm activation
 - Detection of a suspicious person
 - Detection of a suspicious object
 - Detection of a suspicious vehicle
 - Detection of a weapon
 - Detection of an explosive device
 - Handling bomb threat
 - Attack on the facility or its occupants
 - Fire in the facility
 - Evacuation
 - Other relevant protocols

TNCG proposes two levels of details for developing the security protocols:

1. *General Security Protocols and Guidelines for Localization:*

TNCG will provide the framework for the security protocols and guidelines for customizing and completing site-specific procedures for each location. The framework will include all the relevant protocols' general know-how, structure, and flow for ongoing and emergency situations. Additionally, the framework will address both site and community-level aspects. The accompanying guidelines will include instructions on how to complete the site-specific customization portion of the protocols. The location's personnel will do the actual customization of the protocol based on these guidelines.

2. *Optional customization (in addition to the above):*

TNCG will provide fully customized security protocols designed for the specific location based on its security and operational needs. This option requires on-site visits, and the cost varies based on the facility's size/scope.

Security Awareness Training

If requested by the City of Everett, True North can offer a variety of Security Awareness Training services, with associated charges, tailored to their needs.

TNCG will conduct Suspicion Indicators Recognition and Assessment® (SIRA®) training that will focus on developing an increased level of security awareness for management and staff at the City of Everett. Training options will be determined after the assessment is completed.

We offer the following options for this training:

1-Day, 8-Hour SIRA® Awareness Course

Course Description: The 8-Hour/1-Day Suspicion Indicators Recognition & Assessment awareness course is designed to increase awareness of pre-incident threat indicators for security and non-security personnel of any organization.

This course will expose the participants to the current threat picture relevant to their organization and provide an overview of Security Interviewing and threat mitigation principles. Participants in this course will be aware of common pre-incident suspicion indicators and threat mitigation principles.

3-Day SIRA® Certification Course

Course Description: The 3-Day Suspicion Indicators Recognition & Assessment (SIRA®) course is designed to train participants to recognize pre-incident suspicion indicators on the field and have the basic ability to handle security interviews, and apply proper threat classification and mitigation.

Through practical exercises and in-class workshops, the 3-Day SIRA® course teaches security and law enforcement professionals to identify potential threats and resolve effectively or properly manage unresolved indicators through security interviewing and field operational protocols.

5-Day SIRA® Certification Course

Course Description: The 5-Day/40-Hour SIRA® Certification is the most effective SIRA® course we offer. The instructors will hone in on participants' threat recognition skills, develop their proficiencies with field threat assessment, introduce Security Interviewing, and enhance their understanding and application of proven threat mitigation protocols.

Participants perform in-class workshops and dynamic field exercises and are required to pass a written and field examination. This ensures the participants are proficient and confident in applying the techniques effectively in their operational environments.

Learning Objectives:

- Enhance threat awareness.
- Participate in advanced field exercise as an aggressor.
- Practice detecting threat indicators in a realistic and dynamic environment.
- Learn how to conduct field threat assessments.
- Master conducting effective field security interviews.
- Practice applying proper threat mitigation protocols.

3. COMMUNICATION AND CUSTOMER SERVICE

- A.** Describe how your project manager will keep the City of Everett informed in a timely manner of any issues related to delivering the services described in this RFP.

Response: True North's project manager will employ several strategies to ensure timely communication with the City regarding any issues related to delivering the described services:

1. **Regular Progress Reports:** The project manager will establish a schedule for generating progress reports. These reports will detail the project's status, challenges encountered, and proposed solutions. They will be shared with the City at predefined intervals, ensuring stakeholders are informed of developments.
2. **Open Channels of Communication:** The project manager will maintain open lines of communication with City representatives. This may include regular meetings, email updates, and phone calls to discuss project status, address concerns, and answer any questions the City may have.
3. **Issue Tracking System:** True North will implement an issue tracking system to log and monitor any issues that arise during project execution. This system will allow the project manager to document issues, assign responsibility for resolution, track progress, and communicate updates to the City as necessary.
4. **Escalation Procedures:** In the event of significant issues or delays that may impact project delivery, the project manager will follow predefined escalation procedures to ensure that City officials are promptly notified. This may involve escalating the issue to higher levels of management within True North and coordinating with City stakeholders to develop appropriate solutions.

5. **Proactive Risk Management:** The project manager will proactively identify potential risks and issues that may arise during project execution and develop mitigation strategies to address them. By anticipating challenges in advance, the project manager can minimize their impact on project delivery and keep the City informed of potential issues.
6. **Transparency and Accountability:** True North will prioritize transparency and accountability when communicating with the City. The project manager will provide honest and accurate information about project progress, challenges, and potential risks, fostering a relationship of trust and collaboration between True North and the City.

By implementing these strategies, True North's project manager will ensure that the City is informed promptly of any issues related to delivering the described services, allowing for effective collaboration and problem-solving throughout the project lifecycle.

- B. Describe your company's customer service, including your company's policy for returning calls and e-mails.

Response: True North Consulting Group is renowned for its exceptional customer service, setting industry standards in responsiveness and efficiency. Our policy prioritizes promptness and attentiveness to every client interaction, ensuring that inquiries are addressed with the utmost care and professionalism.

When it comes to returning calls and emails, True North Consulting Group adheres to a strict protocol designed to foster strong client relationships and satisfaction. Our policy mandates that all calls and emails are acknowledged within 24 hours of receipt during regular business hours. However, we often exceed this benchmark, striving to respond as soon as possible to ensure the timely resolution of inquiries and concerns.

Upon receiving a call or email, our dedicated customer service team immediately assesses the nature and urgency of the inquiry. Urgent matters are promptly escalated to the appropriate department or personnel for immediate attention, while non-urgent inquiries are handled with equal diligence within the agreed-upon timeframe.

Additionally, True North values transparent communication and maintains open lines of dialogue with clients throughout the resolution process. We provide regular updates on the status of inquiries, ensuring that clients are kept informed and reassured every step of the way.

Overall, True North Consulting Group's commitment to exemplary customer service extends to our policy for returning calls and emails, reflecting our dedication to exceeding client expectations and delivering unparalleled support and assistance.

C. Where is your office located, and what are your customer service hours (Pacific Time)?

Response: True North Consulting Group's corporate office is located at 3408 Hillcrest Drive, Waco, TX 76708.

True North's customer service hours are 6:00 a.m. to 3:00 p.m. Pacific Time. If awarded this contract, True North Consulting Group will have a dedicated project manager available to Everett Transit during normal PST business hours (8:00 a.m. to 5:00 p.m. PST).

4. RISK, PERFORMANCE, AND QUALITY ASSURANCE

- A.** Submit no more than five (5) completed relevant project experiences, within the past five years that demonstrate successful contract performance similar in size and scope as described in this RFP, including any government experience. Include the following for each reference:
- a. Company name and full address:
 - b. Point of contact name, title, e-mail address, and phone number
 - c. Contract title, number, start, and completion dates
 - d. Contract description & service details

Response:

1) Reference No. 1

- a. Company name and full address: North County Transit District (NCTD), 810 Mission Avenue, Oceanside, CA 92054
- b. Point of contact name, title, e-mail address, and phone number: Alesia Atkinson, Principal Contract Officer, aatkinson@nctd.org, 760-966-6500
- c. Contract title, number, start, and completion dates: Threat and Vulnerability Assessment, 23011-OS, 2022-2024
- d. Contract description & service details: True North Consulting Group & Rozin Security Consulting were hired to conduct a security Threat and Vulnerability Assessment (TVA) for the entire transit district. The assessment examined rail maintenance facilities, commuter lines, light rail, bus facilities and stops, and administrative offices. Services included a pre-assessment, onsite field assessments, threat assessments, and a comprehensive risk report with TNCG's findings, recommendations, priorities (based on risk reduction), a capital improvement plan based on estimated solution costs, and an outline of potential grant funding opportunities.

2) Reference No. 2

- a. Company name and full address: Nueces County, 901 Leopard Street, Corpus Christi, TX 78401
- b. Point of contact name, title, e-mail address, and phone number: Barbara Canales, County Judge, Barbara.canales@nuecesco.com, 361-888-0444
- c. Contract title, number, start, and completion dates: Security Assessment Consulting Services, RFQ No. 3111-19, 2020-2021
- d. Contract description & service details: TNCG provided professional security consulting services that included the evaluation and assessment of existing facility infrastructure, procedures, design, and integration of physical security systems. The main focus of the security assessment was to record and assess the current security measures, provide recommendations regarding improvements, develop specifications for bidding from qualified security vendors, and prepare a short-term and long-term implementation plan for the City. TNCG provided the City with a comprehensive security risk and vulnerability report upon completion of the assessment.

3) Reference No. 3

- a. Company name and full address: Texas Facilities Commission, 1711 San Jacinto Blvd., Austin, TX 78701
- b. Point of contact name, title, e-mail address, and phone number: Tommy Oates, Chief Safety Officer and Risk Management, tommy.oates@tfc.texas.gov, 512-463-3057
- c. Contract title, number, start, and completion dates: Security Master Plan, 2022-2024
- d. Contract description & service details: True North provided professional consulting services to develop a Security Master Plan for properties owned or leased by the Texas Facilities Commission.

The plan included an assessment of security measures and a security master plan, aiming to identify potential security threats, vulnerabilities, and risks and recommended mitigating measures to protect the properties managed by the Texas Facilities Commission.

4) Reference No. 4

- a. Company name and full address: Minneapolis/St. Paul Metro Transit Bus, Light Rail & Critical Infrastructure Facilities, 2425 Minnehaha Avenue South, Minneapolis, MN 55404
- b. Point of contact name, title, e-mail address, and phone number: Lieutenant Steven Bakeberg, Administrative Lieutenant, steven.bakeberg@metrotransit.org, 612-349-7219
- c. Contract title, number, start, and completion dates: Security Risk Assessment and Behavior Recognition Training, 2017-2019
- d. Contract description & service details: We conducted a safety and security, threat, and vulnerability assessment for Metro Transit's several key facilities and transportation stations located throughout the Twin Cities, Minnesota. This assessment was part of FTA three-year safety and security compliance assessments. The assessment included a review of current policies, procedures, protocols, and emergency response plans and structure. The assessment identified the most critical assets of Metro Transit's system, provided a comprehensive threat assessment, identified potential vulnerabilities in physical security, security technology, and operational security measures, and identified the need for redundant capabilities in a number of critical systems. We provided prioritized corrective actions for consideration to further enhance Metro Transit's ability to deter, detect, prevent, and respond to identified threats and vulnerabilities.

5) Reference No. 5

- a. Company name and full address: City of Dallas, 1500 Marilla Street, Dallas, TX 75201
- b. Point of contact name, title, e-mail address, and phone number: Fred Gonzales, Chief/Division Manager III, Courts and Detention Services, fred.gonzales@dallascityhall.com, 214-670-3372
- c. Contract title, number, start, and completion dates: Security Assessment of City Facilities, BXZ1906, 2019-2020
Note: COVID-19-related facility shutdowns severely impacted this project. TNCG worked closely with the city to ensure timeline delays did not impact project deliverables.
- d. Contract description & service details: CARVER+ assessment of City of Dallas Facilities, including physical and security technology measures, a customized assessment tool for City use, security standards for City facilities, and a training plan for City employees.

- B.** Have you defaulted on any contracts within the past three years or failed to meet contract terms? If so, describe.

Response: True North has not defaulted on any contracts within the past three years or failed to meet contract terms.

SECTION 4: CERTIFICATE OF NON-DEBARMENT/SUSPENSION (FORM 4.04)

FORM 4.04 CERTIFICATE OF NON-DEBARMENT/SUSPENSION

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER

INELIGIBILITY AND VOLUNTARY EXCLUSION

LOWER TIER COVERED TRANSACTIONS

THIS FORM MUST BE COMPLETED BY THE PRIME SUPPLIER AND ANY SUB-TIER SUPPLIERS THAT WILL BE AFFILIATED WITH THE WORK IN THIS PROPOSAL. RETURN ALL COMPLETED FORMS WITH ORIGINAL PROPOSAL PACKAGE.

The Lower Tier Participant (Applicant for a third-party subcontract or subgrant under a federal funded project),

True North Consulting Group, LLC hereinafter referred to as *Supplier*, certifies, by submission of this document, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

Where the Supplier is unable to certify to any of the statements in this certification, such Supplier must attach an explanation to this submittal.

The Supplier, True North Consulting Group, LLC, certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Section 3801 et seq. are applicable thereto.



Tony Chojnowski

Signature of Authorized Official

Chief Operating Officer

Title of Authorized Official

06/05/2024

Date

SECTION 5: CERTIFICATION REGARDING LOBBYING BY CONTRACTOR (FORM 4.05)

FORM 4.05 CERTIFICATION REGARDING LOBBYING BY CONTRACTOR (150K & ABOVE)

Pursuant to 40 CFR Part 20 (which is by this reference incorporated herein), the undersigned certifies, to the best of his or her knowledge and belief, that:

- A. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned must complete and submit Standard Form-LLL *Disclosure Form to Report Lobbying*, in accordance with its instructions.
- C. The undersigned must require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients must certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification must be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The Contractor, True North Consulting Group, LLC, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. A 3801, *et seq.*, apply to this certification and disclosure, if any.

Tony Chojnowski
Signature of Contractor
Tony Chojnowski
Print Name
Chief Operating Officer
Title

06/05/2024
Date
3408 Hillcrest Drive
Address
Waco, TX 76708
City, State, ZIP

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

SECTION 6: DISCLOSURE OF LOBBYING ACTIVITIES (FORM 4.06)

FORM 4.06 DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

1. Type of Federal Action: a. contract ✓ b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance		2. Status of Federal Action: a. bid/offer/application ✓ b. initial award c. post-award		3. Report Type: a. initial filing ✓ b. material change For Material Change Only: year quarter Date of last report: _____	
4. Name and Address of Reporting Entity: <div style="display: flex; justify-content: space-between;"> <div> Prime Subawardee Tier _____ if known : Congressional District, if known : 4c </div> <div style="font-size: small;"> True North Consulting Group 3408 Hillcrest Drive, Waco, Texas 76708 </div> </div>			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known :		
6. Federal Department/Agency: N/A			7. Federal Program Name/Description: N/A CFDA Number, if applicable:		
8. Federal Action Number, if known : N/A			9. Award Amount, if known : \$ N/A		
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> N/A			b. Individuals Performing Services <i>(including address if different from No. 10A)</i> <i>(last name, first name, MI):</i> N/A		
<small>Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure must be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</small>			Signature: <u><i>Tony Chojnowski</i></u> Print Name: <u>Tony Chojnowski</u> Title: <u>Chief Operating Officer</u> Telephone No.: <u>254.229.0099</u> Date: <u>06/05/2024</u>		
Federal Use Only: <div style="background-color: #cccccc; height: 50px;"></div>				Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

(See next page for instructions.)


SECTION 7: SIGNED FEDERAL ADMINISTRATION CLAUSES

Federal Transit Administration Clauses

- C. Design and Construction Accessibility. Facilities to be used in public transportation service must comply with 42 U.S.C. Sections 12101 et seq. and DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37; and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Notably, DOT incorporated by reference the ATBCB's "Americans with Disabilities Act Accessibility Guidelines" (ADAAG), revised July 2004, which include accessibility guidelines for buildings and facilities, and are incorporated into Appendix A to 49 CFR Part 37. DOT also added specific provisions to Appendix A modifying the ADAAG, with the result that buildings and facilities, and are incorporated into Appendix A to 49 CFR Part 37. DOT also added specific provisions to Appendix A modifying the ADAAG, with the result that buildings and facilities must comply with both the ADAAG and amendments thereto in Appendix A to 49 CFR Part 37.

By signing this agreement, the Contractor certifies that, in addition to agreeing to the terms and conditions provided herein, it has read, understands, and agrees to be bound by all requirements and contract terms and conditions contained herein.

This agreement may be signed by ink signature, copy of ink signature, copy of signature, e-signature or any other form of signature. By signing the agreement, the company agrees that its signature will have the same legal effect as an original ink signature.

Company Name: True North Consulting Group, LLC	
Authorizing Official Name: Tony Chojnowski	Authorizing Official Title: COO
Authorizing Official Signature and Date:  06/05/2024	

SECTION 8: CONTRACTOR AND SUBCONTRACTOR BIDDER INFORMATION FORM



EVERETT TRANSIT

ADDENDUM #2

CONTRACTOR AND SUBCONTRACTOR BIDDER INFORMATION FORM

For Federal Transit Administration federally assisted projects, the following information is required from all bidding contractors and subcontractors as part of the U.S. Department of Transportation's Disadvantaged Business Enterprise program, per 49 CFR Parts 23 and 26. Complete this form in its entirety and submit it along with your initial proposal response.

Business Name	True North Consulting Group, LLC	
Business Address, including zip code	Address Line 1	3408 Hillcrest Drive
	Address Line 2	
	City, State, Zip	Waco, TX 76708
What is your business's DBE status?	<input type="checkbox"/> DBE <input checked="" type="checkbox"/> Non-DBE	
Business's Majority Owner's Ethnicity	<input type="checkbox"/> Black American <input type="checkbox"/> Hispanic American <input type="checkbox"/> Native American	<input type="checkbox"/> Subcontinent Asian American <input type="checkbox"/> Asian-Pacific American <input checked="" type="checkbox"/> Non-minority <input type="checkbox"/> I choose not to respond.
Business's Majority Owner's Gender	<input type="checkbox"/> Female <input checked="" type="checkbox"/> Male <input type="checkbox"/> Not Listed: _____ <input type="checkbox"/> I choose not to respond	
NAICS Code(s) – List all that are applicable to the project.	541512 - Computer Systems Design Services 541690 - Other Scientific and Technical Consulting Services	
Business Age (in years)	40 (30 as Elert & Associates and 10 as True North Consulting Group, LLC)	
Annual Gross Receipts: Which gross receipt bracket best fits your business	<input type="checkbox"/> Less than \$1 million <input type="checkbox"/> \$1 to \$3 Million <input type="checkbox"/> \$3 to \$6 Million <input type="checkbox"/> \$6 to \$10 Million <input checked="" type="checkbox"/> \$10+ Million <input type="checkbox"/> I choose not to respond.	

SECTION 9: APPENDIX: REDACTED SAMPLE SECURITY RISK ASSESSMENT

Please see True North's redacted sample Security Risk Assessment on the following pages.

SAMPLE ASSESSMENT REDACTED

EXHIBIT C
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)



PROCUREMENT

Request for Proposal #2024-075

Procurement Professional Point of Contact:
Theresa Bauccio-Teschlog, NIGP-CPP, CPPB
Procurement Manager
(425) 257-8901
bids@everettwa.gov

EVERETT TRANSIT SECURITY THREAT ASSESSMENT

TIMELINE - The following represents the schedule for this solicitation.	
Event	Date
Issue Date	May 10, 2024
Deadline for Final Questions.....	May 30, 2024
Proposal Due Date	June 13, 2024, 11:59 p.m. Pacific Time
Anticipated Award	July 2024
Anticipated Contract Start Date	July 2024
Anticipated Contract Term	2 years with two (2) two-year extension options for potential follow-on services at the sole discretion of the City of Everett.
<p>E-mailed or delivered Proposals are acceptable.</p> <p>Submit Proposals to:</p> <p>E-mail: bids@everettwa.gov OR</p> <p>If delivery to: Procurement, 2930 Wetmore Ave, Suite 9E, Everett, WA 98201, call to access the locked elevator.</p> <p>Delivered proposals are accepted Monday through Friday, from 8:00 am to 3:00 p.m., excluding city-observed holidays. If providing paper copies, clearly label the outside of the sealed envelope containing the original proposal response plus six (6) complete identical copies with the Proposal Name, Proposal Number, and contact information listed above. Only Proposals that arrive in the Procurement office by the deadline will be considered.</p>	
<p>Information & Addenda: All Information including Addenda regarding this solicitation can be found at: https://www.everettwa.gov/2713/Bid-opportunities</p> <p>Suppliers are responsible for checking the City of Everett website for the issuance of any addenda prior to submitting a proposal.</p>	
<p>Questions: All questions must be requested electronically utilizing the above link or e-mailed to the Procurement Professional listed above.</p> <p>Unauthorized contact regarding this Request for Proposal with City of Everett employees or contractors may result in disqualification. Any oral communications will be considered unofficial and non-binding on the City of Everett. Proposers should rely only on written statements issued by the individual named listed above.</p>	

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SECTION 1 - INSTRUCTIONS

1.1 PROPOSAL SUBMITTAL

The City must receive the supplier's proposal in its entirety by 11:59 p.m. Pacific Time. For electronic submissions, the official receipt time is the receiving time stamp from the City's e-mail server as printed.

All proposals and accompanying documentation will become the property of the City of Everett and may not be returned.

Proposal pricing must be submitted on the forms provided in this document. To receive consideration for award, the Proposal must be completed and signed by an authorized representative of the supplier. Submission of a proposal constitutes acceptance of the procedures, evaluation criteria, and other instructions of this Request for Proposals (RFP).

No supplier may withdraw its Proposal after the hour set for the opening unless the award is delayed for a period exceeding one hundred and twenty (120) days.

1.2 OFFER PERIOD

All Proposals submitted must remain open for one hundred and twenty (120) days from the receipt date. The City of Everett reserves the right to extend this period.

1.3 REQUEST FOR DUE DATE EXTENSION

Suppliers may request an extension of the Proposal Due Date. The supplier must supply any justification and additional information that will facilitate an evaluation and decision by the City of Everett. Any approved extension will be issued in an addendum.

1.4 WITHDRAWAL OF PROPOSALS

Suppliers may withdraw a Proposal that has been submitted at any time up to the due date and time. To accomplish this, a written request signed by an authorized representative of the supplier must be submitted to the procurement professional named on the Request for Proposal cover sheet.

1.5 SINGLE RESPONSE

A single response to the RFP may be deemed a failure of competition, and in the best interest of the City of Everett, the RFP may be canceled.

1.6 MULTIPLE PROPOSALS

Suppliers interested in submitting more than one Proposal may do so long as each Proposal stands alone and independently complies with the instructions, conditions, and specifications of this RFP.

1.7 EVALUATION AND AWARD

The City of Everett will award the Proposal to the responsive and responsible supplier(s) whose offer best meets the needs of the City, or reject any and all Proposals.

- a. Responsive Supplier – A business entity or individual who has submitted a bid or proposal that fully conforms in all material respects to the Invitation for Bids (IFB)/Request for Proposals (RFP) and all of its requirements, including all form and substance.

- b. Responsible Supplier – A business entity or individual who has the financial and technical capacity to perform the requirements of the solicitation and subsequent contract.

1.8 WAIVER OF MINOR ADMINISTRATIVE IRREGULARITIES

The City of Everett reserves the right, at its sole discretion, to waive minor administrative irregularities and informalities contained in any proposal submitted and accepted by the City. The City further reserves the right to make awards to the responsible offer whose proposal is determined to be the most advantageous to the City of Everett. The City of Everett reserves the right to reject any and all proposals.

1.9 EXCLUDED PARTIES

All suppliers must certify that they are not on the Comptroller General's list of ineligible contractors nor the list of parties excluded from Federal procurement or non-procurement programs.

<https://www.sam.gov>

1.10 BUSINESS LICENSE

The successful supplier will be required to possess or be able to obtain a City of Everett Business License and pay City of Everett Business & Occupation Tax (B & O), when applicable. B & O Tax questions may be directed to the Everett Business Tax Division at (425) 257-8610.

1.11 BID PROTEST PROCEDURES

Chapter 3.46 of the Everett Municipal Code (EMC) governs all protests. Protest Procedures are available for review in the Everett Municipal Code 3.46, which can be found at: <https://everett.municipal.codes/>

The City reserves the right to require strict compliance with all requirements of Chapter 3.46 EMC.

1.12 NON-ENDORSEMENT

As a result of the selection of a supplier to provide services to the City of Everett, the City of Everett is neither endorsing nor suggesting that the supplier's product is the best or only solution. The [supplier](#) agrees to make no reference to the City of Everett in any literature, promotional material, brochures, sales presentation, or the like without the express written consent of the City of Everett.

1.13 PROPRIETARY MATERIAL SUBMITTED/PUBLIC DISCLOSURE

A. Property of the City of Everett

All materials submitted in response to this RFP must become the property of the City of Everett. Selection or rejection of a proposal does not affect this. In this section, the term "proposal" is generic and refers to proposals, statements of qualification, letters of interest and any other material submitted in response to this RFP.

B. Proposals are Public Records

Pursuant to Chapter 42.56 RCW and other statutes regarding public agencies, all materials (including, for example, proposals) submitted under this RFP must be considered public records and except to the extent protected by state and or federal laws will be available for inspection and copying by the public following contract award. Records will not be released by the City of Everett prior to contract award in order to protect the integrity of the procurement process, unless otherwise required by law.

C. Public Records Exemption / Notice of RCW 39.10.470

In accordance with RCW 39.10.470, trade secrets (as defined in RCW 19.108.010) or other proprietary information submitted by a proposer in connection with this RFP might not be subject to public disclosure under chapter 42.56 RCW if the proposer specifically states in writing the reasons why protection from disclosure is necessary, and identifies the data or materials to be protected. Proposers must specifically designate and clearly label as “CONFIDENTIAL” any and all such materials or portions thereof that they deem to contain trade secrets or other proprietary information. Proposers should carefully consider what is truly confidential and should not mark an entire proposal as confidential. The proposer must provide the legal basis for the exemption to the City upon request. Proposers are advised that this exemption is subject to judicial review and the proposer’s designation of confidential may or may not be upheld by a Court.

D. Proposals Not Marked as Confidential

If a proposal or other material does not clearly identify the “CONFIDENTIAL” portions, the City will not notify the proposer that its proposal will be made available for inspection and copying, and the City may publicly disclose such non-clearly identified portion with no liability whatsoever to the proposer.

E. Process for Disclosing Information

If a request is made for disclosure of material or any portion marked “CONFIDENTIAL,” the City will determine whether the material should be made available under the law. If the City determines that the material is subject to disclosure, the City will seek to notify the Proposer of the request and allow the proposer ten (10) business days after such notification to take appropriate legal action in Snohomish County Superior Court at the proposer’s sole expense and liability. If the proposer does not within such ten (10) business days serve the Office of the City Attorney with a copy of an order entered by the Superior Court that expressly prohibits the City from disclosure of the material marked “CONFIDENTIAL,” then the proposer will be deemed to have consented to the public disclosure of the material marked “Confidential” and the City may publicly disclose such material without any liability whatsoever to proposer.

F. Indemnification by Proposer

To the extent that the City withholds from disclosure all or any portion of proposer’s material marked “CONFIDENTIAL”, the proposer, by submitting an proposal in response to this RFP, agrees to indemnify, defend and hold harmless the City of Everett from all lawsuits, liabilities, losses, damages, penalties, attorneys’ fees and costs the City incurs arising from or relating to such withholding from disclosure.

G. Consent to Procedure

Proposers, by submission of materials marked “CONFIDENTIAL”, acknowledge and agree that the City will have no obligation to advocate for nondisclosure in any forum and has no liability whatsoever to any proposer for the disclosure of any material or record of any kind when that disclosure is in accordance with applicable law or in accordance with an order applying applicable law entered by the Snohomish County Superior Court or a Washington appellate court. By submitting a proposal, the proposer consents to the procedure in this Section as its sole remedy and waives and releases all claims against the City arising from the City’s actions taken in accordance with this procedure.

1.14 RESPONSE PROPERTY OF THE CITY OF EVERETT

All materials submitted in response to this request become the property of the City of Everett. Selection or rejection of a response does not affect this right.

1.15 NO OBLIGATION TO BUY

The City of Everett reserves the right to refrain from contracting with any supplier. The release of this RFP does not compel the City of Everett to purchase.

1.16 COST OF PREPARING PROPOSALS

The City of Everett is not liable for any costs incurred by suppliers in the preparation and presentation of proposals and demonstrations submitted in response to this RFP.

1.17 CONTRACT TERMINATION

In determining any contract award, the City of Everett reserves the right to consider past performance by the suppliers in the City of Everett contracts. If the City of Everett has previously terminated a contract with a supplier for the supplier's default or other non-performance, the City of Everett reserves the right to reject bids or quotes received from that supplier.

1.18 RECYCLE

The City of Everett is committed to the environment and encourages suppliers to recycle material to the extent practicable.

1.19 COOPERATIVE PURCHASING

Suppliers: RCW 39.34 allows cooperative purchasing between public agencies also called political subdivisions. Public agencies which have an Intergovernmental Cooperative Purchasing Agreement with the City of Everett may purchase from the City of Everett contracts, provided that the supplier has agreed to such participation. Each supplier must indicate on the submittal form if they will not honor other public agency orders in accordance with contract terms and conditions in addition to orders from the City of Everett. The City of Everett does not accept any responsibility for purchase orders issued by other public agencies.

Cooperating Political Subdivisions: Public agencies desiring to use Everett's contracts must have executed an Intergovernmental Cooperative Purchasing Agreement with the City of Everett, as required by RCW 39.34. Only those public agencies who have complied with these requirements are eligible to use this contract. The public agency accepts responsibility for compliance with any additional or varying laws and regulations governing purchase by or on behalf of the public agency in question. A purchase by a public agency must be affected by a purchase order from the public agency, directed to the supplier or other party contracting to furnish goods or services to the City of Everett.

The City of Everett accepts no responsibility for the performance of any purchasing contract by the supplier, and the City of Everett accepts no responsibility for payment of the purchase price for any public agency.

SECTION 2 – SCOPE OF WORK

2.1 INTENT AND BACKGROUND

As a department of the City of Everett, Everett Transit provides critical transportation services to our community. People depend upon the services we provide to get them to work, school, medical services, shopping, places of worship, and recreational opportunities. Within our service area are major employers, such as The Boeing Company, Providence Regional Medical Center, Naval Station Everett, Everett Public Schools, and Amazon. Everett is also a growing center of higher education with robust opportunities provided through Everett Community College as well as Washington State University. Everett is also home to Seattle Paine Field International Airport.

It is our desire to ensure:

1. That these transportation services remain a safe and secure environment for all who use them, as well as our employees and tenants, and
2. That we reduce the financial and reputational liability resulting from incidents of crime, violence, and civil unrest.

To accomplish this, we must be aware of our specific vulnerabilities to these threats. By increasing our awareness and understanding of these vulnerabilities, we will ensure that Everett Transit can continue to provide essential transportation services in the safest environment achievable. Therefore, a comprehensive Threat and Vulnerability Assessment (TVA) is intended to evaluate Everett Transit's vulnerability and susceptibility to an array of security threats and will form the basis for all preventative plans and mitigating countermeasures.

Everett Transit has never performed a Threat and Vulnerability Assessment. The expectation is to include all of Everett Transit's locations which are as follows:

- Everett Station at 3201 Smith Ave, Everett, WA 98201, which is a 10-acre campus and 60,000-square-foot facility with multiple tenants as well as Everett Transit Administration.
- Everett Transit Operations Center and Parking (Bldg. A) – 3225 Cedar Street
- Everett Transit Maintenance Facility and Parking (Bldg. B) – 3225 Cedar Street
- Mall Station – 1330 SE Everett Mall Way. (Significant Origin / Destination)
- College Station – 2200 Tower Street (Significant Origin / Destination)

2.2 NOTICE OF FUNDING

The City of Everett has received federal grant funding from the Federal Transit Administration (FTA) to fund this endeavor. Consultants must include signed FTA clauses with their submittals. The grant funds expire in 2026. A required milestone for the grant is to have the assessment completed no later than the end of 2024.

2.3 PURPOSE

Susceptibility to crime, terrorism, and civil unrest must be continually evaluated and engaged. Obtaining accurate and comprehensive threat and vulnerability information is critical to having a successful security

program, allocating resources efficiently, and maintaining continuity of operations in the event of an incident, event, or emergency.

To this end, Everett Transit requests a comprehensive risk and threat vulnerability assessment that covers all critical assets associated with the delivery of its transportation services. This includes identifying an asset's attractiveness as a target for crime, security incident, or terrorist attack, the vulnerability to a successful criminal or terror incident, and the consequences of a successful incident. Critical assets are defined as those assets required to provide services for the system. These include:

- **People:** Employees, visitors, vendors, customers and surrounding businesses and our community.
- **Property:** Including, but not limited to, transit centers, stations and stops, maintenance facilities and yards, administration facilities, operations and dispatch center, parking facilities, wayside facilities, fare vending machines, information technology, and communications and industrial control systems.
- **Rolling Stock:** Rolling stock consists of revenue and support vehicles. These vehicles interface with nearly all components of our transit agency network, including stations and stops, terminals, and administrative, maintenance, and storage facilities.
- **Infrastructure:** Infrastructure refers to all the stationary assets in Everett's system, such as passenger stations, real estate, buildings, operations control center, dispatch, communications, and other components necessary to support transit operations.
- **Reputation:** Security incidents can damage or impact reputation. Agencies that do not protect their reputation by managing their risks can face additional oversight by federal or state entities or scrutiny and criticism from the public. They may also have their funding opportunities impacted by the reduction of confidence.

Security threats are defined as deliberate actions intended to cause injury or death to people or damage to or loss of critical assets. A threat is characterized as the combination of both intent and capability of a threat actor or threat source to realize a threat or attack against an asset. The threats or attack types to one transit agency may be the same as those faced by other similar transit agencies, but it is critical to understand the threats specific to each individual transit agency. Not all operational environments contain the same challenges or share the exact same vulnerabilities.

2.4 PROJECT SCOPE

Work includes developing a comprehensive threat vulnerability assessment that details recommended implementation processes and procedures and estimated physical security upgrade costs for adopted improvements resulting from this study. The comprehensive assessment must address the security risks and requirements of each particular site listed in the agency profile including a comprehensive threat and vulnerability assessment conducted of Everett Transit's system and assets. As part of the threat and vulnerability assessment, risk assessments must be included in the final comprehensive report. This includes threats connected to criminal activity, terrorism, and civil unrest. This scope of work involves identifying and prioritizing the threats, identifying preventative countermeasures, and identifying the likely costs of each countermeasure to be implemented. Areas to be evaluated for each property listed include, but may not be limited to:

1. Facilities Security Measures:
 - a. Access controls – buildings and grounds, entry doors, reception areas, sign-in rosters, ID badges, windows, walls, roofs, bus bays, parking lots, etc.
 - b. Communications capabilities: notification systems, telephone, radio, e-mail, how do we communicate with tenants, other transit agencies, and the public.
 - c. Intruder detection: Active shooter prevention, response, and mitigation
 - d. Grounds and building security.
 - i. CC cameras
 - ii. Corridors
 - iii. Interior and exterior doors
 - iv. Stairwells
 - v. Exit-ways
 - vi. Classrooms
 - vii. Offices
 - viii. Conference rooms
 - ix. Breakrooms
 - x. Common areas
 - xi. Restrooms
 - xii. Surveillance systems
 - xiii. Fire alarms
 - xiv. Mechanical equipment rooms
 - xv. Custodial closets
 - e. After Hours Security
 - f. Station Security, including best practices for minimum training standards and duties.
2. Utility lifeline protection
3. Crime prevention through environmental design.
4. The role of support services in overall system safety, including:
 - a. Facility Services
 - b. Transportation Services
 - c. Technology Services and Safety Sensitive Information (SSI)
5. The role of community collaboration and partnerships to enhance system security, including,
 - a. Emergency management entities
 - b. Law Enforcement entities
 - c. Associated Security Services
 - d. Shelters, churches, and non-profit organizations

2.5 RISK ASSESSMENTS

At a minimum, Everett Transit expects that the consultant will conduct multiple risk assessments for the five areas listed in 2.4, project scope that will include the following sections.

1. Identification of:
 - a. Critical assets
 - b. Threats
 - c. Vulnerabilities
 - d. Likelihood of an attack or incident
 - e. Consequences and impacts of an attack or incident.
 - f. Identifying potential mitigation measures/countermeasures
2. Assigning the initial risk index to determine the basis for risk decision criteria.
3. Determining residual risk acceptability

2.6 DELIVERABLES

The expectation is that the comprehensive Threat and Vulnerability Assessment (TVA) will include at a minimum the following information:

1. Comprehensive Threat and Vulnerability Assessment for Everett Transit's system and assets and master plan for prioritized implementation of findings from the assessment.
 - a. Comprehensive security report that addresses each site's specific threat vulnerabilities and countermeasure recommendations.
 - b. Policy recommendations.
2. Detailed estimate of costs to implement the findings.

2.7 MEETINGS

The Supplier will conduct a variety of meetings that fall into the following categories:

1. Meetings with internal and external stakeholders to gather input.
2. Monthly status updates with the project manager

2.8 SUPPLIER RESPONSIBILITIES

The supplier will report to the Project Manager. The Supplier will provide internal communications and messages in the form of oral and written memos to the Project Manager as needed.

2.9 CITY OF EVERETT RESPONSIBILITIES

City staff will be responsible for the following:

- Providing a primary contact for the Supplier.
- Provide background and requirements.
- Provide existing available data and reports to support analysis.
- Provide existing background data.
- Coordinate review of consultant deliverables.

2.10 CONFIDENTIALITY

The Supplier will not disclose to any person other than the City any records, information, or data provided by the City to the Supplier pursuant to this Agreement without the City Project Manager's prior written consent to such disclosure.

2.11 INTELLECTUAL PROPERTY RIGHTS

Reports, drawings, plans, specifications, and any other intangible property created in furtherance of the Work are property of the City for all purposes, whether the project for which they are made is executed or not, and may be used by the City for any purpose. To the extent the Work includes material subject to copyright, the Service Provider agrees that the Work is done as a "Work for Hire" as that term is defined under U.S. copyright law and that, as a result, the City shall own all copyrights in the Work. To the extent that the Work includes material subject to proprietary right protection but does not qualify as a "Work For Hire" under applicable law, the Service Provider hereby assigns to the City all rights, title, and interest in and to the Work, including all copyrights, patents, trade secrets, and other proprietary rights therein (including renewals thereof). To the maximum extent permitted by law, the Service Provider waives all moral rights in the Work. Notwithstanding the foregoing, the Service Provider retains any intellectual property rights in documents and intangible property created by the Service Provider prior to engagement or not created by the Service Provider for its performance of this Agreement.

2.12 CHANGES IN SCOPES OF WORK

The City reserves the right to add or delete similar such as follow-on reports or report updates to this contract as necessary. In the event of a change, the City of Everett will provide the supplier with a thirty (30) day written notice of such change.

In addition, the City of Everett reserves the right to make changes, additions to, or deductions from this Scope of Work provided that they conform to the general scope of the contract. The Supplier will not affect any change without the prior written approval of the City.

2.13 PAYMENT

Within thirty (30) days after delivery, acceptance of the items ordered, and a properly prepared invoice, but not more often than once per month, the City of Everett will pay the Supplier according to the rate(s) stated on the price sheet.

No down payment or advance payment of any kind will be made. Washington State law requires proof that the materials have been furnished, the services rendered or the labor performed as described before payment may be made. All invoices must list the PO number and are to be submitted to the following address:

City of Everett – Accounts Payable
PO Box 12130
Everett, WA 98206
accountspayable@everettwa.gov

SECTION 3 – PROPOSAL EVALUATION PROCESS

3.1 GENERAL

All proposals will be reviewed to determine compliance with the requirements as specified in the RFP. Proposals will be evaluated on how well the proposal meets the needs of the City, as described in the supplier's response to each requirement and the evaluation criteria identified in this RFP. It is important that the responses be clear and complete so that the evaluators can adequately understand all aspects of the proposal.

3.2 SELECTION PROCESS

The City will select the proposal that, in its sole discretion, is the most advantageous to the City. The City reserves the right to make an award without further discussion of the proposal submitted; there may be no best and final offer procedure. Therefore, the proposal should be initially submitted on the most favorable terms that the supplier can offer. The specifications may be altered by the City of Everett based on the supplier's proposal and an increase or reduction of services with the supplier may be negotiated before contract signing, award, and execution.

3.3 CONTRACT AWARD AND EXECUTION

A contract award will be for the supplier that best meets the needs of the City of Everett.

The award of a contract to the successful supplier will be notice of acceptance. The award of a contract will bind the supplier to furnish the service in accordance with the information herein, responses to questions, the supplier's proposal, other representations made, as well as all other terms and conditions of the contract in its final form.

3.4 EVALUATION CRITERIA

Proposals will be evaluated based on the following weighted criteria and how well they meet the needs and requirements as described in the RFP.

#	Criteria	Points	Description
1	Qualifications and Relevant Experience	100	Evaluate responses to Questionnaire 4.03.
2	Technical Capability, Approach, and Capacity	100	Evaluate responses to Questionnaire 4.03.
3	Communication, Customer Services, and Training	50	Evaluate responses to Questionnaire 4.03.
4	Risk, Performance, and Quality Assurance	50	Evaluate responses to Questionnaire 4.03.
5	Price Proposal	100	Evaluate Suppliers' price proposals to determine if the cost is fair and reasonable. Proposed prices: <ul style="list-style-type: none">• are realistic for the work to be performed and• demonstrate that the Supplier understands the Scope of Work.
	Total	400	

3.5 INTERVIEWS

The City of Everett may request interviews with the highest-ranked Supplier(s). The purpose of the interview, if held, will be to further review the finalist(s) in specific areas to determine which proposal provides the best fit and value to the City of Everett. Finalist(s) must have key employees available for these interviews. The City of Everett will notify the finalist(s) as to the time, date, and location for an interview or conference call.

DRAFT

SECTION 4 – PROPOSAL SUBMITTAL REQUIREMENTS

4.1 SUBMITTAL REQUIREMENTS

Suppliers must provide a proposal that must demonstrate an understanding of the project requirements as stated throughout this Request for Proposal.

Proposals in response to this RFP must be submitted in the order specified below. Proposal responses must include:

- 1. Supplier Commitment and Information (included)**
- 2. Price Sheet (included)**
- 3. Narrative responses** to the questions asked. Suppliers should re-type the heading, question identifier, and question. Then, answer the questions and provide in the same order requested below. Suppliers may emphasize in their narrative any areas of their proposal that they believe exceed our requirements.
- 4. Certificate of Non-Debarment/Suspension (included)**
- 5. Certification Regarding Lobbying by Contractor (included)**
- 6. Disclosure of Lobbying Activities (included)**
- 7. Signed Federal Administration Clauses**

4.2 SUGGESTED RESPONSE FORMAT

- Standard 8 1/2" x 11" paper
- Single or double-sided, numbered pages
- Typed with a minimum of 12-point font
- Form 4.03 – re-type the question before responding

FORM 4.01 SUPPLIER COMMITMENT AND INFORMATION

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT

Company Name:		
Company Address:		
City:	State:	ZIP:
Tax ID #:	UBI #:	
Legal status of supplier organization, i.e., corporation, partnership, sole proprietorship.		
Diversity Certification (if applicable): <input type="checkbox"/> Disadvantaged Business Enterprise (DBE) <input type="checkbox"/> Minority Business Enterprise (MBE) <input type="checkbox"/> Women Business Enterprise (WBE) <input type="checkbox"/> Minority Women Business Enterprise (MWBE) Certification number:		
Website:	City of Everett Business License #	
Supplier Contact Name (if different from Authorizing Official):	Supplier Contact Title:	
Supplier Contact Email:	Supplier Contact Direct Phone:	
Supplier Contact Address (if different from above):		
City:	State:	ZIP:

By responding to this solicitation, the Supplier understands and agrees to be bound by all requirements and contract terms and conditions contained in this solicitation. By signing this form, the Supplier acknowledges receipt and understanding of any and all addenda issued for this solicitation. This form, signed by an individual authorized to legally commit the Supplier, must be submitted as the cover page.

The Supplier also certifies that:

- I am authorized to commit my firm to this Proposal and that the information herein is valid for 120 days from this date.
- That all information presented herein is accurate and complete and that the scope of work can be performed as presented in this proposal upon the City's request.
- That I have had an opportunity to ask questions regarding this Proposal and that those questions have been answered.
- That this Proposal response is made without prior understanding, agreement, or connection with any corporation, firm, or person submitting an offer for this Proposal and is in all respects fair and without collusion or fraud.

This form may be signed by ink signature, copy of ink signature, copy of signature, e-signature or any other form of signature. By submitting this bid, the bidder agrees that its signature will have the same legal effect as an original ink signature.

Authorizing Official Name:	Authorizing Official Title:
Authorizing Official Email:	Authorizing Official Phone:
Authorizing Official Signature and Date :	

FORM 4.02 PRICE SHEET

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT

Supplier Name:

Prices must include providing all services as detailed in the Scope of Work.

1. Complete the price sheet.
2. Provide a firm fixed, not to exceed, lump sum amount for each Section A that includes all services listed in Section 2.
3. Provide firm fixed hourly rates for Section B.
4. Clearly identify any services mentioned in your response that are not included in your proposed fee, such as services that would be an additional expense.

A.	Threat and Vulnerability Assessment	Firm Fixed, Not to Exceed	\$
Task Breakdown		# of hours for task	Cost
Deliverable: A Comprehensive Threat and Vulnerability Assessment for Everett Transit's system and assets, including a master plan for prioritized implementation of the assessment's findings.			\$
Deliverable: A comprehensive security report that addresses each site's specific threat vulnerabilities and countermeasure recommendations.			\$
Deliverable: Policy recommendations			\$
Deliverable: Detailed estimate of costs to implement the findings			\$

B.	Additional Services- provide hourly rates for follow-on services that the consultant may provide which supplement the initial scope of work.	
Service Description		Hourly Rate
Policy Development		\$
		\$
		\$
		\$

FORM 4.03 QUESTIONNAIRE

Suppliers must complete this “Questionnaire,” providing the information in the same order requested below. In their narrative, suppliers may emphasize any areas of their proposal that they believe exceed our requirements.

1. Qualifications and Relevant Experience

- A.** Briefly describe your company. Include how long the company has been in business.
- B.** Describe the qualifications of your company, its business experience and achievements.
- C.** If awarded this contract, who are you proposing to be the project manager? What is their experience with this work and other aspects pertinent to this project? What are their years of experience, years in the industry, years with the firm, years of applicable licenses, etc.? Provide a list of three major projects that the person has led.
- D.** Provide names, tenure, roles, and responsibilities for each key team member engaged in providing the related services. Include any sub-consultants.
- E.** Describe how you recruit and maintain personnel possessing the qualifications and capabilities called for in this RFP.
- F.** What is your annual turnover rate for the past three years?
- G.** What characteristics most distinguish your organization from your competitors?

2. Technical Capability, Approach, and Capacity

- A.** What is your approach to this project? Include how you will analyze policies and procedures and the operational environment.
- B.** List the primary features or work tasks. Describe your execution, management, and control of the project.
- C.** Provide a timeline plan for this project.
- D.** Provide any City of Everett staff time requirements and resources, including types of information, data, access, or other data that will be needed to conduct the assessment.
- E.** Describe the assessment that the City will receive. Highlight the key features and framework. If possible, include a sample assessment.
- F.** How does your approach meet or exceed our needs as described in the scope of work?
- G.** What is your availability for this project? Please include a statement of other work currently underway or anticipated to be in progress during the project's time frame and show how you intend to schedule projects so that this project is accomplished as well.
- H.** Describe your process to ensure compliance with applicable state and federal laws and regulations.

- I. Does your firm intend to subcontract any portion of this contract? If so, please provide the following: name of the firm(s), any WMDBE certifications, the percentage of work to be performed by each sub-consultant, and a description of the nature of work performed by each.
- J. Provide information on value-added services or functionalities that may be of benefit to the city.

3. Communication and Customer Service

- A. Describe how your project manager will keep the City of Everett informed in a timely manner of any issues related to delivering the services described in this RFP.
- B. Describe your company's customer service, including your company's policy for returning calls and e-mails.
- C. Where is your office located, and what are your customer service hours (Pacific Time)?

4. Risk, Performance, and Quality Assurance

- A. Submit no more than five (5) completed relevant project experiences, within the past five years that demonstrate successful contract performance similar in size and scope as described in this RFP, including any government experience. Include the following for each reference:
 - a. Company name and full address
 - b. Point of contact name, title, e-mail address, and phone number
 - c. Contract title, number, start, and completion dates.
 - d. Contract description & service details.
- B. Have you defaulted on any contracts within the past three years or failed to meet contract terms? If so, describe.

FORM 4.04 CERTIFICATE OF NON-DEBARMENT/SUSPENSION

REQUEST FOR PROPOSAL #2024-075 EVERETT TRANSIT SECURITY THREAT ASSESSMENT

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER

INELIGIBILITY AND VOLUNTARY EXCLUSION

LOWER TIER COVERED TRANSACTIONS

THIS FORM MUST BE COMPLETED BY THE PRIME SUPPLIER AND ANY SUB-TIER SUPPLIERS THAT WILL BE AFFILIATED WITH THE WORK IN THIS PROPOSAL. RETURN ALL COMPLETED FORMS WITH ORIGINAL PROPOSAL PACKAGE.

The Lower Tier Participant (Applicant for a third-party subcontract or subgrant under a federal funded project),

_____ hereinafter referred to as *Supplier*, certifies, by submission of this document, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

Where the Supplier is unable to certify to any of the statements in this certification, such Supplier must attach an explanation to this submittal.

The Supplier, _____, certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Section 3801 et seq. are applicable thereto.

Signature of Authorized Official

Title of Authorized Official

Date

FORM 4.05 CERTIFICATION REGARDING LOBBYING BY CONTRACTOR (150K & ABOVE)

Pursuant to 40 CFR Part 20 (which is by this reference incorporated herein), the undersigned certifies, to the best of his or her knowledge and belief, that:

- A.** No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- B.** If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned must complete and submit Standard Form-LLL *Disclosure Form to Report Lobbying*, in accordance with its instructions.
- C.** The undersigned must require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients must certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification must be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The Contractor, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. A 3801, *et seq.*, apply to this certification and disclosure, if any.

Signature of Contractor

Date

Print Name

Address

Title

City, State, ZIP

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

1. Type of Federal Action: a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance		2. Status of Federal Action: a. bid/offer/application b. initial award c. post-award		3. Report Type: a. initial filing b. material change For Material Change Only: year quarter Date of last report: _____	
4. Name and Address of Reporting Entity: <div style="display: flex; justify-content: space-between;"> Prime Subawardee </div> Tier _____ <i>if known:</i> Congressional District, <i>if known:</i> 4c			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, <i>if known:</i>		
6. Federal Department/Agency:			7. Federal Program Name/Description: CFDA Number, <i>if applicable:</i>		
8. Federal Action Number, if known:			9. Award Amount, if known: \$		
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i>			b. Individuals Performing Services <i>(including address if different from No. 10A)</i> <i>(last name, first name, MI):</i>		
Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure must be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____		
Federal Use Only:				Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form must be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying registrant under the Lobbying

Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).

11. The certifying official must sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

REF

SECTION 5 – ACRONYMS & DEFINITIONS

Bidder: see “Supplier”.

CFR: Code of Federal Regulations.

City: refers to the City of Everett (“COE”), located in Washington State.

Contractor: see “Supplier”.

Contract Administrator: see “Procurement Professional”.

Cost Analysis: comparison of offered price to the offeror’s own costs and evaluation of the difference (profit).

Desired Features: features that a requested commodity or solution does not have to possess to be considered responsive. However, inclusion of such features are considered value added qualities that may lead to a higher level of success and evaluation score for the proposal response. These are in addition to the salient characteristics included in the solicitation.

L&I: the Washington State Department of Labor and Industries.

Lower Tier Participant: see “Supplier”.

Mandatory Features: a condition set out in the scope of work or specifications that must be met without alteration. Not meeting a mandatory requirement may be grounds for disqualification of a bid or proposal.

Must: see “Shall”.

Offeror: see “Supplier”.

Price Analysis: comparison of proposed price to comparable pricing data.

Prime Contractor: see “Supplier”.

Procurement Professional: the individual in Procurement assigned by the City of Everett who is responsible for resolving contractual issues and supporting the Project Manager during Contract performance. This includes the issuance of a written document to amend, modify, or deviate from the Contract terms, conditions, requirements, specifications, details, or delivery schedule.

Project Manager: the individual assigned by the requesting department that is responsible for managing, inspecting, and monitoring all Contractor work performed to ensure compliance with the contract requirements. The Project Manager is the Contractor’s primary point of contact and acts as the agency’s representative in charge of work at the site.

Proposer: see “Supplier”.

RCW: Revised Code of Washington.

Recipient: see “City”.

Shall or Must: the terms “shall” or “must” are used whenever a specification expresses a requirement by either the City or the Supplier.

Subcontractor: the individual, association, partnership, firm, company, corporation, or joint venture entering into an agreement with the Supplier to perform any portion of the work covered by this contract.

Submittals: information that is submitted to the City of Everett by the Supplier.

Supplier: the individual, association, partnership, firm, company, corporation, or a combination thereof, including joint ventures, submitting a response to perform the work.

UCC: Uniform Commercial Code.

WAC: Washington Administrative Code.

FEDERAL TRANSIT ADMINISTRATION (FTA) CLAUSES

The following terms and conditions are required for compliance with FTA grant requirements. Any applicable flow-through terms and conditions must apply to this solicitation.

REF



City of Everett

Federal Transit Administration Clauses

Last updated: 1/13/2023

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1. **SIMPLIFIED ACQUISITION THRESHOLD**

Required Clauses in Third Party Contracts. In addition to other applicable provisions of federal law, regulations, requirements, and guidance, all third-party contracts made by the Recipient under the Federal award must contain provisions covering the following.

Contracts for more than the simplified acquisition threshold, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. § 1908, or otherwise set by law, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. (Note that the simplified acquisition threshold determines the procurement procedures that must be employed pursuant to 2 C.F.R. §§ 200.317–200.327.) The simplified acquisition threshold does not exempt a procurement from other eligibility or processes requirements that may apply. For example, Buy America’s eligibility and process requirements apply to any procurement in excess of \$150,000. 49 U.S.C. § 5323(j)(13).)

2. **ACCESS TO RECORDS AND REPORTS**

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The U.S. Secretary of Transportation and the Comptroller General of the United States, the state, or their duly authorized representatives, access to all third party contract records (at any tier) as required under 49 U.S.C. § 5325(g); and (2) Sufficient access to all third party contract records (at any tier) as needed for compliance with applicable federal laws, regulations, and requirements or to assure proper management of Underlying Agreement as determined by FTA.

- A. **Record Retention.** The Contractor will retain, and will require its subcontractors of all tiers to retain, complete and readily accessible records related in whole or in part to the contract, including, but not limited to, data, documents, reports, statistics, sub-agreements, leases, subcontracts, arrangements, other third party agreements of any type, and supporting materials related to those records.
- B. **Retention Period.** The Contractor agrees to comply with the record retention requirements in accordance with 2 C.F.R. § 200.333. The Contractor shall maintain all books, records, accounts and reports required under this Contract for a period of at not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case records shall be maintained until the disposition of all such litigation, appeals, claims or exceptions related thereto.
- C. **Access to Records.** The Contractor agrees to provide sufficient access to FTA and its contractors to inspect and audit records and information related to performance of this contract as reasonably may be required.
- D. **Access to the Sites of Performance.** The Contractor agrees to permit FTA and its contractors’ access to the sites of performance under this contract as reasonably may be required.

3. BONDING REQUIREMENTS (NOT APPLICABLE)

4. BUS TESTING (NOT APPLICABLE)

5. BUY AMERICA REQUIREMENTS (NOT APPLICABLE)

This clause flows down and extends to all first-tier contractors and their contracts. It must be included in every first-tier contract.

The contractor agrees to comply with 49 U.S.C 5323(j) and 49 C.F.R. part 661, which provide that Federal funds may not be obligated unless all steel, iron, and manufactured products used in FTA funded projects are produced in the United States, unless a waiver has been granted by FTA or the product is subject to a general waiver. General waivers are listed in 49 C.F.R. § 661.7. Separate requirements for rolling stock are set out at 49 U.S.C. 5323(j)(2)(C) and 49 C.F.R. § 661.11.

6. BUILD AMERICA, BUY AMERICA ACT (NOT APPLICABLE)

This clause flows down and extends to all first-tier contractors and their contracts. It must be included in every first-tier contract.

The contractor agrees to comply with the Build America, Buy America Act. Construction materials used in the Project are subject to the domestic preference requirement of the Build America, Buy America Act, Pub. L. 117-58, div. G, tit. IX, §§ 70911 – 70927 (2021), as implemented by the U.S. Office of Management and Budget, the U.S. Department of Transportation, and FTA. The Recipient acknowledges that this agreement is neither a waiver of § 70914(a) nor a finding under § 70914(b).

- A. All iron and steel used in the project are produced in the United States – this means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States;
- B. All manufactured products used in the project are produced in the United States—this means the manufactured product was manufactured in the United States; and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation; and
- C. All construction materials* are manufactured in the United States—this means that all manufacturing processes for the construction material occurred in the United States.

*Excludes cement and cementitious materials, aggregates such as stone, sand, or gravel, or aggregate binding agents or additives.

The Buy America preference only applies to articles, materials, and supplies that are consumed in, incorporated into, or affixed to an infrastructure project. As such, it does not apply to tools, equipment, and supplies, such as temporary scaffolding, brought to the construction site and removed at or before the completion of the infrastructure project. Nor does a Buy America preference apply to equipment and furnishings, such as movable chairs, desks, and portable computer equipment, that are used at or within the finished infrastructure project, but are not an integral part of the structure or permanently affixed to the infrastructure project.

7. CARGO PREFERENCE REQUIREMENTS (NOT APPLICABLE)

A. Cargo Preference - Use of United States-Flag Vessels

Include for all contracts in which ocean vessel is used to transport.

8. CHARTER SERVICE (NOT APPLICABLE)

9. CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (Over 150K)

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The Contractor agrees:

1. It will not use any violating facilities;
2. It will report the use of facilities placed on or likely to be placed on the U.S. EPA "List of Violating Facilities;"
3. It will comply with the inspection and other requirements of the Clean Air Act, as amended, (42 U.S.C. §§ 7401 – 7671q); and the Federal Water Pollution Control Act as amended, (33 U.S.C. §§ 1251-1388).
4. It will report violations must to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

10. CIVIL RIGHTS AND EQUAL OPPORTUNITY

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The City of Everett is an Equal Opportunity Employer. As such, the City of Everett and all third-party contractors and their contracts agree to prohibit discrimination based on race, color, religion, sex, sexual orientation, gender identity, or national origin, and comply with all applicable Federal civil rights laws and implementing regulations. Apart from inconsistent requirements imposed by Federal laws or regulations, the City of Everett agrees to comply with the requirements of 49 U.S.C. § 5323(h)(3) by not using any Federal assistance awarded by FTA to support procurements using exclusionary or discriminatory specifications.

City of Everett and all third-party contractors and their contracts also agree to comply with Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000e, et seq.; (ii) Comply with Title I of the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. §§ 12101, et seq.; (iii) Facilitate compliance with Executive Order No. 11246, "Equal Employment Opportunity" September 24, 1965 (42 U.S.C. § 2000e note), as amended by any later Executive Order that amends or supersedes it in part and is applicable to federal assistance programs; (iv) Comply with federal transit law, specifically 49 U.S.C. § 5332, as provided in section 12 of this Master Agreement; (v) FTA Circular 4704.1 "Equal Employment Opportunity (EEO) Requirements and Guidelines for Federal Transit Administration Recipients;" and (vi) Follow other federal guidelines pertaining to EEO laws, regulations, and requirements.

The City of Everett also follows the most recent edition of FTA Circular 4702.1, "Title VI Requirements and Guidelines for Federal Transit Administration Recipients," to the extent consistent with applicable federal laws, regulations, requirements, and guidance, U.S. DOJ, "Guidelines for the enforcement of Title VI, Civil Rights Act of 1964," 28 C.F.R. § 50.3; and all other applicable federal guidance.

Under this Agreement, the Contractor shall at all times comply with the following requirements and shall include these requirements in each subcontract entered into as part thereof.

1. **Nondiscrimination.** In accordance with Federal transit law at 49 U.S.C § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, national origin, sex (including sexual orientation and gender identity), disability, or age. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
2. **Race, Color, Religion, National Origin, Sex, including gender identity.** The Contractor will prohibit discrimination based on race, color, or national origin in accordance with Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d *et seq.*, U.S. DOT regulations, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964," 49 CFR Part 21; and Federal transit laws at 49 U.S.C. § 5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. chapter 60, and Executive Order No. 11246, "Equal Employment Opportunity in Federal Employment," September 24, 1965, 42 U.S.C. § 2000e note, as amended by any later Executive Order that amends or supersedes it, referenced in 42 U.S.C. § 2000d. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, national origin, or sex (including sexual orientation and gender identity). Such action shall include, but not be limited to, the following: employment, promotion, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
3. **Age.** In accordance with the Age Discrimination in Employment Act, 29 U.S.C. §§ 621- 634, U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, "Age Discrimination in Employment Act," 29 C.F.R. part 1625, the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6101 *et seq.*, U.S. Health and Human Services regulations, "Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance," 45 C.F.R. part 90, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
4. **Disabilities.** In accordance with section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. § 12101 *et seq.*, the Architectural Barriers Act of 1968, as amended, 42 U.S.C. § 4151 *et seq.*, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against individuals on the basis of disability. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
5. **Affirmative Action.** If required to do so by U.S. DOT regulations (49 CFR Part 21) or U.S. Department of Labor regulations (41 C.F.R. chapter 60), take affirmative action that includes, but is not limited to: (A)

Recruitment advertising, recruitment, and employment; 53 (B) Rates of pay and other forms of compensation; (C) Selection for training, including apprenticeship, and upgrading; and (D) Transfers, demotions, layoffs, and terminations.

6. **Equal Employment Opportunity Requirements for Construction Activities.** Comply, when undertaking “construction” as recognized by the U.S. Department of Labor (U.S. DOL), with: (i) U.S. DOL regulations, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor,” 41 C.F.R. chapter 60; and (ii) Executive Order No. 11246, “Equal Employment Opportunity in Federal Employment,” September 24, 1965, 42 U.S.C. § 2000e note (30 Fed. Reg. 12319, 12935), as amended by any later Executive Order that amends or supersedes it, referenced in 42 U.S.C. § 2000e note.

11. DISADVANTAGED BUSINESS ENTERPRISE (DBE)

A. Background and Applicability

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract. It is the prime contractor’s responsibility to ensure the DBE requirements are applied across the board to all subcontractors.

B. Disadvantaged Business Enterprise (DBE) Participation

The Disadvantaged Business Enterprise (DBE) requirements of 49 CFR Part 26 applies to this contract. The requirements of this contract are to encourage DBE participation and to report race neutral accomplishments semi-annually. No preference will be included in the evaluation of bids, no minimum level of DBE participation shall be required as condition for receiving an award and bids/Bids will not be rejected or considered non-responsive on that basis.

C. Disadvantaged Business Enterprises (DBE) Definitions

1. DBE is an incorporated or unincorporated small business concern or joint venture, as defined by Section 3 of the Small Business Act and implementing regulations, except that a small business concern shall not include any concern or group of concerns controlled by the same socially and economically disadvantaged individual or individuals which has annual average gross receipts in excess of \$14 million over the previous three fiscal years. The Secretary shall adjust this figure from time to time for inflation. A DBE must be certified by the Washington State Office of Minority and Women’s Business Enterprises.
 - a. At least 51 percent of which is owned by one or more socially and economically disadvantaged individuals or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individuals; and,
 - b. The management and daily business operations of which are controlled by the socially and economically disadvantaged individuals who own the business.
2. Socially and Economically Disadvantaged Individuals are those individuals who are citizens of the United States (or lawfully admitted permanent residents) and who are:
 - a. Black Americans - which includes persons having origins in any of the black racial groups of

Africa;

- b. Hispanic Americans - which includes persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish or Portuguese culture or origin, regardless of race;
- c. Native Americans - which includes persons who are American Indians, Eskimos, Aleuts or Native Hawaiians;
- d. Asian-Pacific Americans - which includes persons whose origins are from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guam, the U.S. Trust Territories or the Pacific and the Northern Marianas;
- e. Asian-Indian Americans - which includes persons whose origins are from India, Pakistan and Bangladesh;
- f. Women - regardless of race, ethnicity or origin; and,
- g. Other - individuals found to be socially and economically disadvantaged by the Small Business Administration (SBA) pursuant to Section 8(a) of the Small Business Act.

D. Disadvantaged Business Enterprises

- 1. This contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26, Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs. The national goal for participation of DBEs is 10%. The agency's overall goal for DBE participation is 2%. A separate contract goal has not been established for this procurement.
- 2. The successful bidder/Bidder will be required to report its DBE participation obtained through race-neutral means throughout the period of performance.
- 3. The contractor must promptly notify the City of Everett, whenever a DBE subcontractor performing work related to this contract is terminated or fails to complete its work, and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of the City of Everett.

E. Affirmative Efforts to Solicit DBE Participation

DBE firms shall have equal opportunity to compete for and perform subcontracts which the Contractor enters into pursuant to this contract. Contractors are encouraged to:

- 1. Advertise opportunities for subcontractors or suppliers in a manner reasonably designed to provide DBEs capable of performing the work with timely notice of such opportunities. All advertisements should include a provision encouraging participation by DBE firms and may be done through general advertisements (e.g., newspapers, journals, etc.) or by soliciting bids/Bids directly from DBEs.
- 2. Utilize the services of available minority community-based organizations, minority contractor groups, local minority assistance offices and organizations that provide assistance in the

recruitment and placement of DBEs and other small businesses, such as the Office of Minority and Women's Business Enterprises listed below.

3. Establish delivery schedules, where requirements of the contract allow, that encourage participation by DBEs and other small businesses.
4. Achieve attainment through joint ventures.

In the absence of a mandatory goal, all DBE participation that is attained on this project will be considered as "race neutral" participation and will be reported as such.

F. Information Regarding DBEs

Information regarding Disadvantaged Business Enterprises currently certified with the State of Washington is available at:

Office of Minority and Women's Business Enterprises

PO Box 41160

Olympia, WA 98504-1160

(800) 208-1064 Toll Free

(360) 586-7079 Fax

Or visit their website at <http://www.omwbe.wa.gov/>

G. Procedures Between Award and Execution

After award of the contract, the successful bidder shall provide the following additional information:

A list of all firms who submitted a bid or quote in an attempt to participate in this project whether they were successful or not. Include the correct business name, federal employer identification number (optional), and a mailing address.

H. Required DBE Contract Clauses

1. Contract Assurance

The following clause is incorporated in every FTA-assisted contract and subcontract:

The Contractor or Subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of FTA-assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as City of Everett deems appropriate, which may include, but is not limited to:

- a. Withholding monthly progress payments;
- b. Assessing sanctions;

- c. Liquidated damages; and/or
- d. Disqualifying the Contractor from future bidding as non-responsible per 49 CFR§ 26.13(b).

2. Prompt Payment and Retainage

The following clause is incorporated in every FTA-assisted prime contract:

When payment is received by a Contractor or Subcontractor for work performed, the Contractor or Subcontractor shall pay to any Subcontractor no later than thirty (30) days after the receipt of the payment, amounts allowed the Contractor on account of the work performed by the Subcontractor, to the extent of each Subcontractor's interest therein, unless the payment otherwise is excused under the provisions of RCW 39.04.250. This requirement shall flow down from Contractor to all lower tier subcontractors.

The City of Everett will not withhold any retainage or payments owing to the Contractor. The Contractor shall not withhold any retainage or payments owing to any Subcontractor. No delays or postponements of payment from the above referenced timeframe may occur unless approved in writing by the City. This clause applies to the DBE and non-DBE subcontracts.

If an DBE has not been paid on time, it should seek to resolve issues with the Contractor or Subcontractor, if the DBE is on a lower tier. If a satisfactory resolution is not arrived at, the DBE may approach the City of Everett Procurement Manager for assistance.

3. Resolving Payment Disputes, Discrepancies and Delays

Per 49 CFR §26.29 Contractors shall include in their subcontracts language providing that Contractor and Subcontractors will use appropriate alternative dispute resolution mechanisms to resolve payment disputes.

Further, if a payment to a Contractor by the City of Everett is in dispute, has discrepancies or is delayed for any other reason, Contractor shall pay its DBE subcontractor(s) in a timely manner, without waiting for receipt of payment from the City of Everett.

4. Use of DBE Financial Institutions:

Per 49 CFR Part 26.27, Contractors and Subcontractors are encouraged to investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in their community and make reasonable efforts to use these institutions. The list of such firms is available at: www.federalreserve.gov/releases/mob.

I. Small Business Participation

Bidders on public works contracts greater than \$100,000 must identify and provide specific subcontracts appropriate for small business participation.

Definition of Small Business per the Code of Federal Regulations (CFR), Title 49: Transportation, Part 26 – Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs, Subpart A – General, Paragraph 26.5:

Small business concern means, with respect to firms seeking to participate as DBEs in DOT-assisted contracts, a small business concern as defined pursuant to section 3 of the Small Business Act and

Small Business Administration regulations implementing it (13 CFR part 121) that also does not exceed the cap on average annual gross receipt specified in Paragraph 26.65(b).

Paragraph 26.65: What rules govern business size determinations?

1. To be an eligible DBE, a firm (including its affiliates) must be an existing small business, as defined by Small Business Administration (SBA) standards. As a recipient, you must apply current SBA business size standard(s) found in 13 CFR part 121 appropriate to the type(s) of work the firm seeks to perform in DOT-assisted contracts.
2. Even if it meets the requirements of paragraph (a) of this section, a firm is not an eligible DBE in any Federal fiscal year if the firm (including its affiliates) has had average annual gross receipts, as defined by SBA regulations (see 13 CFR 121.402), over the firm's previous three fiscal years, in excess of \$22.41 million.
3. The Department adjusts the number in paragraph (b) of this section annually using the Department of Commerce price deflators for purchases by State and local governments as the basis for this adjustment.

[74 FR 15224, Apr. 3, 2009]

12. VETERAN'S EMPLOYMENT

To the extent practicable, Contractors shall give a hiring preference to veterans (as defined in Section 2108 of title 5 (5 USC 2108)) who have the requisite skills and abilities to perform the construction work required under a third-party contract in connection with a Capital Project supported with federal assistance appropriated or made available for 49 U.S.C. This subsection shall not be understood, construed or enforced in any manner that would require an employer to give a preference to any veteran over any equally qualified applicant who is a member of any racial or ethnic minority, female, an individual with a disability, or a former employee.

13. TERMINATION OF DBE SUBCONTRACTOR

The Contractor shall not terminate the DBE subcontractor(s) listed in the **bid documents** without the City of Everett's prior written consent. The City of Everett may provide such written consent only if the Contractor has good cause to terminate the DBE firm. Before transmitting a request to terminate, the Contractor shall give notice in writing to the DBE subcontractor of its intent to terminate and the reason for the request. The Contractor shall give the DBE five (5) days to respond to the notice and advise of the reasons why it objects to the proposed termination. When a DBE subcontractor is terminated or fails to complete its work on the Contract for any reason, the Contractor shall make good faith efforts to find another DBE subcontractor to substitute for the original DBE and immediately notify the City of Everett in writing of its efforts to replace the original DBE. These good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the Contract as the DBE that was terminated.

A. Continued Compliance

The City of Everett shall monitor the Contractor's DBE compliance during the life of the Contract. In the event this procurement exceeds ninety (90) days, **it will be the responsibility of the Contractor to submit quarterly written reports to the City of Everett that** summarize the total DBE value for

this Contract. These reports shall provide the following details:

- DBE utilization established for the Contract;
- Total value of expenditures with DBE firms for the quarter;
- The value of expenditures with each DBE firm for the quarter by race and gender;
- Total value of expenditures with DBE firms from inception of the Contract; and
- The value of expenditures with each DBE firm from the inception of the Contract by race and gender.

Reports and other correspondence must be submitted to the DBE Coordinator with copies provided to the City of Everett. Reports shall continue to be submitted quarterly until final payment is issued or until DBE participation is completed.

The successful Bidder shall permit:

- The City of Everett to have access to necessary records to examine information as it deems appropriate for the purpose of investigating and determining compliance with this provision, including, but not limited to, records of expenditures, invoices, and contract between the successful Bidder and other DBE parties entered into during the life of the Contract.
- The authorized representative(s) of the City of Everett, the U.S. Department of Transportation, the Comptroller General of the United States, to inspect and audit all data and record of the Contractor relating to its performance under the Disadvantaged Business Enterprise Participation provision of this Contract.
- All data/record(s) pertaining to DBE shall be maintained as stated in the solicitation.

B. Sanctions for Violations

If at any time the City of Everett has reason to believe that the Contractor is in violation of its obligations under this Agreement or has otherwise failed to comply with terms of this Section, the City of Everett may, in addition to pursuing any other available legal remedy, commence proceedings, which may include but are not limited to, the following:

- Suspension of any payment or part due the Contractor until such time as the issues concerning the Contractor's compliance are resolved; and
- Termination or cancellation of the Contract, in whole or in part, unless the successful Contractor is able to demonstrate within a reasonable time that it is in compliance with the DBE terms stated herein.

14. PREVAILING WAGE AND ANTI-KICKBACK (NOT APPLICABLE)

15. CONTRACT WORK HOURS AND SAFETY STANDARDS (40 U.S.C. §§ 3701 – 3708)

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

For all contracts in excess of \$100,000 that involve the employment of mechanics or laborers, must include a provision for compliance with 40 U.S.C. §§ 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. § 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer based on a standard work week of forty (40) hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of forty (40) hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

In the event of any violation of the clause set forth herein, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, the Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of this clause in the sum of \$27 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by this clause.

The FTA shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in this section.

The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this agreement.

16. CONTRACT WORK HOURS AND SAFETY STANDARDS FOR AWARDS NOT INVOLVING CONSTRUCTION

The Contractor shall comply with all federal laws, regulations, and requirements providing wage and hour protections for non-construction employees, in accordance with 40 U.S.C. § 3702, Contract Work Hours and Safety Standards Act, and other relevant parts of that Act, 40 U.S.C. § 3701 *et seq.*, and U.S. DOL regulations, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Non-construction Contracts Subject to the Contract Work Hours and Safety Standards Act)," 29 C.F.R. part 5.

The Contractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three (3) years from the completion of the contract for all laborers and

mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid.

Such records maintained under this paragraph shall be made available by the Contractor for inspection, copying, or transcription by authorized representatives of the FTA and the Department of Labor, and the Contractor will permit such representatives to interview employees during working hours on the job.

The contractor shall require the inclusion of the language of this clause within subcontracts of all tiers.

17. ENERGY CONSERVATION

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The contractor agrees to comply with the mandatory energy standards and policies of its state energy conservation plans under the Energy Policy and Conservation Act, as amended, 42 U.S.C. § 6321, et seq., and perform an energy assessment for any building constructed, reconstructed, or modified with federal assistance required under FTA regulations, "Requirements for Energy Assessments," 49 CFR Part 622, subpart C.

18. FLY AMERICA

This clause flows down and extends to the first-tier contractor only.

A. **Definitions.** As used in this clause:

"International air transportation" means transportation by air between a place in the United States and a place outside the United States or between two places both of which are outside the United States.

"United States" means the 50 States, the District of Columbia, and outlying areas.

The Contractor agrees to comply with 49 U.S.C. 40118 (the "Fly America" Act) in accordance with the General Services Administration's regulations at 41 CFR Part 301-10, which provide that recipients and subrecipients of Federal funds and their contractors are required to use U.S. flag air carriers for U.S. Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S. flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. The Contractor agrees to include the requirements of this section in all subcontracts that may involve international air transportation.

B. **Statement of Unavailability of U.S.-Flag Air Carriers**

International air transportation of persons (and their personal effects) or property by U.S.-flag air carrier was not available or it was necessary to use foreign-flag air carrier service for the following reasons. See FAR § 47.403. *[State reasons]:*

(End of statement)

The Contractor shall include the substance of this clause, including this paragraph, in each subcontract or purchase under this contract that may involve international air transportation.

19. GOVERNMENT-WIDE DEBARMENT AND SUSPENSION

This clause applies to any tier contract expected to exceed \$25,000. The Contractor, including any of its officers or holders of a controlling interest, is obligated to inform the Recipient, the City of Everett, whether or not it is or has been on any debarred bidders' list maintained by the United States Government. Should the Contractor be included on such a list during the performance of this project, it shall so inform the Recipient.

(Executive Orders 12549 and 12689). A covered transaction (see 2 C.F.R. §§ 180.220 and 1200.220) must not be entered into with any party listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 C.F.R. 180 that implement Executive Orders 12549 (31 U.S.C. § 6101 note, 51 Fed. Reg. 6370,) and 12689 (31 U.S.C. § 6101 note, 54 Fed. Reg. 34131), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549. The Recipient agrees to include, and require each Third Party Participant to include, a similar provision in each lower tier covered transaction, ensuring that each lower tier Third Party Participant: (i) Complies with federal debarment and suspension requirements; and (ii) Reviews the SAM at <https://www.sam.gov>, if necessary to comply with U.S. DOT regulations, 2 CFR Part 1200.

The Contractor shall comply and facilitate compliance with U.S. DOT regulations requirements of 2 CFR Part 180, subpart C, as adopted and supplemented by U.S. DOT regulations at 2 CFR Part 1200. "Nonprocurement Suspension and Debarment," which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit (irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- A. Debarred from participation in any federally assisted Award;
- B. Suspended from participation in any federally assisted Award;
- C. Proposed for debarment from participation in any federally assisted Award;
- D. Declared ineligible to participate in any federally assisted Award;
- E. Voluntarily excluded from participation in any federally assisted Award; or
- F. Disqualified from participation in any federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by the City of Everett. If it is later determined by the City that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the City of Everett, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

20. DISCLOSURE OF LOBBYING ACTIVITIES (31 U.S.C. § 1352)

The lobbying requirements apply to all contracts and subcontracts of \$100,000 or more at any tier under a Federal grant.

Byrd Anti-Lobbying Amendment, 31 U.S.C. 1352, as amended by the Lobbying Disclosure Act of 1995, P.L. 104-65 [to be codified at 2 U.S.C. § 1601, et seq.] - Contractors who apply or bid for an award of \$100,000 or more shall file the certification required by 49 CFR part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal contract, grant or any other award covered by 31 U.S.C. § 1352. Each tier must also disclose any lobbying with non-federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-federal award.

21. NO FEDERAL GOVERNMENT OBLIGATION OR LIABILITY

This clause applies to third party contracts that are federally funded and flows down to every tier.

- A. The Federal Government does not and shall not have any commitment or liability related to this Contract or its underlying agreements, to any participant at any tier, or to any other person or entity that is not a party (FTA or the Agency) to the underlying agreement; and
- B. Notwithstanding that the Federal Government may have concurred in or approved any Solicitation or Contract at any tier that may affect any underlying agreement, the Federal Government does not and shall not have any commitment or liability to any participant or other entity or person that is not a party (FTA or the Agency) to this Contract/Task Order or any underlying agreement.

22. PATENT RIGHTS AND RIGHTS IN DATA

If the recipient or subrecipient wishes to enter into a contract (or subcontract) with a small business firm or nonprofit organization for the performance of experimental, developmental, or research work under the FTA award.

This Project is funded through a Federal award with FTA for experimental, developmental, or research work purposes. As such, certain Patent Rights and Data Rights apply to all subject data first produced in the performance of this Contract. The Contractor shall grant the City of Everett intellectual property access and licenses deemed necessary for the work performed under this Agreement and in accordance with the requirements of 37 C.F.R. part 401, "Rights to Inventions Made by Nonprofit Organizations and

Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by FTA or U.S. DOT. The terms of an intellectual property agreement and software license rights will be finalized prior to execution of this Agreement and shall, at a minimum, include the following restrictions: Except for its own internal use, the Contractor may not publish or reproduce subject data in whole or in part, or in any manner or form, nor may the Contractor authorize others to do so, without the written consent of FTA, until such time as FTA may have either released or approved the release of such data to the public. This restriction on publication, however, does not apply to any contract with an academic institution. For purposes of this agreement, the term “subject data” means recorded information whether or not copyrighted, and that is delivered or specified to be delivered as required by the Contract. Examples of “subject data” include, but are not limited to computer software, standards, specifications, engineering drawings, and associated lists, process sheets, manuals, technical reports, catalog item identifications, and related information, but do not include financial reports, cost analyses, or other similar information used for performance or administration of the Contract.

- A. The Federal Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for “Federal Government Purposes,” any subject data or copyright described below. For “Federal Government Purposes,” means use only for the direct purposes of the Federal Government. Without the copyright owner’s consent, the Federal Government may not extend its Federal license to any other party.
 - 1. Any subject data developed under the Contract, whether or not a copyright has been obtained; and any rights of copyright purchased by the Contractor using Federal assistance in whole or in part by the FTA.
- B. Unless FTA determines otherwise, the Contractor performing experimental, developmental, or research work required as part of this Contract agrees to permit FTA to make available to the public, either FTA’s license in the copyright to any subject data developed in the course of the Contract, or a copy of the subject data first produced under the Contract for which a copyright has not been obtained. If the experimental, developmental, or research work, which is the subject of this Contract, is not completed for any reason whatsoever, all data developed under the Contract shall become subject data as defined herein and shall be delivered as the Federal Government may direct.
- C. Unless prohibited by state law, upon request by the Federal Government, the Contractor agrees to indemnify, save, and hold harmless the Federal Government, its officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by the Contractor of proprietary rights, copyrights, or right of privacy, arising out of the publication, translation, reproduction, delivery, use, or disposition of any data furnished under that contract. The Contractor shall be required to indemnify the Federal Government for any such liability arising out of the wrongful act of any employee, official, or agents of the Federal Government.
- D. Nothing contained in this clause on rights in data shall imply a license to the Federal Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Federal Government under any patent.
- E. Data developed by the Contractor and financed entirely without using Federal assistance provided by the Federal Government that has been incorporated into work required by the underlying Contract is exempt from the requirements herein, provided that the Contractor identifies those data

in writing at the time of delivery of the Contract work.

- F. The Contractor agrees to include these requirements in each subcontract for experimental, developmental, or research work financed in whole or in part with Federal assistance.
- G. **Rights to Inventions Made Under a Contract or Agreement.** If the federal award meets the definition of “funding agreement” under 37 C.F.R. § 401.2(a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency.

23. PRE-AWARD AND POST-DELIVERY AUDITS OF ROLLING STOCK PURCHASES (NOT APPLICABLE)

24. PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS AND RELATED ACTS

The Program Fraud clauses applies to all third-party contracts that are federally funded. It extends to all third-party contractors and their contracts at every tier and subrecipients and their subcontracts at every tier. These requirements flow down to contractors and subcontractors who make, present, or submit covered claims and statements.

The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 et seq. and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.

The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. chapter 53, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5323(l) on the Contractor, to the extent the Federal Government deems appropriate.

The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

25. PUBLIC TRANSPORTATION EMPLOYEE PROTECTIVE ARRANGEMENTS (NOT APPLICABLE)

26. RECOVERED MATERIALS - RECYCLED PRODUCTS

These requirements extend to all third-party contractors and their contracts at every tier and subrecipients and their subcontracts at every tier where the value of an EPA designated item exceeds \$10,000.

The Contractor agrees to provide a preference for those products and services that conserve natural resources, protect the environment, and are energy efficient by complying with and facilitating compliance with Section 6002 of the Resource Conservation and Recovery Act, as amended, 42 U.S.C. § 6962, and U.S. Environmental Protection Agency (U.S. EPA), "Comprehensive Procurement Guideline for Products Containing Recovered Materials," 40 C.F.R. part 247.

27. SAFE OPERATION OF MOTOR VEHICLES

The Safe Operation of Motor Vehicles requirements apply to all federally funded third party contracts. It flows down to all third-party contractors at every tier.

A. Seat Belt Use

The Contractor is encouraged to adopt and promote on-the-job seat belt use policies and programs for its employees and other personnel that operate company-owned vehicles, company-rented vehicles, or personally operated vehicles. The terms "company-owned" and "company-leased" refer to vehicles owned or leased either by the Contractor or the City of Everett.

B. Distracted Driving

The Contractor agrees to adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Contractor owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the work performed under this agreement.

28. SCHOOL BUS OPERATIONS (NOT APPLICABLE)

29. SEISMIC SAFETY

The Seismic Safety requirements apply only to contracts for the construction of new buildings or additions to existing buildings. This clause flows down from FTA recipients and subrecipients to first tier contractors to assure compliance with the applicable building standards for Seismic Safety, including the work performed by all subcontractors.

The contractor agrees that any new building or addition to an existing building will be designed and constructed in accordance with the standards for Seismic Safety required in Department of Transportation (DOT) Seismic Safety Regulations 49 C.F.R. part 41 and will certify to compliance to the extent required by the regulation. The contractor also agrees to ensure that all work performed under

this contract, including work performed by a subcontractor, is in compliance with the standards required by the Seismic Safety regulations and the certification of compliance issued on the project.

30. SUBSTANCE ABUSE REQUIREMENTS (NOT APPLICABLE)

31. TERMINATION

All contracts in excess of \$10,000 must address the termination for cause and for convenience, including the manner by which it will be affected and the basis for settlement. This clause extends to all third-party contractors and their contracts at every tier and subrecipients and their subcontracts at every tier.

A. Termination for Convenience (General Provision)

The City of Everett may terminate this contract, in whole or in part, at any time by written notice to the Contractor when it is in the City's best interest. The Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. The Contractor shall promptly submit its termination claim to the City. If the Contractor has any property in its possession belonging to the City of Everett, the Contractor will account for the same, and dispose or return of it in the manner the City directs.

If the contract elsewhere has one or more termination for convenience provisions in addition to this Section 31.a, then the City may select the termination for convenience provision for the termination that the City deems most advantageous to the City.

B. Termination for Default [Breach or Cause] (General Provision)

If the Contractor does not deliver supplies in accordance with the contract delivery schedule, or if the contract is for services, the Contractor fails to perform in the manner called for in the contract, or if the Contractor fails to comply with any other provisions of the contract, the City may terminate this contract for default. Termination shall be effected by serving a Notice of Termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will be paid only the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth in the contract.

If it is later determined by the City that the Contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of the Contractor, the City, after setting up a new delivery of performance schedule, may allow the Contractor to continue work, or treat the termination as a Termination for Convenience.

If the contract elsewhere has one or more termination for default/breach/cause provisions in addition to this Section 31.b, then the City may select the termination provision for the termination that the City deems most advantageous to the City.

C. Opportunity to Cure (General Provision)

The City, in its sole discretion may, in the case of a termination for breach or default, allow the Contractor two weeks in which to cure the defect. In such case, the Notice of Termination will state the time period in which cure is permitted and other appropriate conditions.

If Contractor fails to remedy to City's satisfaction the breach or default of any of the terms,

covenants, or conditions of this Contract within ten (10) business days after receipt by Contractor of written notice from the City setting forth the nature of said breach or default, the City of Everett shall have the right to terminate the contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude the City from also pursuing all available remedies against Contractor and its sureties for said breach or default.

D. Waiver of Remedies for any Breach

In the event that the City elects to waive its remedies for any breach by Contractor of any covenant, term, or condition of this contract, such waiver by the City shall not limit the City's remedies for any succeeding breach of that or of any other covenant, term, or condition of this contract.

E. Termination for Convenience (Professional or Transit Service Contracts)

The City of Everett, by written notice, may terminate this contract, in whole or in part, when it is in the City's interest. If this contract is terminated, the City shall be liable only for payment under the payment provisions of this contract for services rendered before the effective date of termination.

32. VIOLATION AND BREACH OF CONTRACT

All contracts in excess of the Simplified Acquisition Threshold (currently set at \$250,000) shall contain administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. The Violations and Breach of Contracts clause flow down to all third-party contractors and their contracts at every tier.

A. Rights and Remedies of the City of Everett

The City of Everett shall have the following rights in the event that the City of Everett deems the Contractor guilty of a breach of any term under the Contract.

1. The right to take over and complete the work or any part thereof as agency for and at the expense of the Contractor, either directly or through other contractors;
2. The right to cancel this Contract as to any or all of the work yet to be performed;
3. The right to specific performance, an injunction or any other appropriate equitable remedy; and
4. The right to money damages.

B. Rights and Remedies of Contractor

Inasmuch as the Contractor can be adequately compensated by money damages for any breach of this Contract, which may be committed by the City of Everett, the Contractor expressly agrees that no default, act or omission of the City of Everett shall constitute a material breach of this Contract, entitling Contractor to cancel or rescind the Contract, unless the City directs Contractor to do so, or to suspend or abandon performance.

C. Remedies

Substantial failure of the Contractor to complete the Project in accordance with the terms of this Agreement will be a default of this Agreement. In the event of a default, the City of Everett will have

all remedies in law and equity, including the right to specific performance, without further assistance, and the rights to termination or suspension as provided herein. The Contractor recognizes that in the event of a breach of this Agreement by the Contractor before the City takes action contemplated herein, the City will provide the Contractor with sixty (60) days written notice that the City considers that such a breach has occurred and will provide the Contractor a reasonable period of time to respond and to take necessary corrective action.

D. Disputes

Disputes arising in the performance of this Contract that are not resolved by agreement of the parties shall be decided in writing by the authorized representative of City's Project Manager. This decision shall be final and conclusive unless within ten (10) business days from the date of receipt of its copy, the Contractor mails or otherwise furnishes a written appeal to the City Clerk. In connection with any such appeal, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the Procurement Manager shall be binding upon the Contractor and the Contractor shall abide by the decision.

E. Performance during Dispute

Unless otherwise directed by the City of Everett, Contractor shall continue performance under this Contract while matters in dispute are being resolved.

F. Claims for Damages

Should either party to the Contract suffer injury or damage to person or property because of any act or omission of the party or any of its employees, agents or others for whose acts it is legally liable, a claim for damages, therefore shall be made in writing to such other party within a reasonable time after the first observance of such injury or damage.

G. Remedies

Unless this Contract provides otherwise, all claims, counterclaims, disputes and other matters in question between the City of Everett and the Contractor arising out of or relating to this agreement or its breach will be decided by arbitration if the parties mutually agree, or in a court of competent jurisdiction within in Washington State in which the City of Everett is located.

H. Rights and Remedies

The duties and obligations imposed by the Contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by the City of Everett or Contractor shall constitute a waiver of any right or duty afforded any of them under the Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

33. PROTEST AND APPEALS

Procurement Protest Procedures are available for review at:

<https://www.codepublishing.com/WA/Everett/#!/Everett03/Everett0346.html#3.46>

34. FEDERAL CHANGES

Any proposed change in this contract shall be submitted to the City of Everett for its prior approval. Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Agreement (Form FTA MA (28) dated February 9, 2021) between Purchaser and FTA , or as they may be amended or promulgated from time to time during the term of this contract. Contractor's failure to so comply shall constitute a material breach of this contract.

35. INCORPORATION OF FTA TERMS

The preceding and following provisions include, in part, certain Standard Terms and Conditions required by DOT, whether or not expressly set forth in the preceding contract provisions. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1F dated November 1, 2008, (Revised: July 29, 2009) are hereby incorporated by reference.

<https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/Third%20Party%20Contracting%20Guidance%20%28Circular%204220.1F%29.pdf>

Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict either other provisions contained in this agreement. The Contractor shall not perform any act, fail to perform any act, or refuse to comply with any City of Everett requests which would cause City of Everett to be in violation of the FTA terms and conditions.

The contract agreement shall be binding upon and inure to the benefit of the contract parties, their successors and permitted assigns, but shall not inure to the benefit of any third party or other person.

36. TERMS OF THESE PROVISIONS AND COMPLIANCE

- A. The Contractor must comply with all applicable federal laws, regulations, and requirements, and should follow applicable federal guidance, except as FTA determines otherwise in writing.
- B. To assure compliance with federal laws, regulations, and requirements, the Contractor must take measures to assure that other participants in all of its underlying agreements, including, but not limited to subcontracts or purchase or task orders with lower tier subcontractors, suppliers, consultants etc.) comply with applicable federal laws, regulations, and requirements, and follow applicable federal guidance, except as FTA determines otherwise in writing.
- C. FTA may take enforcement action if the Contractor violates an applicable federal law, regulation, or requirement, or does not follow applicable federal guidance.
- D. Parties agree that not every provision of this section will apply to every subcontractor or any underlying agreements. Criteria determining which federal laws, regulations, requirements, and guidance apply include the type of award, the federal law authorizing federal assistance for the award, the federal law, regulations, or requirements governing how the award must be implemented, the federal guidance pertaining to the award, and the Contractor's legal status as a business, a "private nonprofit entity," a "private for-profit entity," or an individual.

- E. As provided in federal laws, regulations, requirements, and guidance, FTA will enforce only those federal laws, regulations, requirements, and guidance that apply to the Contractor, or to any Project and related activities encompassed in the award, any accompanying underlying agreements, and any amendments thereto.
- F. This Section does not have an expiration date. Section shall continue to apply to the Agency, the Contractor and all parties covered by any underlying agreements, until the Section is modified or superseded by a more recently enacted or issued applicable federal law, regulation, requirement, or guidance, or amendment.
- G. The Contractor must comply with U.S. DOT regulations, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 200, which applies to this Contract or other underlying agreements.
- H. Except as FTA determines otherwise in writing, U.S. DOT regulations, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 1201, and subparts A through E of U.S. OMB regulatory guidance, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 200, shall apply to the Contractor and all its subcontractors.

37. CONTRACTOR'S RESPONSIBILITY TO EXTEND FEDERAL REQUIREMENTS TO LOWER TIER PARTICIPANTS

In certain circumstances, the Contractor's compliance with specific federal requirements depends on compliance by its lower tier participant(s) and therefore:

- A. **General.** The Contractor agrees to ensure that its lower tier participant(s) will comply with applicable federal requirements and follow applicable federal guidance.
- B. **Performance of the Contractor's Responsibilities.** If a lower tier participant is expected to fulfill any responsibilities typically performed by the Contractor, the Contractor agrees to ensure that the lower tier participant will carry out the Contractor's responsibilities in compliance with federal requirements, and provide enough information to each lower tier participant so that they understands that they will be expected to follow federal guidance.
- C. **Risk.** As provided in 2 CFR Part 1201, which incorporates by reference 2 CFR Part 200, the Contractor agrees to evaluate the risk involved before awarding an underlying agreement to any entity.
- D. **Lower tier agreements.** To comply with federal requirements, the Contractor agrees to enter into a written agreement with each lower tier participant in its underlying agreement and must include all appropriate provisions stating the lower tier participant's responsibilities to assure the Contractor's capability to comply with applicable federal requirements and guidance and specifying the responsibilities that the lower tier participant will fulfill on the Contractor's behalf.
- E. **Notice to lower tier participants.** The Contractor agrees to include notice in each lower tier agreement that:

1. Federal requirements that apply to the Contractor or are included in the Contract/Task Order, the accompanying underlying agreement, and any amendments thereto may change due to changes in federal law, regulation, other requirements, or guidance, or changes in this Contract/Task Order or the Contractors underlying agreements including any information incorporated by reference and made part of that underlying agreement; and

Applicable changes to those federal requirements will apply to this Contract/Task Order and the Contractor's underlying agreements and parties thereto at any tier.

38. TRAFFICKING OF PERSONS

- A. Contractor agrees to comply and assures the compliance of each Lower Tier Participant with: Section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended, 22 U.S.C. § 7104(g); and the terms of this section, which have been derived from U.S. OMB regulatory guidance, "Award Term for Trafficking in Persons," 2 CFR Part 175, per U.S. OMB's direction.
- B. Contractor further agrees that it and its employees that participate in this Contract/Task Order shall not:
 1. Engage in severe forms of trafficking in persons during the contract period, including all extensions or while Contract/Task Order is in effect,
 2. Procure a commercial sex act during the Contract or Task Order period, including all extensions, or
 3. Use forced labor in the performance of Contract or Task Order or any sub agreements thereunder.

39. FEDERAL TAX LIABILITY AND RECENT FELONY CONVICTIONS

This flows down to all third-party participants regardless of tier or value of the sub agreement.

Transactions Prohibited:

- A. The Contractor agrees to certify that, prior to entering into this Contract or any Lower Tier Agreement with any private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, the Contractor will obtain from the prospective Lower Tier Participant a certification that the Lower Tier Participant —
 1. Does not have any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
 2. Was not convicted of the felony criminal violation under any Federal law within the preceding 24 months.

Signature of Contractor's Authorized Official

Name and title of Contractor's Authorized Official

Date

- B. Contractors that cannot certify to the provisions as listed in this Section 38 above will be deemed not responsible due to the delay such non-certification would cause to the delivery of the Contract.

40. CONFORMANCE WITH ITS NATIONAL ARCHITECTURE

Contractor shall conform, to the extent applicable, to the National Intelligent Transportation Standards architecture as required by SAFETEA-LU Section 5307(c), 23 U.S.C. Section 512 and as amended by MAP-21 23 U.S.C. § 512(d), note and follow the provisions of FTA Notice, "FTA National Architecture Policy on Transit Projects," 66 Fed. Reg. 1455 et seq., January 8, 2001, and any other implementing directives FTA may issue at a later date, except to the extent FTA determines otherwise in writing.

41. NOTIFICATION OF LEGAL MATTERS

Each Third-Party Participant must include an equivalent provision in its subagreements at every tier, for any agreement that is a "covered transaction" according to 2 C.F.R. §§ 180.220 and 1200.220.

- A. The types of legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or naming the Federal Government as a party to litigation or a legal disagreement in any forum for any reason.
- B. Matters that may affect the Federal Government include, but are not limited to, the Federal Government's interests in the Award, the accompanying Underlying Agreement, and any Amendments thereto, or the Federal Government's administration or enforcement of federal laws, regulations, and requirements.
- C. Additional Notice to U.S. DOT Inspector General. The Recipient must promptly notify the U.S. DOT Inspector General in addition to the FTA Chief Counsel or Regional Counsel for the Region in which the Recipient is located, if the Recipient has knowledge of potential fraud, waste, or abuse occurring on a Project receiving assistance from FTA. The notification provision applies if a person has or may have submitted a false claim under the False Claims Act, 31 U.S.C. § 3729, et seq., or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bid rigging, misappropriation or embezzlement, bribery, gratuity, or similar misconduct involving federal assistance. This responsibility occurs whether the Project is subject to this Agreement or another agreement between the Recipient and FTA, or an agreement involving a principal, officer, employee, agent, or Third Party Participant of the Recipient. It also applies to subcontractors at any tier. Knowledge, as used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a Federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the Recipient. In this paragraph, "promptly" means to refer information without delay and without change. This notification provision applies to all divisions of the Recipient, including divisions tasked with law enforcement or investigatory functions.

- D. **Federal Interest in Recovery.** The Federal Government retains the right to a proportionate share of any proceeds recovered from any third party, based on the percentage of the federal share for the Underlying Agreement. Notwithstanding the preceding sentence, the Recipient may return all liquidated damages it receives to its Award Budget for its Underlying Agreement rather than return the federal share of those liquidated damages to the Federal Government, provided that the Recipient receives FTA's prior written concurrence.
- E. **Enforcement.** The Recipient must pursue its legal rights and remedies available under any third party agreement or any federal, state, or local law or regulation.

Contractor agrees to notify the City of Everett immediately if it becomes involved in a current or prospective legal matter that may affect the federal government, which includes, but is not limited to, FTA's interests in the award, the accompanying underlying agreement, and any Amendments thereto, or the FTA's administration or enforcement of federal laws, regulations, and requirements. The types of legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or where the FTA may be named as a party to litigation or a legal disagreement in any forum for any reason.

Contractor will immediately notify the City of Everett if it has knowledge of potential fraud, waste, or abuse occurring in relation to this Contract. The notification provision applies if a person has or may have submitted a false claim under the False Claims Act, 31 U.S.C. § 3729 et seq., or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bid rigging, misappropriation or embezzlement, bribery, gratuity, or similar misconduct involving federal assistance. Knowledge, as used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the City of Everett.

The City of Everett reserves the right to seek all remedies available to it under law, including to procure substitute services or products elsewhere and recover its damages, attorneys' fees and costs from Contractor.

42. SOLID WASTES

Required Clause in Third-Party Contracts. In addition to other applicable provisions of federal law, regulations, requirements, and guidance, all third-party contracts made by the Recipient under the Federal award must contain provisions covering the following:

A Recipient that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

43. ENVIRONMENTAL PROTECTION

- A. General. The Recipient agrees to, and assures that its Third-Party Participants will, comply with all applicable environmental and resource use laws, regulations, and requirements, and follow applicable guidance, now in effect or that may become effective in the future, including state and local laws, ordinances, regulations, and requirements and follow applicable guidance.
- B. National Environmental Policy Act. An Award of federal assistance requires the full compliance with applicable environmental laws, regulations, and requirements. Accordingly, the Recipient agrees to, and assures that its Third-Party Participants will:
 - 1. Comply and facilitate compliance with federal laws, regulations, and requirements, including, but not limited to:
 - a. Federal transit laws, such as 49 U.S.C. § 5323(c)(2), and 23 U.S.C. § 139;
 - b. The National Environmental Policy Act of 1969 (NEPA), as amended, 42 U.S.C. §§ 4321, et seq., as limited by 42 U.S.C. § 5159, and CEQ's implementing regulations 40 CFR Part 1500 – 1508;
 - c. Joint FHWA and FTA regulations, "Environmental Impact and Related Procedures," 23 CFR Part 771 and 49 CFR Part 622;
 - d. Executive Order No. 11514, as amended, "Protection and Enhancement of Environmental Quality," March 5, 1970, 42 U.S.C. § 4321 note (35 Fed. Reg. 4247); and
 - e. Other federal environmental protection laws, regulations, and requirements applicable to the Recipient or the Award, the accompanying Underlying Agreement, and any Amendments thereto.
 - 2. Follow the federal guidance identified herein to the extent that the guidance is consistent with applicable authorizing legislation:
 - a. Joint FHWA and FTA final guidance, "Interim Guidance on MAP-21 Section 1319, Accelerated Decision making in Environmental Reviews," January 14, 2013;
 - b. Joint FHWA and FTA final guidance, "SAFETEA-LU Environmental Review Process (Public Law 109-59)," 71 Fed. Reg. 66576, November 15, 2006; and
 - c. Other federal environmental guidance applicable to the Recipient or the Award, the accompanying Underlying Agreement, and any Amendments thereto.
- C. Environmental Justice. The Recipient agrees to, and assures that its Third-Party Participants will, promote environmental justice by following:
 - 1. Executive Order No. 12898, "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations," February 11, 1994, 42 U.S.C. § 4321 note, (59 Fed. Reg. 7629, 3 C.F.R. 1994 Comp., p. 859) as well as facilitating compliance with that Executive Order;

Federal Transit Administration Clauses

2. U.S. DOT Order 5610.2(a), "Department of Transportation Updated Environmental Justice Order," 77 Fed. Reg. 27534, May 10, 2012; and
 3. The most recent edition of FTA Circular 4703.1, "Environmental Justice Policy Guidance for Federal Transit Administration Recipients," August 15, 2012, to the extent consistent with applicable federal laws, regulations, requirements, and guidance.
- D. Other Environmental Federal Laws. The Recipient agrees to comply or facilitate compliance, and assures that its Third Party Participants will comply or facilitate compliance, with all applicable federal laws, regulations, and requirements, and will follow applicable guidance, including, but not limited to, the Clean Air Act, Clean Water Act, Wild and Scenic Rivers Act of 1968, Coastal Zone Management Act of 1972, the Endangered Species Act of 1973, Magnuson Stevens Fishery Conservation and Management Act, Resource Conservation and Recovery Act, Comprehensive Environmental Response, Compensation, and Liability Act, Executive Order No. 11990 relating to "Protection of Wetlands," and Executive Order No. 11988, as amended, "Floodplain Management."
- E. Corridor Preservation. The Recipient agrees that:
1. It will not develop any right-of-way acquired under 49 U.S.C. § 5323(q) in anticipation of implementing its Award until all required environmental reviews for each Project or related activities have been completed; and
 2. It will follow FTA Final Guidance on the Application of 49 U.S.C § 5323(q) to Corridor Preservation for a Transit Project, October 27, 2014.
- F. Use of Certain Public Lands. The Recipient agrees to comply, and assures that its Third Party Participants will comply, with U.S. DOT laws, specifically 49 U.S.C. § 303 (often referred to as "section 4(f)"), and joint FHWA and FTA regulations, "Parks, Recreation Areas, Wildlife and Waterfowl Refuges, and Historic Sites," 23 CFR Part 774, and referenced in 49 CFR Part 622.
- G. Historic Preservation. The Recipient agrees to, and assures that its Third-Party Participants will:
1. Comply with U.S. DOT laws, including 49 U.S.C. § 303 (often referred to as "section 4(f)"), which requires certain findings be made before an Award may be undertaken if it involves the use of any land from a historic site that is on or eligible for inclusion on the National Register of Historic Places.
 2. Encourage compliance with the federal historic and archaeological preservation requirements of section 106 of the National Historic Preservation Act, as amended, 54 U.S.C. § 306108.
 3. Comply with the Archeological and Historic Preservation Act of 1974, as amended, 54 U.S.C. § 312501, et seq.
 4. Comply with U.S. Advisory Council on Historic Preservation regulations, "Protection of Historic Properties," 36 CFR Part 800.
 5. Comply with federal requirements and follow federal guidance to avoid or mitigate adverse effects on historic properties.
- H. Indian Sacred Sites. The Recipient agrees to, and assures that its Third Party Participants will, facilitate compliance with federal efforts to promote the preservation of places and objects of

religious importance to American Indians, Eskimos, Aleuts, and Native Hawaiians, and facilitate compliance with the American Indian Religious Freedom Act, 42 U.S.C. § 1996, and Executive Order No. 13007, “Indian Sacred Sites,” May 24, 1996, 42 U.S.C. § 3161 note (61 Fed. Reg. 26771).

- I. Mitigation of Adverse Environmental Effects.
 - 1. The Recipient agrees to comply with all environmental mitigation measures that may be identified as conditions that the Federal Government might impose in its finding of no significant impact or record of decision or commitments in the environmental documents that apply to the Award, such as environmental assessments, environmental impact statements, categorical exclusions, memoranda of agreement, documents required under 49 U.S.C. § 303, and other environmental documents.
 - 2. The Recipient agrees that:
 - a. Any mitigation measures agreed on will be incorporated by reference and made part of the Underlying Agreement and any Amendments thereto;
 - b. Any deferred mitigation measures will be incorporated by reference and made part of the Underlying Agreement and any Amendments thereto as soon as agreement with the Federal Government is reached; and
- J. Any mitigation measures agreed on will not be modified or withdrawn without the written approval of the Federal Government.

44. FEDERAL “\$1 COIN” REQUIREMENTS

The City of Everett agrees to comply with section 104 of the Presidential \$1 Coin Act of 2005, 31 U.S.C. § 5112(p), therefore its equipment and facilities will be fully capable of accepting and dispensing \$1 coins when coins or currency are required to use that equipment or those facilities, and it will display signs and notices of the \$1 coin capability of its equipment and facilities on its premises, including vending machines, where coins or currency are used.

45. CENTERS FOR DISEASE CONTROL AND PREVENTION ORDER ON REQUIREMENTS FOR PERSONS TO WEAR MASKS WHILE ON CONVEYANCES AND AT TRANSPORTATION HUBS

- A. Compliance with CDC Mask Order. The Centers for Disease Control and Prevention (“CDC”) Order of January 29, 2021, titled Requirement for Persons to Wear Masks While on Conveyances and at Transportation Hubs (“CDC Mask Order”), is within the meaning of “Federal Requirement” as that term is defined in this Master Agreement. One of the objectives of the CDC Mask Order is “[m]aintaining a safe and operating transportation system.” The Recipient agrees that it will comply, and will require all Third-Party Participants to comply, with the CDC Mask Order.
- B. Enforcement for non-compliance. The Recipient agrees that FTA may take enforcement action for non-compliance with the CDC Mask Order, including:
 - 1. Enforcement actions authorized by 49 U.S.C. § 5329(g);

2. Referring the Recipient to the CDC or other Federal authority for enforcement action;
3. Enforcement actions authorized by 2 CFR §§ 200.339 – 340; and
4. Any other enforcement action authorized by Federal law or regulation.

46. ADA ACCESS

The Contractor shall comply with 49 USC 5301(d), stating Federal policy that the elderly and persons with disabilities have the same rights as other persons to use mass transportation services and facilities and that special efforts shall be made in planning and designing those services and facilities to implement that policy. Contractor shall also comply with all applicable requirements of Sec. 504 of the Rehabilitation Act (1973), as amended, 29 USC 794, which prohibits discrimination on the basis of handicaps, and the Americans with Disabilities Act of 1990 (ADA), as amended, 42 USC 12101 et seq., which requires that accessible facilities and services be made available to persons with disabilities, including any subsequent amendments hereto.

The Americans with Disabilities Act of 1990, as amended (ADA), 42 U.S.C. Sections 12101 et seq., prohibits discrimination against qualified individuals with disabilities in programs, activities, and services, and imposes specific requirements on public and private public and private entities. Third party contractors must comply with their responsibilities under Titles I thru V of the ADA in employment, public services, public accommodations, telecommunications, and other provisions, many of which are subject to regulations issued by other Federal agencies. The following clauses should be included for the appropriate procurement action.

- A. Rolling Stock Accessibility: Rolling stock must comply with the accessibility requirements of DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37, and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Vehicles acquired (with limited exceptions) should be accessible to and usable by individuals with disabilities, including individuals using wheelchairs;
- B. Purchased Transportation Services Accessibility. A third party contractor providing public transportation services must operate its services in compliance with 42 U.S.C. Sections 12101 et seq. and DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," using facilities and equipment that comply with 49 CFR Part 37; and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Private entities must comply with the requirements of 49 CFR Part 37 applicable to public entities with which they contract to provide public transportation services. MART advises its third party contractors operating public transportation services to review the requirements for public entities in this context which include but are not limited to:
 1. Complementary Paratransit Service. Requirements that public entities providing fixed-route service provide complementary paratransit service to individuals with disabilities who cannot use the fixed-route service.
 2. Equal Opportunity. Requirements for compliance with service requirements intended to ensure that individuals with disabilities are afforded equal opportunity to use transportation systems and services

- C. Design and Construction Accessibility. Facilities to be used in public transportation service must comply with 42 U.S.C. Sections 12101 et seq. and DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37; and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Notably, DOT incorporated by reference the ATBCB's "Americans with Disabilities Act Accessibility Guidelines" (ADAAG), revised July 2004, which include accessibility guidelines for buildings and facilities, and are incorporated into Appendix A to 49 CFR Part 37. DOT also added specific provisions to Appendix A modifying the ADAAG, with the result that buildings and facilities, and are incorporated into Appendix A to 49 CFR Part 37. DOT also added specific provisions to Appendix A modifying the ADAAG, with the result that buildings and facilities must comply with both the ADAAG and amendments thereto in Appendix A to 49 CFR Part 37.

By signing this agreement, the Contractor certifies that, in addition to agreeing to the terms and conditions provided herein, it has read, understands, and agrees to be bound by all requirements and contract terms and conditions contained herein.

This agreement may be signed by ink signature, copy of ink signature, copy of signature, e-signature or any other form of signature. By signing the agreement, the company agrees that its signature will have the same legal effect as an original ink signature.

Company Name:	
Authorizing Official Name:	Authorizing Official Title:
Authorizing Official Signature and Date:	



PROFESSIONAL SERVICES AGREEMENT

This Professional Services Agreement ("**Agreement**") is effective as of the date of the last signature below and is between the City of Everett, a Washington municipal corporation (*the "City"*), and the Service Provider identified in the Basic Provisions below ("**Service Provider**"). This Agreement is for the purpose of the Service Provider providing services to the City as set forth in this Agreement. This Agreement includes and incorporates the Basic Provisions, the attached General Provisions, and the documents listed as Exhibits in the Basic Provisions.

BASIC PROVISIONS	
Service Provider	Enter Service Provider name
	Enter Service Provider street address
	Enter Service Provider city, state, zip
	Enter Service Provider email address
City Project Manager	Enter PM name
	City of Everett -- Enter PM 's department
	Enter PM office street address
	Enter PM office city, state, zip
	Enter PM email address
Brief Summary of Scope of Work	Enter summary. One line maximum
Completion Date	Select date
Extension Provision	Enter extension provision or N/A

BASIC PROVISIONS	
Maximum Compensation Amount	Enter dollar amount
Exhibits	Exhibit A: Enter name of Exhibit Exhibit B: Enter name of Exhibit Exhibit C: Enter name of Exhibit or N/A Exhibit D: Enter name of Exhibit or N/A
Service Provider Insurance Contact Information	Enter insurance contact name
	Enter insurance contact phone number
	Enter insurance contract email address
Additional Provision(s)	Enter other provision(s) or N/A.

<p>State Retirement Systems (must answer both questions)</p>	<p>Does Service Provider have 25 or more employees?</p> <p>Answer: Click for Dropdown Menu</p> <p>If Service Provider has less than 25 employees, did any Service Provider Personnel who will work under this Professional Services Agreement retire under a DRS retirement system?</p> <p>Answer: Click for Dropdown Menu</p> <p>“DRS retirement system” refers to any of the following Public Employers’ Retirement System (PERS), School Employees’ Retirement System (SERS), Teachers’ Retirement System (TRS), and Law Enforcement Officers and Fire Fighters plan (LEOFF).</p> <p>“Service Provider Personnel” includes Service Provider employees and owners (such as shareholders, partners or members). If Service Provider is a sole proprietor, then “Service Provider Personnel” refers to the sole proprietor.</p>
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END OF BASIC PROVISIONS

IN WITNESS WHEREOF, the City and Service Provider have executed this Agreement, which includes and incorporates the above Basic Provisions, the attached General Provisions, and the documents listed as Exhibits in the Basic Provisions.

**CITY OF EVERETT
WASHINGTON**

Enter Service Provider name – must match name in Basic Provisions

Cassie Franklin, Mayor

Signature: _____

Name of Signer: Enter signer's name

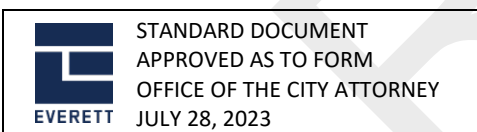
Signer's Email Address: Enter email address

Date

Title of Signer: Enter title

ATTEST

Office of the City Clerk



ATTACHMENT
PROFESSIONAL SERVICES AGREEMENT
(GENERAL PROVISIONS v.071423.P1)

1. **Engagement of Service Provider.** The City hereby agrees to engage Service Provider, and Service Provider hereby agrees, to perform the work in a competent and professional manner and provide the services described in the exhibit(s) to this Agreement. The work so described is hereafter referred to as "Work".
 - A. Without a written directive of an authorized representative of the City, Service Provider shall not perform any services that are in addition to, or beyond the scope of, the Work. If, and to the extent, the Work includes the design of a public work or improvement, in whole or in part, Service Provider's design shall be reasonably accurate, adequate and suitable for its intended purpose.
 - B. If Service Provider's proposal or other document generated by Service Provider is incorporated or attached as an exhibit or part of any exhibit to this Agreement or in any amendment or task or work order pursuant to this Agreement, then such proposal or document is part of this Agreement solely to the extent that it describes the Work, the Work schedule, and the amounts or rates to be paid for such Work. Service Provider expressly agrees that no terms or conditions from such proposal or document are incorporated or included into this Agreement, unless the to-be-included term or condition is specifically referenced in the "Additional Provision(s)" portion of the Basic Provisions.
 - C. Work or requirements described in a scope of work document attached as an exhibit to this Agreement in aspirational or preferential terms (such as "it is desired that Supplier will," "it is preferred that Supplier will" or similar language) is deemed to be mandatory, unless otherwise provided in the "Additional Provision(s)" portion of the Basic Provisions.
 - D. In the event of difference or conflict between parts of this Agreement, Service Provider shall be bound by whichever is more stringent on Service Provider, except that the following provisions in the Basic Provisions shall always govern: the Completion Date, the Maximum Compensation Amount, the Extension Provision, and the Additional Provisions.
2. **Intellectual Property Rights.** Reports, drawings, plans, specifications and any other intangible property created in furtherance of the Work are property of the City for all purposes, whether the project for which they are made is executed or not, and may be used by the City for any purpose. To the extent the Work includes material subject to copyright, Service Provider agrees that the Work is done as a "Work For Hire" as that term is defined under U.S. copyright law, and that as a result, the City shall own all copyrights in the Work. To the extent that the Work includes material subject to proprietary right protection but does not qualify as a "Work For Hire" under applicable law, Service Provider hereby assigns to the City all right, title and interest in and to the Work, including all copyrights, patents, trade secrets, and other proprietary rights therein (including renewals thereof). To the maximum extent permitted by law, Service Provider waives all moral rights in the Work. Notwithstanding the foregoing, Service Provider retains any intellectual property rights in documents and intangible property created by Service Provider prior to engagement, or not created by Service Provider for its performance of this Agreement.
3. **Time of Beginning and Completion of Performance.** This Agreement shall commence as of the date of mutual execution of this Agreement and the Work shall be completed by Completion Date stated in the Basic Provisions. The Completion Date may be extended as set forth in the Basic Provisions.
4. **Compensation.**

- A. The City shall pay Service Provider only for completed Work and for services actually rendered which are described herein. Such payment shall be full compensation for Work performed or services rendered, including, but not limited to, all labor, materials, supplies, equipment and incidentals necessary to complete the Work.
 - B. Service Provider shall be paid such amounts and in such manner as described in the exhibit(s) to this Agreement.
 - C. Service Provider may receive payment as reimbursement for Eligible Expenses actually incurred. "Eligible Expenses" means those expenses as set forth in an exhibit to this Agreement or such expenses as are approved for reimbursement by the City in writing prior to the expense being incurred. An expense shall not be reimbursed if: (1) the expense is not identified as an Eligible Expense; (2) the expense exceeds the per item or cumulative limits for such expense if it is identified as an Eligible Expense; or (3) the expense was not approved in writing by an authorized City representative prior to Service Provider incurring the expense. If, and to the extent, overnight lodging in western Washington is authorized, Service Provider is strongly encouraged to lodge within the corporate limits of City. When authorized, Service Provider will be reimbursed 100% of lodging expense, if lodged within the corporate limits of the City, but Service Provider will be reimbursed 50% of lodging expense when lodged outside the corporate limits of the City. If authorized, the City may (at its sole option) obtain or arrange air travel for Service Provider.
 - D. Total compensation, including all services and expenses, shall not exceed the Maximum Compensation Amount in the Basic Provisions.
 - E. If Service Provider fails or refuses to correct its work when so directed by the City, the City may withhold from any payment otherwise due an amount that the City in good faith believes is equal to the cost to the City of correcting, re-procuring, or remedying any damage caused by Service Provider's conduct.
5. **Method of Payment.**
- A. To obtain payment, Service Provider shall (a) file its request for payment, accompanied by evidence satisfactory to the City justifying the request for payment; (b) submit a report of Work accomplished and hours of all tasks completed; (c) to the extent reimbursement of Eligible Expenses is sought, submit itemization of such expenses and, if requested by the City, copies of receipts and invoices; and (d) comply with all applicable provisions of this Agreement. Service Provider shall be paid no more often than once every thirty days.
 - B. All requests for payment should be sent to the City Project Manager Address in the Basic Provisions or to an address designated by the City Project Manager in writing.
6. **Submission of Reports and Other Documents.** Service Provider shall submit all reports and other documents as and when specified in the Scope of Work. This information shall be subject to review by the City, and if found to be unacceptable, Service Provider shall correct and deliver to the City any deficient Work at Service Provider's expense with all practical dispatch. Service Provider shall abide by the City's determinations concerning acceptability of Work.
7. **Termination of Contract.** City reserves the right to terminate this Agreement at any time by sending written notice of termination to Service Provider ("Notice"). The Notice shall specify a termination date ("Termination Date"). The Notice shall be effective ("Notice Date") upon the earlier of either actual receipt by Service Provider (whether by email, mail, delivery or other method reasonably calculated to be received by Service Provider in a reasonably prompt manner) or three calendar days after issuance of the Notice. Upon the Notice Date, Service Provider shall immediately commence to end the Work in a reasonable and orderly manner. Unless terminated for Service Provider's material breach, Service Provider shall be paid or reimbursed for: (a) all hours worked and Eligible Expenses incurred up to the Notice Date, less all payments previously

made; and (b) those hours worked and Eligible Expenses incurred after the Notice Date, but prior to the Termination Date, that were reasonably necessary to terminate the Work in an orderly manner. The City does not by this Section waive, release or forego any legal remedy for any violation, breach or non-performance of any of the provision of this Agreement. At its sole option, and without limitation of or prejudice to any other available remedy or recourse, the City may deduct from the final payment due Service Provider (a) any damages, expenses or costs arising out of any such violations, breaches, or non-performance and (b) any other backcharges or credits.

8. **Changes.** The City may, from time to time, unilaterally change the scope of the services of Service Provider to be performed hereunder. Such changes, including any increase or decrease in the scope of work (and resulting increase or decrease in compensation), shall: (a) be made only in writing and signed by an authorized City representative, (b) be explicitly identified as an amendment to this Agreement and (c) become a part of this Agreement.
9. **Subletting/Assignment of Contracts.** Service Provider shall not sublet or assign any of the Work without the express, prior written consent of the City.
10. **Indemnification.** Except as otherwise provided in this Section, Service Provider hereby agrees to defend and indemnify and save harmless the City from any and all Claims arising out of, in connection with, or incident to any negligent or intentional acts, errors, omissions, or conduct by Service Provider (or its employees, agents, representatives or subcontractors/subconsultants) relating to this Agreement, whether such Claims sound in contract, tort, or any other legal theory. Service Provider is obligated to defend and indemnify and save harmless the City pursuant to this Section whether a Claim is asserted directly against the City, or whether it is asserted indirectly against the City, e.g., a Claim is asserted against someone else who then seeks contribution or indemnity from the City. Service Provider's duty to defend and indemnify and save harmless pursuant to this Section is not in any way limited to, or by the extent of, insurance obtained by, obtainable by, or required of Service Provider. Service Provider's obligations under this Section shall not apply to Claims caused by the sole negligence of the City. If (1) RCW 4.24.115 applies to a particular Claim, and (2) such Claim is caused by or results from the concurrent negligence of (a) Service Provider, its employees, subcontractors/subconsultants or agents and (b) the City, then Service Provider's obligations under this Section shall be only to the extent of Service Provider's negligence. Solely and expressly for the purpose of its duties to indemnify and defend and save harmless the City, Service Provider specifically waives any immunity it may have under the State Industrial Insurance Law, Title 51 RCW. Service Provider recognizes that this waiver of immunity under Title 51 RCW was specifically entered into pursuant to the provisions of RCW 4.24.115 and was the subject of mutual negotiation. As used in this Section: (1) "City" includes the City, the City's officers, employees, agents, and representatives and (2) "Claims" include, but is not limited to, any and all losses, penalties, fines, claims, demands, expenses (including, but not limited to, attorney's fees and litigation expenses), suits, judgments, or damages, irrespective of the type of relief sought or demanded, such as money or injunctive relief, and irrespective of whether the damage alleged is bodily injury, damage to property, economic loss, general damages, special damages, or punitive damages or infringement or misappropriation of any patent, copyright, trade secret, or other proprietary right. If, and to the extent, Service Provider employs or engages subconsultants or subcontractors, then Service Provider shall ensure that each such subconsultant and subcontractor (and subsequent tiers of subconsultants and subcontractors) shall expressly agree to defend and indemnify and save harmless the City to the extent and on the same terms and conditions as Service Provider pursuant to this Section. The provisions of this Section shall survive termination of this Agreement.

11. **Insurance.**

- A. Service Provider shall comply with the following conditions and procure and keep in force during the term of this Agreement, at Service Provider's own cost and expense, the policies of insurance as set forth in this Section with companies authorized to do business in the State of Washington, which are rated at least "A-" or better and with a numerical rating of no less than seven (7), by A.M. Best Company and which are acceptable to the City.
1. Workers' Compensation Insurance as required by Washington law and Employer's Liability Insurance with limits not less than \$1,000,000 per occurrence. If the City authorizes sublet work, Service Provider shall require each subcontractor to provide Workers' Compensation Insurance for its employees, unless Service Provider covers such employees.
 2. Commercial General Liability (CGL) Insurance on an occurrence basis in an amount not less than \$1,000,000 per occurrence and at least \$2,000,000 in the annual aggregate, including but not limited to: premises/operations (including off-site operations), blanket contractual liability and broad form property damage.
 3. Business Automobile Liability Insurance in an amount not less than \$1,000,000 per occurrence, extending to any automobile. A statement certifying that no vehicle will be used in accomplishing this Agreement may be substituted for this insurance requirement.
 4. Professional Errors and Omissions Insurance in an amount not less than \$2,000,000 per occurrence and \$2,000,000 in the annual aggregate. Such coverage may be written on a claims made basis.
- B. The above CGL and auto liability policies shall be primary as to the City and shall contain a provision that the policy shall not be canceled or materially changed without 30 days prior written notice to the City. No cancellation provision in any insurance policy shall be construed in derogation of the continuous duty of Service Provider to furnish the required insurance during the term of this Agreement.
- C. Upon written request by the City, the insurer or its agent will furnish, prior to or during any Work being performed, a copy of any policy cited above, certified to be a true and complete copy of the original.
- D. The Description of Operations on the Certificate of Insurance must substantially read as follows: "The above commercial general and auto liability policies are primary as to the City of Everett; have the City of Everett, its officers, employees, agents, and volunteers as additional insureds; and contain a provision that the policy shall not be canceled or materially changed without 30 days prior written notice to the City of Everett."
- E. Prior to Service Provider performing any Work, Service Provider shall provide the City or the City's designee with a Certificate of Insurance acceptable to the City Attorney evidencing the required insurance. Service Provider shall provide the City or the City's designee with either (1) a true copy of an endorsement naming the City of Everett, its officers, employees, agents and volunteers as Additional Insureds on the Commercial General Liability Insurance policy and the Business Automobile Liability Insurance policy with respect to the operations performed and services provided under this Agreement and that such insurance shall apply as primary insurance on behalf of such Additional Insureds or (2) a true copy of the blanket additional insured clause from the policies. Receipt by the City or the City's designee of any certificate showing less coverage than required is not a waiver of Service Provider's obligations to fulfill the requirements.
- F. If the Professional Errors and Omissions Insurance is on a claims made policy form, the retroactive date on the policy shall be the effective date of this Agreement or prior. The

retroactive date of any subsequent renewal of such policy shall be the same as the original policy provided. The extended reporting or discovery period on a claims made policy form shall not be less than 36 months following expiration of the policy.

- G. Service Provider certifies that it is aware of the provisions of Title 51 of the Revised Code of Washington that requires every employer to be insured against liability of Workers' Compensation, or to undertake self-insurance in accordance with the provisions of that Title. Service Provider shall comply with the provisions of Title 51 of the Revised Code of Washington before commencing the performance of the Work. Service Provider shall provide the City with evidence of Workers' Compensation Insurance (or evidence of qualified self-insurance) before any Work is commenced.
 - H. In case of the breach of any provision of this Section, the City may, at its option and with no obligation to do so, provide and maintain at the expense of Service Provider, such types of insurance in the name of Service Provider, and with such insurers, as the City may deem proper, and may deduct the cost of providing and maintaining such insurance from any sums which may be found or become due to Service Provider under this Agreement or may demand Service Provider to promptly reimburse the City for such cost.
12. **Risk of Loss.** Service Provider shall be solely responsible for the safety of its employees, agents and subcontractors in the performance of the work hereunder and shall take all protections reasonably necessary for that purpose. All work shall be done at Service Provider's own risk, and Service Provider shall be solely responsible for any loss of or damage to Service Provider's materials, tools, or other articles used or held for use in connection with the work.
13. **Independent Contractor.**
- A. This Agreement neither constitutes nor creates an employer-employee relationship. Service Provider must provide services under this Agreement as an independent contractor. Service Provider must comply with all federal and state laws and regulations applicable to independent contractors including, but not limited to, the requirements listed in this Section. Service Provider agrees to indemnify and defend the City from and against any claims, valid or otherwise, made against the City because of these obligations.
 - B. In addition to the other requirements of this Section, if Service Provider is a sole proprietor, Service Provider agrees that Service Provider is not an employee or worker of the City under Chapter 51 of the Revised Code of Washington, Industrial Insurance for the service performed in accordance with this Agreement, by certifying to the following:
 - (1) Service Provider is free from control or direction over the performance of the service; and
 - (2) The service performed is outside the usual course of business for the City, or will not be performed at any place of business of the City, or Service Provider is responsible for the costs of the principal place of business from which the service is performed; and
 - (3) Service Provider is customarily engaged in an independently established business of the same nature as the service performed, or has a principal place of business for the service performed that is eligible for a business deduction for federal income tax purposes; and
 - (4) On the effective date of this Agreement, Service Provider is responsible for filing a schedule of expenses, for the next applicable filing period, with the internal revenue service for the type of service performed; and
 - (5) By the effective date of this Agreement or within a reasonable time thereafter, Service Provider has established an account with the department of revenue and other state agencies, where required, for the service performed for the payment

of all state taxes normally paid by employers and businesses and has registered for and received a unified business identifier number from the state of Washington; and

- (6) By the effective date of this Agreement, Service Provider is maintaining a separate set of records that reflect all items of income and expenses of the services performed.
 - C. Any and all employees of Service Provider, while engaged in the performance of any Work, shall be considered employees of only Service Provider and not employees of the City. Service Provider shall be solely liable for any and all claims that may or might arise under the Worker's Compensation Act on behalf of such employees or Service Provider, while so engaged and for any and all claims made by a third party as a consequence of any negligent act or omission on the part of Service Provider's employees, while so engaged on any of the Work.
 - D. Service Provider shall comply with all applicable provisions of the Fair Labor Standards Act and other legislation affecting its employees and the rules and regulations issued thereunder insofar as applicable to its employees and shall at all times save the City free, clear and harmless from all actions, claims, demands and expenses arising out of such act, and rules and regulations that are or may be promulgated in connection therewith.
 - E. Service Provider assumes full responsibility for the payment of all payroll taxes, use, sales, income, or other form of taxes (such as state and, city business and occupation taxes), fees, licenses, excises or payments required by any city, federal or state legislation which are now or may during the term of the Agreement be enacted as to all persons employed by Service Provider and as to all duties, activities and requirements by Service Provider in performance of the Work and Service Provider shall assume exclusive liability therefor, and meet all requirements thereunder pursuant to any rules or regulations that are now or may be promulgated in connection therewith.
14. **Employment/Conflict of Interest.** Service Provider warrants that it has not employed or retained any company or person, other than a bona fide employee working solely for Service Provider, to solicit or secure this Agreement and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for Service Provider, any fee, commission, percentage, brokerage fee, gifts, or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, the City shall have the right to annul this Agreement without liability or, in its discretion, to deduct from the Agreement price or consideration or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee. Further, it is recognized that Service Provider may or will be performing professional services during the term of this Agreement for other parties; however, such performance of other services shall not conflict with or interfere with Service Provider's ability to perform the Work. Service Provider agrees to resolve any such conflicts of interest in favor of the City.
15. **Audits and Inspections.** At any time during normal business hours and as often as the City may deem necessary, Service Provider shall make available to the City for the City's examination all of Service Provider's records and documents with respect to all matters covered by this Agreement and, furthermore, Service Provider will permit the City to audit, examine and make copies, excerpts or transcripts from such records, and to make audits of all contracts, invoices, materials, payrolls, records of personnel, conditions of employment and other data relating to all matters covered by this Agreement.
16. **City of Everett Business License.** Service Provider agrees to obtain a City of Everett business license prior to performing any work pursuant to this Agreement.

17. **State of Washington Requirements.** Service Provider agrees to register and obtain any State of Washington business licenses, Department of Revenue account and/or unified business identifier number as required by RCW 50.04.140 and 51.08.195 prior to performing any work pursuant to this Agreement.
18. **Compliance with Federal, State and Local Laws.** Service Provider shall comply with and obey all federal, state and local laws, regulations, and ordinances applicable to the operation of its business and to its performance of work hereunder.
19. **Compliance with the Washington State Public Records Act.** Service Provider acknowledges that the City is subject to the Public Records Act, chapter 42.56 RCW (the "Act"). All records owned, used or retained by the City are public records subject to disclosure unless exempt under the Act, whether or not such records are in the possession or control of the City or Service Provider. Service Provider shall cooperate with the City so that the City may comply with all of its obligations under the Act. Within ten (10) days after receipt of notice from the City, Service Provider shall deliver to the City copies of all records relating to this Agreement or relating to the Work that the City determines qualify as the City's public records under the Act. If the City receives a public records request relating to this Agreement or relating to the Work, the City shall seek to provide notice to Service Provider at least ten (10) days before the City releases records pursuant to such public records request, but in no event will the City have any liability to Service Provider for any failure of the City to provide such notice. In addition to its other indemnification and defense obligations under this Agreement, Service Provider shall indemnify and defend the City from and against any and all losses, penalties, fines, claims, demands, expenses (including, but not limited to, attorney's fees and litigation expenses), suits, judgments, or damage arising from or relating to any failure of Service Provider to comply with this Section.
20. **Compliance with Grant/Loan Terms and Conditions.** Service Provider shall comply with any and all terms, conditions, terms and requirements of any federal, state or other agency grant or loan that wholly or partially funds Service Provider's work hereunder. If the grant or loan requires that the agency be a third party beneficiary to this Agreement, then the agency is a third party beneficiary to this Agreement.
21. **Equal Employment Opportunity.** Service Provider shall not discriminate against any employee, applicant for employment, or other person on the basis of race, color, religion, sex, age, disability, marital state, or national origin or other circumstance prohibited by applicable federal, state, or local law or ordinance. Service Provider shall comply with and shall not violate any applicable provisions of Chapter 49.60 RCW, Title VI of the Civil Rights Act of 1964, and all applicable federal, state, or local law or ordinance regarding non-discrimination.
22. **Waiver.** Any waiver by Service Provider or the City or the breach of any provision of this Agreement by the other party will not operate, or be construed, as a waiver of any subsequent breach by either party or prevent either party from thereafter enforcing any such provisions.
23. **Complete Agreement.** This Agreement contains the complete and integrated understanding and agreement between the parties and supersedes any understanding, agreement or negotiation whether oral or written not set forth herein.
24. **Modification of Agreement.** This Agreement may only be modified as provided in Section 8, or by a writing explicitly identified as a modification or amendment of this Agreement that is signed by authorized representatives of the City and Service Provider.
25. **Severability.** If any part of this Agreement is found to be in conflict with applicable laws, such part shall be inoperative, null and void, insofar as it is in conflict with said laws, and the remainder of the Agreement shall remain in full force and effect.
26. **Notices.**
 - A. Notices to the City shall be sent to the City Project Manager address in the Basic Provisions.

- B. Notices to Service Provider shall be sent to its address in the Basic Provisions.
27. **Venue**. Venue for any lawsuit arising out of this Agreement shall be in the Superior Court of Snohomish County, Washington.
28. **Governing Law**. The laws of the State of Washington, without giving effect to principles of conflict of laws, govern all matters arising out of or relating to this Agreement.
29. **City Marks**. Service Provider will not use any trade name, trademark, service mark, or logo of the City (or any name, mark, or logo confusingly similar thereto) in any advertising, promotions, or otherwise, without the City's express prior written consent.
30. **No Personal Liability**. No officer, agent or employee of the City shall be personally responsible for any liability arising under this Agreement, whether expressed or implied, nor for any statement or representation made or in any connection with this Agreement.
31. **Federal Debarment**. Service Provider shall immediately notify the City of any suspension or debarment or other action that excludes Service Provider or any Service Provider subcontractor from participation in Federal contracting. Service Provider shall verify all subcontractors that are intended and/or used by Service Provider for performance of Work are in good standing and are not debarred, suspended or otherwise ineligible by the Federal Government. Debarment shall be verified at <https://www.epls.gov/eplsearch.do>. Service Provider shall keep proof of such verification within Service Provider records.
32. **Signature/Counterparts**. This Agreement and any amendment thereto may be signed in counterparts, each of which shall be deemed an original, and all of which, taken together, shall be deemed one and the same document. AdobeSign signatures are fully binding. Any ink, electronic, faxed, scanned, photocopied, or similarly reproduced signature on this Agreement or any amendment hereto will be deemed an original signature and will be fully enforceable as an original signature.
33. **Standard Document**. This General Provisions document is a standard City form document. No changes by Service Provider are authorized to the General Provisions. Notwithstanding anything to the contrary in this Agreement, in the event that Service Provider makes unauthorized changes to the General Provisions, such changes are deemed to have never been made and the contract between the City and Service Provider is deemed to be the unchanged standard City form General Provisions in version stated below, regardless of whether the City signs this Agreement in a form that may contain the unauthorized changes.

END OF GENERAL PROVISIONS
(v.071423.P1)

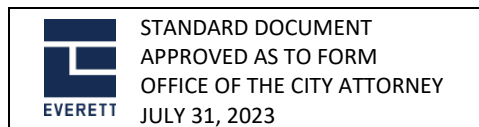


EXHIBIT A
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)

REF

EXHIBIT B
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)

REF

EXHIBIT C
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)

REF

EXHIBIT D
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)

REF

EXHIBIT D
PROFESSIONAL SERVICES AGREEMENT
(ATTACHED)



City of Everett

Federal Transit Administration Clauses

Last updated: 5/2/2024

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1. **SIMPLIFIED ACQUISITION THRESHOLD**

Required Clauses in Third Party Contracts. In addition to other applicable provisions of federal law, regulations, requirements, and guidance, all third-party contracts made by the Recipient under the Federal award must contain provisions covering the following.

Contracts for more than the simplified acquisition threshold, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. § 1908, or otherwise set by law, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. (Note that the simplified acquisition threshold determines the procurement procedures that must be employed pursuant to 2 C.F.R. §§ 200.317–200.327.) The simplified acquisition threshold does not exempt a procurement from other eligibility or processes requirements that may apply. For example, Buy America’s eligibility and process requirements apply to any procurement in excess of \$150,000. 49 U.S.C. § 5323(j)(13).)

2. **ACCESS TO RECORDS AND REPORTS**

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The U.S. Secretary of Transportation and the Comptroller General of the United States, the state, or their duly authorized representatives, access to all third party contract records (at any tier) as required under 49 U.S.C. § 5325(g); and (2) Sufficient access to all third party contract records (at any tier) as needed for compliance with applicable federal laws, regulations, and requirements or to assure proper management of Underlying Agreement as determined by FTA.

- A. **Record Retention.** The Contractor will retain, and will require its subcontractors of all tiers to retain, complete and readily accessible records related in whole or in part to the contract, including, but not limited to, data, documents, reports, statistics, sub-agreements, leases, subcontracts, arrangements, other third party agreements of any type, and supporting materials related to those records.
- B. **Retention Period.** The Contractor agrees to comply with the record retention requirements in accordance with 2 C.F.R. § 200.333. The Contractor shall maintain all books, records, accounts and reports required under this Contract for a period of at not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case records shall be maintained until the disposition of all such litigation, appeals, claims or exceptions related thereto.
- C. **Access to Records.** The Contractor agrees to provide sufficient access to FTA and its contractors to inspect and audit records and information, including such records and information the Recipient or its Third Party Participants may regard as confidential or proprietary, related to the performance of this contract as reasonably may be required.
- D. **Access to the Sites of Performance.** The Contractor agrees to permit FTA and its contractors’ access to the sites of performance under this contract as reasonably may be required.

3. BONDING REQUIREMENTS (NOT APPLICABLE)

4. BUS TESTING (NOT APPLICABLE)

5. BUY AMERICA REQUIREMENTS (NOT APPLICABLE)

6. BUILD AMERICA, BUY AMERICA ACT

This clause flows down and extends to all first-tier contractors and their contracts. It must be included in every first-tier contract.

The contractor agrees to comply with the Build America, Buy America Act. Construction materials used in the Project are subject to the domestic preference requirement of the Build America, Buy America Act, Pub. L. 117-58, div. G, tit. IX, §§ 70911 – 70927 (2021), as implemented by the U.S. Office of Management and Budget’s “Buy America Preferences for Infrastructure Projects,” 2 CFR Part 184. The Recipient acknowledges that this agreement is neither a waiver of § 70914(a) nor a finding under § 70914(b). In accordance with 2 CFR § 184.2(a), the Recipient shall provisions of 2 CFR Part 184 shall apply the standards of 49 CFR Part 661 to iron, steel, and manufactured products.

- A. All iron and steel used in the project are produced in the United States – this means all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States;
- B. All manufactured products used in the project are produced in the United States—this means the manufactured product was manufactured in the United States; and the cost of the components of the manufactured product that are mined, produced, or manufactured in the United States is greater than 55 percent of the total cost of all components of the manufactured product, unless another standard for determining the minimum amount of domestic content of the manufactured product has been established under applicable law or regulation; and
- C. All construction materials* are manufactured in the United States—this means that all manufacturing processes for the construction material occurred in the United States.

*Excludes cement and cementitious materials, aggregates such as stone, sand, or gravel, or aggregate binding agents or additives.

The Buy America preference only applies to articles, materials, and supplies that are consumed in, incorporated into, or affixed to an infrastructure project. As such, it does not apply to tools, equipment, and supplies, such as temporary scaffolding, brought to the construction site and removed at or before the completion of the infrastructure project. Nor does a Buy America preference apply to equipment and furnishings, such as movable chairs, desks, and portable computer equipment, that are used at or within the finished infrastructure project, but are not an integral part of the structure or permanently affixed to the infrastructure project.

7. CARGO PREFERENCE REQUIREMENTS (NOT APPLICABLE)

8. CHARTER SERVICE (NOT APPLICABLE)

9. CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (Over 150K) (NOT APPLICABLE)

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The Contractor agrees:

1. It will not use any violating facilities;
2. It will report the use of facilities placed on or likely to be placed on the U.S. EPA "List of Violating Facilities;"
3. It will comply with the inspection and other requirements of the Clean Air Act, as amended, (42 U.S.C. §§ 7401 – 7671q); and the Federal Water Pollution Control Act as amended, (33 U.S.C. §§ 1251-1388).
4. It will report violations must to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

10. CIVIL RIGHTS AND EQUAL OPPORTUNITY

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The City of Everett is an Equal Opportunity Employer. As such, the City of Everett and all third-party contractors and their contracts agree to prohibit discrimination based on race, color, religion, sex, sexual orientation, gender identity, or national origin, and comply with all applicable Federal civil rights laws and implementing regulations. Apart from inconsistent requirements imposed by Federal laws or regulations, the City of Everett agrees to comply with the requirements of 49 U.S.C. § 5323(h)(3) by not using any Federal assistance awarded by FTA to support procurements using exclusionary or discriminatory specifications.

City of Everett and all third-party contractors and their contracts also agree to comply with Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000e, et seq.; (ii) Comply with Title I of the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. §§ 12101, et seq.; (iii) Facilitate compliance with Executive Order No. 11246, "Equal Employment Opportunity" September 24, 1965 (42 U.S.C. § 2000e note), as amended by any later Executive Order that amends or supersedes it in part and is applicable to federal assistance programs; (iv) Comply with federal transit law, specifically 49 U.S.C. § 5332, as provided in section 12 of this Master Agreement; (v) FTA Circular 4704.1 "Equal Employment Opportunity (EEO) Requirements and Guidelines for Federal Transit Administration Recipients;" and (vi) Follow other federal guidelines pertaining to EEO laws, regulations, and requirements.

The City of Everett also follows the most recent edition of FTA Circular 4702.1, "Title VI Requirements and Guidelines for Federal Transit Administration Recipients," to the extent consistent with applicable federal laws, regulations, requirements, and guidance, U.S. DOJ, "Guidelines for the enforcement of Title VI, Civil Rights Act of 1964," 28 C.F.R. § 50.3; and all other applicable federal guidance.

Under this Agreement, the Contractor shall at all times comply with the following requirements and shall include these requirements in each subcontract entered into as part thereof.

1. **Nondiscrimination.** In accordance with Federal transit law at 49 U.S.C § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, national origin, sex (including sexual orientation and gender identity), disability, or age. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
2. **Race, Color, Religion, National Origin, Sex, including gender identity.** The Contractor will prohibit discrimination based on race, color, or national origin in accordance with Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d *et seq.*, U.S. DOT regulations, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964," 49 CFR Part 21; and Federal transit laws at 49 U.S.C. § 5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. chapter 60, and Executive Order No. 11246, "Equal Employment Opportunity in Federal Employment," September 24, 1965, 42 U.S.C. § 2000e note, as amended by any later Executive Order that amends or supersedes it, referenced in 42 U.S.C. § 2000d. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, national origin, or sex (including sexual orientation and gender identity). Such action shall include, but not be limited to, the following: employment, promotion, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
3. **Age.** In accordance with the Age Discrimination in Employment Act, 29 U.S.C. §§ 621- 634, U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, "Age Discrimination in Employment Act," 29 C.F.R. part 1625, the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6101 *et seq.*, U.S. Health and Human Services regulations, "Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance," 45 C.F.R. part 90, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
4. **Disabilities.** In accordance with section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. § 12101 *et seq.*, the Architectural Barriers Act of 1968, as amended, 42 U.S.C. § 4151 *et seq.*, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against individuals on the basis of disability. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
5. **Affirmative Action.** If required to do so by U.S. DOT regulations (49 CFR Part 21) or U.S. Department of Labor regulations (41 C.F.R. chapter 60), take affirmative action that includes, but is not limited to: (A) Recruitment advertising, recruitment, and employment; (B) Rates of pay and other forms of compensation; (C) Selection for training, including apprenticeship, and upgrading; and (D) Transfers, demotions, layoffs, and terminations.
6. **Equal Employment Opportunity Requirements for Construction Activities.** Comply, when undertaking "construction" as recognized by the U.S. Department of Labor (U.S. DOL), with: (i) U.S. DOL regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. chapter 60; and (ii) Executive Order No. 11246, "Equal Employment Opportunity in

Federal Employment,” September 24, 1965, 42 U.S.C. § 2000e note (30 Fed. Reg. 12319, 12935), as amended by any later Executive Order that amends or supersedes it, referenced in 42 U.S.C. § 2000e note.

11. DISADVANTAGED BUSINESS ENTERPRISE (DBE)

A. Background and Applicability

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract. It is the prime contractor’s responsibility to ensure the DBE requirements are applied across the board to all subcontractors.

B. Disadvantaged Business Enterprise (DBE) Participation

The Disadvantaged Business Enterprise (DBE) requirements of 49 CFR Part 26 applies to this contract. The requirements of this contract are to encourage DBE participation and to report race neutral accomplishments semi-annually. No preference will be included in the evaluation of bids, no minimum level of DBE participation shall be required as condition for receiving an award and bids/Bids will not be rejected or considered non-responsive on that basis.

C. Disadvantaged Business Enterprises (DBE) Definitions

1. DBE is an incorporated or unincorporated small business concern or joint venture, as defined by Section 3 of the Small Business Act and implementing regulations, except that a small business concern shall not include any concern or group of concerns controlled by the same socially and economically disadvantaged individual or individuals which has annual average gross receipts in excess of \$14 million over the previous three fiscal years. The Secretary shall adjust this figure from time to time for inflation. A DBE must be certified by the Washington State Office of Minority and Women’s Business Enterprises.
 - a. At least 51 percent of which is owned by one or more socially and economically disadvantaged individuals or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more socially and economically disadvantaged individuals; and,
 - b. The management and daily business operations of which are controlled by the socially and economically disadvantaged individuals who own the business.
2. Socially and Economically Disadvantaged Individuals are those individuals who are citizens of the United States (or lawfully admitted permanent residents) and who are:
 - a. Black Americans - which includes persons having origins in any of the black racial groups of Africa;
 - b. Hispanic Americans - which includes persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish or Portuguese culture or origin, regardless of race;
 - c. Native Americans - which includes persons who are American Indians, Eskimos, Aleuts or Native Hawaiians;

- d. Asian-Pacific Americans - which includes persons whose origins are from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guam, the U.S. Trust Territories or the Pacific and the Northern Marianas;
- e. Asian-Indian Americans - which includes persons whose origins are from India, Pakistan and Bangladesh;
- f. Women - regardless of race, ethnicity or origin; and,
- g. Other - individuals found to be socially and economically disadvantaged by the Small Business Administration (SBA) pursuant to Section 8(a) of the Small Business Act.

D. Disadvantaged Business Enterprises

- 1. This contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26, Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs. The national goal for participation of DBEs is 10%. The agency's overall goal for DBE participation is 2%. A separate contract goal has not been established for this procurement.
- 2. The successful bidder/Bidder will be required to report its DBE participation obtained through race-neutral means throughout the period of performance.
- 3. The contractor must promptly notify the City of Everett, whenever a DBE subcontractor performing work related to this contract is terminated or fails to complete its work, and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of the City of Everett.

E. Affirmative Efforts to Solicit DBE Participation

DBE firms shall have equal opportunity to compete for and perform subcontracts which the Contractor enters into pursuant to this contract. Contractors are encouraged to:

- 1. Advertise opportunities for subcontractors or suppliers in a manner reasonably designed to provide DBEs capable of performing the work with timely notice of such opportunities. All advertisements should include a provision encouraging participation by DBE firms and may be done through general advertisements (e.g., newspapers, journals, etc.) or by soliciting bids/Bids directly from DBEs.
- 2. Utilize the services of available minority community-based organizations, minority contractor groups, local minority assistance offices and organizations that provide assistance in the recruitment and placement of DBEs and other small businesses, such as the Office of Minority and Women's Business Enterprises listed below.
- 3. Establish delivery schedules, where requirements of the contract allow, that encourage participation by DBEs and other small businesses.
- 4. Achieve attainment through joint ventures.

In the absence of a mandatory goal, all DBE participation that is attained on this project will be considered as "race neutral" participation and will be reported as such.

F. Information Regarding DBEs

Information regarding Disadvantaged Business Enterprises currently certified with the State of Washington is available at:

Office of Minority and Women's Business Enterprises

PO Box 41160

Olympia, WA 98504-1160

(800) 208-1064 Toll Free

(360) 586-7079 Fax

Or visit their website at <http://www.omwbe.wa.gov/>

G. Procedures Between Award and Execution

After award of the contract, the successful bidder shall provide the following additional information:

A list of all firms who submitted a bid or quote in an attempt to participate in this project whether they were successful or not. Include the correct business name, federal employer identification number (optional), and a mailing address.

H. Required DBE Contract Clauses

1. Contract Assurance

The following clause is incorporated in every FTA-assisted contract and subcontract:

The Contractor or Subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of FTA-assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as City of Everett deems appropriate, which may include, but is not limited to:

- a. Withholding monthly progress payments;
- b. Assessing sanctions;
- c. Liquidated damages; and/or
- d. Disqualifying the Contractor from future bidding as non-responsible per 49 CFR§ 26.13(b).

2. Prompt Payment and Retainage

The following clause is incorporated in every FTA-assisted prime contract:

When payment is received by a Contractor or Subcontractor for work performed, the Contractor or Subcontractor shall pay to any Subcontractor no later than thirty (30) days after the receipt of the payment, amounts allowed the Contractor on account of the work performed by the Subcontractor, to the extent of each Subcontractor's interest therein, unless the payment otherwise is excused under the provisions of RCW 39.04.250. This requirement shall flow down from Contractor to all lower tier subcontractors.

The City of Everett will not withhold any retainage or payments owing to the Contractor. The Contractor shall not withhold any retainage or payments owing to any Subcontractor. No delays or postponements of payment from the above referenced timeframe may occur unless approved in writing by the City. This clause applies to the DBE and non-DBE subcontracts.

If an DBE has not been paid on time, it should seek to resolve issues with the Contractor or Subcontractor, if the DBE is on a lower tier. If a satisfactory resolution is not arrived at, the DBE may approach the City of Everett Procurement Manager for assistance.

3. Resolving Payment Disputes, Discrepancies and Delays

Per 49 CFR §26.29 Contractors shall include in their subcontracts language providing that Contractor and Subcontractors will use appropriate alternative dispute resolution mechanisms to resolve payment disputes.

Further, if a payment to a Contractor by the City of Everett is in dispute, has discrepancies or is delayed for any other reason, Contractor shall pay its DBE subcontractor(s) in a timely manner, without waiting for receipt of payment from the City of Everett.

4. Use of DBE Financial Institutions:

Per 49 CFR Part 26.27, Contractors and Subcontractors are encouraged to investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in their community and make reasonable efforts to use these institutions. The list of such firms is available at: www.federalreserve.gov/releases/mob.

I. Small Business Participation

Bidders on public works contracts greater than \$100,000 must identify and provide specific subcontracts appropriate for small business participation.

Definition of Small Business per the Code of Federal Regulations (CFR), Title 49: Transportation, Part 26 – Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs, Subpart A – General, Paragraph 26.5:

Small business concern means, with respect to firms seeking to participate as DBEs in DOT-assisted contracts, a small business concern as defined pursuant to section 3 of the Small Business Act and Small Business Administration regulations implementing it (13 CFR part 121) that also does not exceed the cap on average annual gross receipt specified in Paragraph 26.65(b).

Paragraph 26.65: What rules govern business size determinations?

1. To be an eligible DBE, a firm (including its affiliates) must be an existing small business, as defined by Small Business Administration (SBA) standards. As a recipient, you must apply

current SBA business size standard(s) found in 13 CFR part 121 appropriate to the type(s) of work the firm seeks to perform in DOT-assisted contracts.

2. Even if it meets the requirements of paragraph (a) of this section, a firm is not an eligible DBE in any Federal fiscal year if the firm (including its affiliates) has had average annual gross receipts, as defined by SBA regulations (see 13 CFR 121.402), over the firm's previous three fiscal years, in excess of \$22.41 million.
3. The Department adjusts the number in paragraph (b) of this section annually using the Department of Commerce price deflators for purchases by State and local governments as the basis for this adjustment.

[74 FR 15224, Apr. 3, 2009]

12. VETERAN'S EMPLOYMENT

To the extent practicable, Contractors shall give a hiring preference to veterans (as defined in Section 2108 of title 5 (5 USC 2108)) who have the requisite skills and abilities to perform the construction work required under a third-party contract in connection with a Capital Project supported with federal assistance appropriated or made available for 49 U.S.C. This subsection shall not be understood, construed or enforced in any manner that would require an employer to give a preference to any veteran over any equally qualified applicant who is a member of any racial or ethnic minority, female, an individual with a disability, or a former employee.

13. TERMINATION OF DBE SUBCONTRACTOR

The Contractor shall not terminate the DBE subcontractor(s) listed in the **bid documents** without the City of Everett's prior written consent. The City of Everett may provide such written consent only if the Contractor has good cause to terminate the DBE firm. Before transmitting a request to terminate, the Contractor shall give notice in writing to the DBE subcontractor of its intent to terminate and the reason for the request. The Contractor shall give the DBE five (5) days to respond to the notice and advise of the reasons why it objects to the proposed termination. When a DBE subcontractor is terminated or fails to complete its work on the Contract for any reason, the Contractor shall make good faith efforts to find another DBE subcontractor to substitute for the original DBE and immediately notify the City of Everett in writing of its efforts to replace the original DBE. These good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the Contract as the DBE that was terminated.

A. Continued Compliance

The City of Everett shall monitor the Contractor's DBE compliance during the life of the Contract. In the event this procurement exceeds ninety (90) days, **it will be the responsibility of the Contractor to submit quarterly written reports to the City of Everett that** summarize the total DBE value for this Contract. These reports shall provide the following details:

- DBE utilization established for the Contract;
- Total value of expenditures with DBE firms for the quarter;
- The value of expenditures with each DBE firm for the quarter by race and gender;

- Total value of expenditures with DBE firms from inception of the Contract; and
- The value of expenditures with each DBE firm from the inception of the Contract by race and gender.

Reports and other correspondence must be submitted to the DBE Coordinator with copies provided to the City of Everett. Reports shall continue to be submitted quarterly until final payment is issued or until DBE participation is completed.

The successful Bidder shall permit:

- The City of Everett to have access to necessary records to examine information as it deems appropriate for the purpose of investigating and determining compliance with this provision, including, but not limited to, records of expenditures, invoices, and contract between the successful Bidder and other DBE parties entered into during the life of the Contract.
- The authorized representative(s) of the City of Everett, the U.S. Department of Transportation, the Comptroller General of the United States, to inspect and audit all data and record of the Contractor relating to its performance under the Disadvantaged Business Enterprise Participation provision of this Contract.
- All data/record(s) pertaining to DBE shall be maintained as stated in the solicitation.

B. Sanctions for Violations

If at any time the City of Everett has reason to believe that the Contractor is in violation of its obligations under this Agreement or has otherwise failed to comply with terms of this Section, the City of Everett may, in addition to pursuing any other available legal remedy, commence proceedings, which may include but are not limited to, the following:

- Suspension of any payment or part due the Contractor until such time as the issues concerning the Contractor's compliance are resolved; and
- Termination or cancellation of the Contract, in whole or in part, unless the successful Contractor is able to demonstrate within a reasonable time that it is in compliance with the DBE terms stated herein.

14. PREVAILING WAGE AND ANTI-KICKBACK

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

For all prime construction, alteration or repair contracts in excess of \$2,000 awarded by FTA, the Contractor shall comply with the Davis-Bacon Act and the Copeland "Anti-Kickback" Act. Under 49 U.S.C. § 5333(a), prevailing wage protections apply to laborers and mechanics employed on FTA assisted construction, alteration, or repair projects. The Contractor will comply with the Davis-Bacon Act, 40 U.S.C. §§ 3141-3144, and 3146-3148 as supplemented by DOL regulations at 29 C.F.R. part 5, "Labor Standards Provisions Applicable to Contracts Governing Federally Financed and Assisted Construction." In accordance with the statute, the Contractor shall pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In

addition, the Contractor agrees to pay wages not less than once a week. The Contractor shall also comply with the Copeland “Anti-Kickback” Act (40 U.S.C. § 3145), as supplemented by DOL regulations at 29 C.F.R. part 3, “Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in part by Loans or Grants from the United States.” The Contractor is prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled.

Davis-Bacon Act, (40 U.S.C. §§ 3141 – 3144, and 3146 – 3148) as supplemented by Department of Labor regulations (29 CFR Part 5, “Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction”). When required by federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. §§ 3141 – 3144, and 3146 – 3148) as supplemented by Department of Labor regulations (29 CFR Part 5, “Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction”). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-federal entity must report all suspected or reported violations to the federal awarding agency. The contracts must also include a provision for compliance with the Copeland “Anti-Kickback” Act (40 U.S.C. § 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, “Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States”). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of a public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-federal entity must report all suspected or reported violations to the federal awarding agency.

15. CONTRACT WORK HOURS AND SAFETY STANDARDS (40 U.S.C. §§ 3701 – 3708)

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

For all contracts in excess of \$100,000 that involve the employment of mechanics or laborers, must include a provision for compliance with 40 U.S.C. §§ 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. § 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer based on a standard work week of forty (40) hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of forty (40) hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

In the event of any violation of the clause set forth herein, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, the Contractor and subcontractor

shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of this clause in the sum of \$27 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by this clause.

The FTA shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in this section.

The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this agreement.

16. CONTRACT WORK HOURS AND SAFETY STANDARDS FOR AWARDS NOT INVOLVING CONSTRUCTION

The Contractor shall comply with all federal laws, regulations, and requirements providing wage and hour protections for non-construction employees, in accordance with 40 U.S.C. § 3702, Contract Work Hours and Safety Standards Act, and other relevant parts of that Act, 40 U.S.C. § 3701 *et seq.*, and U.S. DOL regulations, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Non-construction Contracts Subject to the Contract Work Hours and Safety Standards Act)," 29 C.F.R. part 5.

The Contractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three (3) years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid.

Such records maintained under this paragraph shall be made available by the Contractor for inspection, copying, or transcription by authorized representatives of the FTA and the Department of Labor, and the Contractor will permit such representatives to interview employees during working hours on the job.

The contractor shall require the inclusion of the language of this clause within subcontracts of all tiers.

17. ENERGY CONSERVATION

This clause flows down and extends to all third-party contractors and their contracts. It must be included in every tier contract.

The contractor agrees to comply with the mandatory energy standards and policies of its state energy conservation plans under the Energy Policy and Conservation Act, as amended, 42 U.S.C. § 6321, *et seq.*,

and perform an energy assessment for any building constructed, reconstructed, or modified with federal assistance required under FTA regulations, "Requirements for Energy Assessments," 49 CFR Part 622, subpart C.

18. FLY AMERICA

This clause flows down and extends to the first-tier contractor only.

A. **Definitions.** As used in this clause:

"International air transportation" means transportation by air between a place in the United States and a place outside the United States or between two places both of which are outside the United States.

"United States" means the 50 States, the District of Columbia, and outlying areas.

The Contractor agrees to comply with 49 U.S.C. 40118 (the "Fly America" Act) in accordance with the General Services Administration's regulations at 41 CFR Part 301-10, which provide that recipients and subrecipients of Federal funds and their contractors are required to use U.S. Flag air carriers for U.S. Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S. flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. The Contractor agrees to include the requirements of this section in all subcontracts that may involve international air transportation.

B. Statement of Unavailability of U.S.-Flag Air Carriers

International air transportation of persons (and their personal effects) or property by U.S.-flag air carrier was not available or it was necessary to use foreign-flag air carrier service for the following reasons. See FAR § 47.403. *[State reasons]:*

(End of statement)

The Contractor shall include the substance of this clause, including this paragraph, in each subcontract or purchase under this contract that may involve international air transportation.

19. GOVERNMENT-WIDE DEBARMENT AND SUSPENSION

This clause applies to any tier contract that is expected to exceed \$25,000. The Contractor, including any of its officers or holders of a controlling interest, is obligated to inform the Recipient, the City of Everett, whether or not it is or has been on any debarred bidders' list maintained by the United States Government. Should the Contractor be included on such a list during the performance of this project, it

shall so inform the Recipient.

(Executive Orders 12549 and 12689). A covered transaction (see 2 C.F.R. §§ 180.220 and 1200.220) must not be entered into with any party listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 C.F.R. 180 that implement Executive Orders 12549 (31 U.S.C. § 6101 note, 51 Fed. Reg. 6370,) and 12689 (31 U.S.C. § 6101 note, 54 Fed. Reg. 34131), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549. The Recipient agrees to include, and require each Third Party Participant to include, a similar provision in each lower tier covered transaction, ensuring that each lower tier Third Party Participant: (i) Complies with federal debarment and suspension requirements; and (ii) Reviews the SAM at <https://www.sam.gov>, if necessary to comply with U.S. DOT regulations, 2 CFR Part 1200.

The Contractor shall comply and facilitate compliance with U.S. DOT regulations requirements of 2 CFR Part 180, subpart C, as adopted and supplemented by U.S. DOT regulations at 2 CFR Part 1200. "Nonprocurement Suspension and Debarment," which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit (irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- A. Debarred from participation in any federally assisted Award;
- B. Suspended from participation in any federally assisted Award;
- C. Proposed for debarment from participation in any federally assisted Award;
- D. Declared ineligible to participate in any federally assisted Award;
- E. Voluntarily excluded from participation in any federally assisted Award; or
- F. Disqualified from participation in any federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by the City of Everett. If it is later determined by the City that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the City of Everett, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

20. DISCLOSURE OF LOBBYING ACTIVITIES (31 U.S.C. § 1352)

The lobbying requirements apply to all contracts and subcontracts of \$100,000 or more at any tier under a Federal grant.

Byrd Anti-Lobbying Amendment, 31 U.S.C. 1352, as amended by the Lobbying Disclosure Act of 1995, P.L. 104-65 [to be codified at 2 U.S.C. § 1601, et seq.] - Contractors who apply or bid for an award of \$100,000 or more shall file the certification required by 49 CFR part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal contract, grant or any other award covered by 31 U.S.C. § 1352. Each tier must also disclose any lobbying with non-federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-federal award.

21. NO FEDERAL GOVERNMENT OBLIGATION OR LIABILITY

This clause applies to third party contracts that are federally funded and flows down to every tier.

- A. The Federal Government does not and shall not have any commitment or liability related to this Contract or its underlying agreements, to any participant at any tier, or to any other person or entity that is not a party (FTA or the Agency) to the underlying agreement; and
- B. Notwithstanding that the Federal Government may have concurred in or approved any Solicitation or Contract at any tier that may affect any underlying agreement, the Federal Government does not and shall not have any commitment or liability to any participant or other entity or person that is not a party (FTA or the Agency) to this Contract/Task Order or any underlying agreement.

22. PATENT RIGHTS AND RIGHTS IN DATA

If the recipient or subrecipient wishes to enter into a contract (or subcontract) with a small business firm or nonprofit organization for the performance of experimental, developmental, or research work under the FTA award.

This Project is funded through a Federal award with FTA for experimental, developmental, or research work purposes. As such, certain Patent Rights and Data Rights apply to all subject data first produced in the performance of this Contract. The Contractor shall grant the City of Everett intellectual property access and licenses deemed necessary for the work performed under this Agreement and in accordance with the requirements of 37 C.F.R. part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by FTA or U.S. DOT. The terms of an intellectual property agreement and software license rights will be finalized prior to execution of this Agreement and shall, at a minimum, include the following restrictions: Except for its own internal use, the Contractor may not publish or reproduce subject data in whole or in part, or in any manner or form, nor may the Contractor authorize others to do so, without the written consent of FTA, until such time as FTA may have either released or approved the release of such data to the public. This restriction on publication, however, does not apply to any contract with an academic institution. For purposes of this agreement, the term "subject data" means recorded information whether or not copyrighted, and that is delivered or

specified to be delivered as required by the Contract. Examples of “subject data” include, but are not limited to computer software, standards, specifications, engineering drawings, and associated lists, process sheets, manuals, technical reports, catalog item identifications, and related information, but do not include financial reports, cost analyses, or other similar information used for performance or administration of the Contract.

- A. The Federal Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for “Federal Government Purposes,” any subject data or copyright described below. For “Federal Government Purposes,” means use only for the direct purposes of the Federal Government. Without the copyright owner’s consent, the Federal Government may not extend its Federal license to any other party.
 - 1. Any subject data developed under the Contract, whether or not a copyright has been obtained; and any rights of copyright purchased by the Contractor using Federal assistance in whole or in part by the FTA.
- B. Unless FTA determines otherwise, the Contractor performing experimental, developmental, or research work required as part of this Contract agrees to permit FTA to make available to the public, either FTA’s license in the copyright to any subject data developed in the course of the Contract, or a copy of the subject data first produced under the Contract for which a copyright has not been obtained. If the experimental, developmental, or research work, which is the subject of this Contract, is not completed for any reason whatsoever, all data developed under the Contract shall become subject data as defined herein and shall be delivered as the Federal Government may direct.
- C. Unless prohibited by state law, upon request by the Federal Government, the Contractor agrees to indemnify, save, and hold harmless the Federal Government, its officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by the Contractor of proprietary rights, copyrights, or right of privacy, arising out of the publication, translation, reproduction, delivery, use, or disposition of any data furnished under that contract. The Contractor shall be required to indemnify the Federal Government for any such liability arising out of the wrongful act of any employee, official, or agents of the Federal Government.
- D. Nothing contained in this clause on rights in data shall imply a license to the Federal Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Federal Government under any patent.
- E. Data developed by the Contractor and financed entirely without using Federal assistance provided by the Federal Government that has been incorporated into work required by the underlying Contract is exempt from the requirements herein, provided that the Contractor identifies those data in writing at the time of delivery of the Contract work.
- F. The Contractor agrees to include these requirements in each subcontract for experimental, developmental, or research work financed in whole or in part with Federal assistance.
- G. **Rights to Inventions Made Under a Contract or Agreement.** If the federal award meets the definition of “funding agreement” under 37 C.F.R. § 401.2(a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the

requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

23. PRE-AWARD AND POST-DELIVERY AUDITS OF ROLLING STOCK PURCHASES (NOT APPLICABLE)

24. PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS AND RELATED ACTS

The Program Fraud clauses applies to all third-party contracts that are federally funded. It extends to all third-party contractors and their contracts at every tier and subrecipients and their subcontracts at every tier. These requirements flow down to contractors and subcontractors who make, present, or submit covered claims and statements.

The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 et seq. and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent to the Federal Government deems appropriate.

The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. chapter 53, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5323(l) on the Contractor, to the extent the Federal Government deems appropriate.

The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

25. PUBLIC TRANSPORTATION EMPLOYEE PROTECTIVE ARRANGEMENTS

This clause applies to all third-party contractor and their contracts at every tier.

The Contractor agrees to comply with the following employee protective arrangements of 49 U.S.C. § 5333(b):

- A. **U.S. DOL Certification.** Under this Contract or any Amendments thereto that involve public transportation operations that are supported with federal assistance, a certification issued by U.S. DOL is a condition of the Contract.

- B. **Special Warranty.** When the Contract involves public transportation operations and is supported with federal assistance appropriated or made available for 49 U.S.C. § 5311, U.S. DOL will provide a Special Warranty for its Award, including its Award of federal assistance under the Tribal Transit Program. The U.S. DOL Special Warranty is a condition of the Contract.
- C. **Special Arrangements.** The conditions of 49 U.S.C. § 5333(b) do not apply to Contractors providing public transportation operations pursuant to 49 U.S.C. § 5310. FTA reserves the right to make case-by-case determinations of the applicability of 49 U.S.C. § 5333(b) for all transfers of funding authorized under title 23, United States Code (flex funds), and make other exceptions as it deems appropriate, and, in those instances, any special arrangements required by FTA will be incorporated herein as required.

26. RECOVERED MATERIALS - RECYCLED PRODUCTS

These requirements extend to all third-party contractors and their contracts at every tier and subrecipients and their subcontracts at every tier where the value of an EPA designated item exceeds \$10,000.

The Contractor agrees to provide a preference for those products and services that conserve natural resources, protect the environment, and are energy efficient by complying with and facilitating compliance with Section 6002 of the Resource Conservation and Recovery Act, as amended, 42 U.S.C. § 6962, and U.S. Environmental Protection Agency (U.S. EPA), "Comprehensive Procurement Guideline for Products Containing Recovered Materials," 40 C.F.R. part 247.

27. SAFE OPERATION OF MOTOR VEHICLES

The Safe Operation of Motor Vehicles requirements apply to all federally funded third party contracts. It flows down to all third-party contractors at every tier.

A. Seat Belt Use

The Contractor is encouraged to adopt and promote on-the-job seat belt use policies and programs for its employees and other personnel that operate company-owned vehicles, company-rented vehicles, or personally operated vehicles. The terms "company-owned" and "company-leased" refer to vehicles owned or leased either by the Contractor or the City of Everett.

B. Distracted Driving

The Contractor agrees to adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Contractor owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the work performed under this agreement.

28. SCHOOL BUS OPERATIONS (NOT APPLICABLE)

29. SEISMIC SAFETY

The Seismic Safety requirements apply only to contracts for the construction of new buildings or additions

to existing buildings. This clause flows down from FTA recipients and subrecipients to first tier contractors to assure compliance with the applicable building standards for Seismic Safety, including the work performed by all subcontractors.

The contractor agrees that any new building or addition to an existing building will be designed and constructed in accordance with the standards for Seismic Safety required in Department of Transportation (DOT) Seismic Safety Regulations 49 C.F.R. part 41 and will certify to compliance to the extent required by the regulation. The contractor also agrees to ensure that all work performed under this contract, including work performed by a subcontractor, is in compliance with the standards required by the Seismic Safety regulations and the certification of compliance issued on the project.

30. SUBSTANCE ABUSE REQUIREMENTS (NOT APPLICABLE)

31. TERMINATION

All contracts in excess of \$10,000 must address the termination for cause and for convenience, including the manner by which it will be affected and the basis for settlement. This clause extends to all third-party contractors and their contracts at every tier and subrecipients and their subcontracts at every tier.

A. Termination for Convenience (General Provision)

The City of Everett may terminate this contract, in whole or in part, at any time by written notice to the Contractor when it is in the City's best interest. The Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. The Contractor shall promptly submit its termination claim to the City. If the Contractor has any property in its possession belonging to the City of Everett, the Contractor will account for the same, and dispose or return of it in the manner the City directs.

If the contract elsewhere has one or more termination for convenience provisions in addition to this Section, then the City may select the termination for convenience provision for the termination that the City deems most advantageous to the City.

B. Termination for Default [Breach or Cause] (General Provision)

If the Contractor does not deliver supplies in accordance with the contract delivery schedule, or if the contract is for services, the Contractor fails to perform in the manner called for in the contract, or if the Contractor fails to comply with any other provisions of the contract, the City may terminate this contract for default. Termination shall be effected by serving a Notice of Termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will be paid only the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth in the contract.

If it is later determined by the City that the Contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of the Contractor, the City, after setting up a new delivery of performance schedule, may allow the Contractor to continue work, or treat the termination as a Termination for Convenience.

If the contract elsewhere has one or more termination for default/breach/cause provisions in addition to this Section, then the City may select the termination provision for the termination that the City deems most advantageous to the City.

C. Opportunity to Cure (General Provision)

The City, in its sole discretion may, in the case of a termination for breach or default, allow the Contractor two weeks in which to cure the defect. In such case, the Notice of Termination will state the time period in which cure is permitted and other appropriate conditions.

If Contractor fails to remedy to City's satisfaction the breach or default of any of the terms, covenants, or conditions of this Contract within ten (10) business days after receipt by Contractor of written notice from the City setting forth the nature of said breach or default, the City of Everett shall have the right to terminate the contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude the City from also pursuing all available remedies against Contractor and its sureties for said breach or default.

D. Waiver of Remedies for any Breach

In the event that the City elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this contract, such waiver by the City shall not limit the City's remedies for any succeeding breach of that or of any other covenant, term, or condition of this contract.

E. Termination for Convenience (Professional or Transit Service Contracts)

The City of Everett, by written notice, may terminate this contract, in whole or in part, when it is in the City's interest. If this contract is terminated, the City shall be liable only for payment under the payment provisions of this contract for services rendered before the effective date of termination.

If the termination is for the convenience of the City, the City's Procurement Professional shall make an equitable adjustment in the contract price but shall allow no anticipated profit on unperformed services.

If the termination is for failure of the Contractor to fulfill the contract obligations, the City may complete the work by contract or otherwise and the Contractor shall be liable for any additional cost incurred by the City.

If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the City.

32. VIOLATION AND BREACH OF CONTRACT

All contracts in excess of the Simplified Acquisition Threshold (currently set at \$250,000) shall contain administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. The Violations and Breach of Contracts clause flow down to all third-party contractors and their contracts at every tier.

A. Rights and Remedies of the City of Everett

The City of Everett shall have the following rights in the event that the City of Everett deems the Contractor guilty of a breach of any term under the Contract.

1. The right to take over and complete the work or any part thereof as agency for and at the expense of the Contractor, either directly or through other contractors;

2. The right to cancel this Contract as to any or all of the work yet to be performed;
3. The right to specific performance, an injunction or any other appropriate equitable remedy; and
4. The right to money damages.

B. Rights and Remedies of Contractor

Inasmuch as the Contractor can be adequately compensated by money damages for any breach of this Contract, which may be committed by the City of Everett, the Contractor expressly agrees that no default, act or omission of the City of Everett shall constitute a material breach of this Contract, entitling Contractor to cancel or rescind the Contract, unless the City directs Contractor to do so, or to suspend or abandon performance.

C. Remedies

Substantial failure of the Contractor to complete the Project in accordance with the terms of this Agreement will be a default of this Agreement. In the event of a default, the City of Everett will have all remedies in law and equity, including the right to specific performance, without further assistance, and the rights to termination or suspension as provided herein. The Contractor recognizes that in the event of a breach of this Agreement by the Contractor before the City takes action contemplated herein, the City will provide the Contractor with sixty (60) days written notice that the City considers that such a breach has occurred and will provide the Contractor a reasonable period of time to respond and to take necessary corrective action.

D. Disputes

Disputes arising in the performance of this Contract that are not resolved by agreement of the parties shall be decided in writing by the authorized representative of City's Project Manager. This decision shall be final and conclusive unless within ten (10) business days from the date of receipt of its copy, the Contractor mails or otherwise furnishes a written appeal to the City Clerk. In connection with any such appeal, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the Procurement Manager shall be binding upon the Contractor and the Contractor shall abide by the decision.

E. Performance during Dispute

Unless otherwise directed by the City of Everett, Contractor shall continue performance under this Contract while matters in dispute are being resolved.

F. Claims for Damages

Should either party to the Contract suffer injury or damage to person or property because of any act or omission of the party or any of its employees, agents or others for whose acts it is legally liable, a claim for damages, therefore shall be made in writing to such other party within a reasonable time after the first observance of such injury or damage.

G. Remedies

Unless this Contract provides otherwise, all claims, counterclaims, disputes and other matters in question between the City of Everett and the Contractor arising out of or relating to this agreement

or its breach will be decided by arbitration if the parties mutually agree, or in a court of competent jurisdiction within in Washington State in which the City of Everett is located.

H. Rights and Remedies

The duties and obligations imposed by the Contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by the City of Everett or Contractor shall constitute a waiver of any right or duty afforded any of them under the Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

33. PROTEST AND APPEALS

Procurement Protest Procedures are available for review at:

<https://www.codepublishing.com/WA/Everett/#!/Everett03/Everett0346.html#3.46>

34. FEDERAL CHANGES

Any proposed change in this contract shall be submitted to the City of Everett for its prior approval. Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Agreement (Form FTA MA (28) dated February 9, 2021) between Purchaser and FTA , or as they may be amended or promulgated from time to time during the term of this contract. Contractor's failure to so comply shall constitute a material breach of this contract.

35. INCORPORATION OF FTA TERMS

The preceding and following provisions include, in part, certain Standard Terms and Conditions required by DOT, whether or not expressly set forth in the preceding contract provisions. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1F dated November 1, 2008, (Revised: July 29, 2009) are hereby incorporated by reference.

<https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/Third%20Party%20Contracting%20Guidance%20%28Circular%204220.1F%29.pdf>

Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict either other provisions contained in this agreement. The Contractor shall not perform any act, fail to perform any act, or refuse to comply with any City of Everett requests which would cause City of Everett to be in violation of the FTA terms and conditions.

The contract agreement shall be binding upon and inure to the benefit of the contract parties, their successors and permitted assigns, but shall not inure to the benefit of any third party or other person.

36. TERMS OF THESE PROVISIONS AND COMPLIANCE

A. The Contractor must comply with all applicable federal laws, regulations, and requirements, and should follow applicable federal guidance, except as FTA determines otherwise in writing.

- B. To assure compliance with federal laws, regulations, and requirements, the Contractor must take measures to assure that other participants in all of its underlying agreements, including, but not limited to subcontracts or purchase or task orders with lower tier subcontractors, suppliers, consultants etc.) comply with applicable federal laws, regulations, and requirements, and follow applicable federal guidance, except as FTA determines otherwise in writing.
- C. FTA may take enforcement action if the Contractor violates an applicable federal law, regulation, or requirement, or does not follow applicable federal guidance.
- D. Parties agree that not every provision of this section will apply to every subcontractor or any underlying agreements. Criteria determining which federal laws, regulations, requirements, and guidance apply include the type of award, the federal law authorizing federal assistance for the award, the federal law, regulations, or requirements governing how the award must be implemented, the federal guidance pertaining to the award, and the Contractor's legal status as a business, a "private nonprofit entity," a "private for-profit entity," or an individual.
- E. As provided in federal laws, regulations, requirements, and guidance, FTA will enforce only those federal laws, regulations, requirements, and guidance that apply to the Contractor, or to any Project and related activities encompassed in the award, any accompanying underlying agreements, and any amendments thereto.
- F. This Section does not have an expiration date. Section shall continue to apply to the Agency, the Contractor and all parties covered by any underlying agreements, until the Section is modified or superseded by a more recently enacted or issued applicable federal law, regulation, requirement, or guidance, or amendment.
- G. The Contractor must comply with U.S. DOT regulations, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 1201, which incorporates by reference U.S. OMB regulatory guidance, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 200, which applies to this Contract or other underlying agreements.
- H. Except as FTA determines otherwise in writing, U.S. DOT regulations, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 1201, and subparts A through E of U.S. OMB regulatory guidance, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR Part 200, shall apply to the Contractor and all its subcontractors.
- I. Uniform Administrative Requirements. Compliance with FTA's "Buy America Requirements," 49 CFR Part 661, and "Buy America Preferences for Infrastructure Projects," 2 CFR Part 184, as described in this Master Agreement shall be deemed to satisfy 2 CFR § 200.322, "Domestic Preferences for Procurements."

37. CONTRACTOR'S RESPONSIBILITY TO EXTEND FEDERAL REQUIREMENTS TO LOWER-TIER PARTICIPANTS

In certain circumstances, the Contractor's compliance with specific federal requirements depends on compliance by its lower tier participant(s) and therefore:

- A. **General.** The Contractor agrees to ensure that its lower tier participant(s) will comply with applicable federal requirements and follow applicable federal guidance.
- B. **Performance of the Contractor's Responsibilities.** If a lower tier participant is expected to fulfill any responsibilities typically performed by the Contractor, the Contractor agrees to ensure that the lower tier participant will carry out the Contractor's responsibilities in compliance with federal requirements, and provide enough information to each lower tier participant so that they understands that they will be expected to follow federal guidance.
- C. **Risk.** As provided in 2 CFR Part 1201, which incorporates by reference 2 CFR Part 200, the Contractor agrees to evaluate the risk involved before awarding an underlying agreement to any entity.
- D. **Lower tier agreements.** To comply with federal requirements, the Contractor agrees to enter into a written agreement with each lower tier participant in its underlying agreement and must include all appropriate provisions stating the lower tier participant's responsibilities to assure the Contractor's capability to comply with applicable federal requirements and guidance and specifying the responsibilities that the lower tier participant will fulfill on the Contractor's behalf.
- E. **Notice to lower tier participants.** The Contractor agrees to include notice in each lower tier agreement that:
 - 1. Federal requirements that apply to the Contractor or are included in the Contract/Task Order, the accompanying underlying agreement, and any amendments thereto may change due to changes in federal law, regulation, other requirements, or guidance, or changes in this Contract/Task Order or the Contractors underlying agreements including any information incorporated by reference and made part of that underlying agreement; and

Applicable changes to those federal requirements will apply to this Contract/Task Order and the Contractor's underlying agreements and parties thereto at any tier.

38. TRAFFICKING OF PERSONS

- A. Contractor agrees to comply and assures the compliance of each Lower Tier Participant with: Section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended, 22 U.S.C. § 7104(g); and the terms of this section, which have been derived from U.S. OMB regulatory guidance, "Award Term for Trafficking in Persons," 2 CFR Part 175, per U.S. OMB's direction.
- B. Contractor further agrees that it and its employees that participate in this Contract/Task Order shall not:
 - 1. Engage in severe forms of trafficking in persons during the contract period, including all extensions or while Contract/Task Order is in effect,
 - 2. Procure a commercial sex act during the Contract or Task Order period, including all extensions, or
 - 3. Use forced labor in the performance of Contract or Task Order or any sub agreements thereunder.

39. FEDERAL TAX LIABILITY AND RECENT FELONY CONVICTIONS

This flows down to all third-party participants regardless of tier or value of the sub agreement.

Transactions Prohibited:

- A. The Contractor agrees to certify that, prior to entering into this Contract or any Lower Tier Agreement with any private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, the Contractor will obtain from the prospective Lower Tier Participant a certification that the Lower Tier Participant —
1. Does not have any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
 2. Was not convicted of the felony criminal violation under any Federal law within the preceding 24 months.


Signature of Contractor's Authorized Official

Tony Chojnowski, Chief Operating Officer
Name and title of Contractor's Authorized Official

July 23, 2024
Date

- B. Contractors that cannot certify to the provisions as listed in this Section 38 above will be deemed not responsible due to the delay such non-certification would cause to the delivery of the Contract.

40. CONFORMANCE WITH ITS NATIONAL ARCHITECTURE

Contractor shall conform, to the extent applicable, to the National Intelligent Transportation Standards architecture as required by SAFETEA-LU Section 5307(c), 23 U.S.C. Section 512 and as amended by MAP-21 23 U.S.C. § 512(d), note and follow the provisions of FTA Notice, "FTA National Architecture Policy on Transit Projects," 66 Fed. Reg. 1455 et seq., January 8, 2001, and any other implementing directives FTA may issue at a later date, except to the extent FTA determines otherwise in writing.

41. NOTIFICATION OF LEGAL MATTERS

Each Third-Party Participant must include an equivalent provision in its subagreements at every tier, for any agreement that is a "covered transaction" according to 2 C.F.R. §§ 180.220 and 1200.220.

- A. The types of legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or naming the Federal Government as a party to litigation or a legal disagreement in any forum for any reason.
- B. Matters that may affect the Federal Government include, but are not limited to, the Federal Government's interests in the Award, the accompanying Underlying Agreement, and any

Amendments thereto, or the Federal Government's administration or enforcement of federal laws, regulations, and requirements.

- C. Additional Notice to U.S. DOT Inspector General. The Recipient must promptly notify the U.S. DOT Inspector General in addition to the FTA Chief Counsel or Regional Counsel for the Region in which the Recipient is located, if the Recipient has knowledge of potential fraud, waste, or abuse occurring on a Project receiving assistance from FTA. The notification provision applies if a person has or may have submitted a false claim under the False Claims Act, 31 U.S.C. § 3729, et seq., or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bid rigging, misappropriation or embezzlement, bribery, gratuity, or similar misconduct involving federal assistance. This responsibility occurs whether the Project is subject to this Agreement or another agreement between the Recipient and FTA, or an agreement involving a principal, officer, employee, agent, or Third Party Participant of the Recipient. It also applies to subcontractors at any tier. Knowledge, as used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a Federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the Recipient. In this paragraph, "promptly" means to refer information without delay and without change. This notification provision applies to all divisions of the Recipient, including divisions tasked with law enforcement or investigatory functions.
- D. Federal Interest in Recovery. The Federal Government retains the right to a proportionate share of any proceeds recovered from any third party, based on the percentage of the federal share for the Underlying Agreement. Notwithstanding the preceding sentence, the Recipient may return all liquidated damages it receives to its Award Budget for its Underlying Agreement rather than return the federal share of those liquidated damages to the Federal Government, provided that the Recipient receives FTA's prior written concurrence.
- E. Enforcement. The Recipient must pursue its legal rights and remedies available under any third party agreement or any federal, state, or local law or regulation.

Contractor agrees to notify the City of Everett immediately if it becomes involved in a current or prospective legal matter that may affect the federal government, which includes, but is not limited to, FTA's interests in the award, the accompanying underlying agreement, and any Amendments thereto, or the FTA's administration or enforcement of federal laws, regulations, and requirements. The types of legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or where the FTA may be named as a party to litigation or a legal disagreement in any forum for any reason.

Contractor will immediately notify the City of Everett if it has knowledge of potential fraud, waste, or abuse occurring in relation to this Contract. The notification provision applies if a person has or may have submitted a false claim under the False Claims Act, 31 U.S.C. § 3729 et seq., or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bid rigging, misappropriation or embezzlement, bribery, gratuity, or similar misconduct involving federal assistance. Knowledge, as used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the City of Everett.

The City of Everett reserves the right to seek all remedies available to it under law, including to procure substitute services or products elsewhere and recover its damages, attorneys' fees and costs from Contractor.

42. SOLID WASTES

Required Clause in Third-Party Contracts. In addition to other applicable provisions of federal law, regulations, requirements, and guidance, all third-party contracts made by the Recipient under the Federal award must contain provisions covering the following:

A Recipient that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

43. ENVIRONMENTAL PROTECTION

- A. General. The Recipient agrees to, and assures that its Third-Party Participants will, comply with all applicable environmental and resource use laws, regulations, and requirements, and follow applicable guidance, now in effect or that may become effective in the future, including state and local laws, ordinances, regulations, and requirements and follow applicable guidance.
- B. National Environmental Policy Act. An Award of federal assistance requires the full compliance with applicable environmental laws, regulations, and requirements. Accordingly, the Recipient agrees to, and assures that its Third-Party Participants will:
 - 1. Comply and facilitate compliance with federal laws, regulations, and requirements, including, but not limited to:
 - a. Federal transit laws, such as 49 U.S.C. § 5323(c)(2), and 23 U.S.C. § 139;
 - b. The National Environmental Policy Act of 1969 (NEPA), as amended, 42 U.S.C. §§ 4321, et seq., as limited by 42 U.S.C. § 5159, and CEQ's implementing regulations 40 CFR Part 1500 – 1508;
 - c. Joint FHWA and FTA regulations, "Environmental Impact and Related Procedures," 23 CFR Part 771 and 49 CFR Part 622;
 - d. Executive Order No. 11514, as amended, "Protection and Enhancement of Environmental Quality," March 5, 1970, 42 U.S.C. § 4321 note (35 Fed. Reg. 4247); and
 - e. Other federal environmental protection laws, regulations, and requirements applicable to the Recipient or the Award, the accompanying Underlying Agreement, and any Amendments thereto.

2. Follow the federal guidance identified herein to the extent that the guidance is consistent with applicable authorizing legislation:
 - a. Joint FHWA and FTA final guidance, "Interim Guidance on MAP-21 Section 1319, Accelerated Decision making in Environmental Reviews," January 14, 2013;
 - b. Joint FHWA and FTA final guidance, "SAFETEA-LU Environmental Review Process (Public Law 109-59)," 71 Fed. Reg. 66576, November 15, 2006; and
 - c. Other federal environmental guidance applicable to the Recipient or the Award, the accompanying Underlying Agreement, and any Amendments thereto.
- C. Environmental Justice. The Recipient agrees to, and assures that its Third-Party Participants will, promote environmental justice by following:
 1. Executive Order No. 12898, "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations," February 11, 1994, 42 U.S.C. § 4321 note, (59 Fed. Reg. 7629, 3 C.F.R. 1994 Comp., p. 859) as well as facilitating compliance with that Executive Order;
 2. U.S. DOT Order 5610.2(a), "Department of Transportation Updated Environmental Justice Order," 77 Fed. Reg. 27534, May 10, 2012; and
 3. The most recent edition of FTA Circular 4703.1, "Environmental Justice Policy Guidance for Federal Transit Administration Recipients," August 15, 2012, to the extent consistent with applicable federal laws, regulations, requirements, and guidance.
- D. Other Environmental Federal Laws. The Recipient agrees to comply or facilitate compliance, and assures that its Third Party Participants will comply or facilitate compliance, with all applicable federal laws, regulations, and requirements, and will follow applicable guidance, including, but not limited to, the Clean Air Act, Clean Water Act, Wild and Scenic Rivers Act of 1968, Coastal Zone Management Act of 1972, the Endangered Species Act of 1973, Magnuson Stevens Fishery Conservation and Management Act, Resource Conservation and Recovery Act, Comprehensive Environmental Response, Compensation, and Liability Act, Executive Order No. 11990 relating to "Protection of Wetlands," and Executive Order No. 11988, as amended, "Floodplain Management."
- E. Corridor Preservation. The Recipient agrees that:
 1. It will not develop any right-of-way acquired under 49 U.S.C. § 5323(q) in anticipation of implementing its Award until all required environmental reviews for each Project or related activities have been completed; and
 2. It will follow FTA Final Guidance on the Application of 49 U.S.C § 5323(q) to Corridor Preservation for a Transit Project, October 27, 2014.
- F. Use of Certain Public Lands. The Recipient agrees to comply, and assures that its Third Party Participants will comply, with U.S. DOT laws, specifically 49 U.S.C. § 303 (often referred to as "section 4(f)"), and joint FHWA and FTA regulations, "Parks, Recreation Areas, Wildlife and Waterfowl Refuges, and Historic Sites," 23 CFR Part 774, and referenced in 49 CFR Part 622.
- G. Historic Preservation. The Recipient agrees to, and assures that its Third-Party Participants will:

1. Comply with U.S. DOT laws, including 49 U.S.C. § 303 (often referred to as “section 4(f)”), which requires certain findings be made before an Award may be undertaken if it involves the use of any land from a historic site that is on or eligible for inclusion on the National Register of Historic Places.
 2. Encourage compliance with the federal historic and archaeological preservation requirements of section 106 of the National Historic Preservation Act, as amended, 54 U.S.C. § 306108.
 3. Comply with the Archeological and Historic Preservation Act of 1974, as amended, 54 U.S.C. § 312501, et seq.
 4. Comply with U.S. Advisory Council on Historic Preservation regulations, “Protection of Historic Properties,” 36 CFR Part 800.
 5. Comply with federal requirements and follow federal guidance to avoid or mitigate adverse effects on historic properties.
- H. Indian Sacred Sites. The Recipient agrees to, and assures that its Third Party Participants will, facilitate compliance with federal efforts to promote the preservation of places and objects of religious importance to American Indians, Eskimos, Aleuts, and Native Hawaiians, and facilitate compliance with the American Indian Religious Freedom Act, 42 U.S.C. § 1996, and Executive Order No. 13007, “Indian Sacred Sites,” May 24, 1996, 42 U.S.C. § 3161 note (61 Fed. Reg. 26771).
- I. Mitigation of Adverse Environmental Effects.
1. The Recipient agrees to comply with all environmental mitigation measures that may be identified as conditions that the Federal Government might impose in its finding of no significant impact or record of decision or commitments in the environmental documents that apply to the Award, such as environmental assessments, environmental impact statements, categorical exclusions, memoranda of agreement, documents required under 49 U.S.C. § 303, and other environmental documents.
 2. The Recipient agrees that:
 - a. Any mitigation measures agreed on will be incorporated by reference and made part of the Underlying Agreement and any Amendments thereto;
 - b. Any deferred mitigation measures will be incorporated by reference and made part of the Underlying Agreement and any Amendments thereto as soon as agreement with the Federal Government is reached; and
- J. Any mitigation measures agreed on will not be modified or withdrawn without the written approval of the Federal Government.

44. FEDERAL “\$1 COIN” REQUIREMENTS

The City of Everett agrees to comply with section 104 of the Presidential \$1 Coin Act of 2005, 31 U.S.C. § 5112(p), therefore its equipment and facilities will be fully capable of accepting and dispensing \$1 coins when coins or currency are required to use that equipment or those facilities, and it will display signs and notices of the \$1 coin capability of its equipment and facilities on its premises, including vending machines, where coins or currency are used.

45. FEDERALLY ASSISTED CONSTRUCTION CONTRACTS

Pursuant to 41 CFR § 60-1.4(b)(1): The Recipient hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the regulations of the Secretary of Labor at 41 CFR Chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan, insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

The applicant hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the regulations of the Secretary of Labor at 41 CFR Chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan, insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

During the performance of this contract, the contractor agrees as follows:

(1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

(3) The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.

(4) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for

employment.

(5) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(6) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(7) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(8) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance:

Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive Order. In addition, the

applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

(2) [Reserved]

46. ADA ACCESS

The Contractor shall comply with 49 USC 5301(d), stating Federal policy that the elderly and persons with disabilities have the same rights as other persons to use mass transportation services and facilities and that special efforts shall be made in planning and designing those services and facilities to implement that policy. Contractor shall also comply with all applicable requirements of Sec. 504 of the Rehabilitation Act (1973), as amended, 29 USC 794, which prohibits discrimination on the basis of handicaps, and the Americans with Disabilities Act of 1990 (ADA), as amended, 42 USC 12101 et seq., which requires that accessible facilities and services be made available to persons with disabilities, including any subsequent amendments hereto.

The Americans with Disabilities Act of 1990, as amended (ADA), 42 U.S.C. Sections 12101 et seq., prohibits discrimination against qualified individuals with disabilities in programs, activities, and services, and imposes specific requirements on public and private public and private entities. Third party contractors must comply with their responsibilities under Titles I thru V of the ADA in employment, public services, public accommodations, telecommunications, and other provisions, many of which are subject to regulations issued by other Federal agencies. The following clauses should be included for the appropriate procurement action.


- A. Rolling Stock Accessibility: Rolling stock must comply with the accessibility requirements of DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37, and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Vehicles acquired (with limited exceptions) should be accessible to and usable by individuals with disabilities, including individuals using wheelchairs;
- B. Purchased Transportation Services Accessibility. A third party contractor providing public transportation services must operate its services in compliance with 42 U.S.C. Sections 12101 et seq. and DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," using facilities and equipment that comply with 49 CFR Part 37; and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Private entities must comply with the requirements of 49 CFR Part 37 applicable to public entities with which they contract to provide public transportation services. MART advises its third party contractors operating public transportation services to review the requirements for public entities in this context which include but are not limited to:
 - 1. Complementary Paratransit Service. Requirements that public entities providing fixed-route service provide complementary paratransit service to individuals with disabilities who cannot use the fixed-route service.

Federal Transit Administration Clauses

2. Equal Opportunity. Requirements for compliance with service requirements intended to ensure that individuals with disabilities are afforded equal opportunity to use transportation systems and services
- C. Design and Construction Accessibility. Facilities to be used in public transportation service must comply with 42 U.S.C. Sections 12101 et seq. and DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37; and Joint ATBCB/DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38. Notably, DOT incorporated by reference the ATBCB's "Americans with Disabilities Act Accessibility Guidelines" (ADAAG), revised July 2004, which include accessibility guidelines for buildings and facilities, and are incorporated into Appendix A to 49 CFR Part 37. DOT also added specific provisions to Appendix A modifying the ADAAG, with the result that buildings and facilities, and are incorporated into Appendix A to 49 CFR Part 37. DOT also added specific provisions to Appendix A modifying the ADAAG, with the result that buildings and facilities must comply with both the ADAAG and amendments thereto in Appendix A to 49 CFR Part 37.

By signing this agreement, the Contractor certifies that, in addition to agreeing to the terms and conditions provided herein, it has read, understands, and agrees to be bound by all requirements and contract terms and conditions contained herein.

This agreement may be signed by ink signature, copy of ink signature, copy of signature, e-signature or any other form of signature. By signing the agreement, the company agrees that its signature will have the same legal effect as an original ink signature.

Company Name: True North Consulting Group, LLC	
Authorizing Official Name: Tony Chojnowski	Authorizing Official Title: Chief Operating Officer
Authorizing Official Signature and Date :  July 23, 2024	












2024-075 True North_080124_rev.SD


Final Audit Report

2024-08-26

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